Small Diverse Business Participation Survey

ACT 13 OF 2012 FY 2019-20
TOM WOLF, GOVERNOR
CURT TOPPER, SECRETARY OF GENERAL SERVICES
Background

This Annual Report for period of April 1, 2019 - March 31, 2020 (Fiscal Year 2019-20) is presented to the State Government Committees of the General Assembly to provide information about the utilization of Small Diverse Businesses (SDBs) in contracting opportunities afforded by unconventional natural gas producers (Producers) as required by Section 2316 of Act 13 of 2012, 58 Pa.C.S. § 2316.

Act 13 of 2012 requires the Pennsylvania Department of General Services (DGS) to survey all Producers, and report on Producers’ efforts to provide maximum practicable contracting opportunities to SDBs, including DGS-verified minority, woman, veteran, and service-disabled-veteran-owned business enterprises. The results of the survey are to be compiled and reported annually.

Act 13 requires Producers to maintain policies prohibiting discrimination in employment and contracting based on gender, race, creed, or color; to use DGS’s internet database to identify SDBs contracting opportunities; and to respond to the DGS annual survey within 90 days of receipt.

On January 25, 2021, DGS’s Bureau of Diversity, Inclusion and Small Business Opportunities (BDISBO) distributed the survey to Producers identified by the Pennsylvania Department of Environmental Protection (DEP) as subject to the requirements of Act 13. BDISBO identified 74 Producers and sent a survey to each of those Producers. A copy of the survey is attached as Exhibit A. Producers were required to respond based on their activities during the period between April 1, 2019, and March 31, 2020.

The Marcellus Shale Survey was conducted Microsoft Office Forms, an online cloud-based survey application. To encourage a higher survey response rate, BDISBO sent four additional email reminders following the initial distribution. BDISBO also emailed PDF versions to Producers upon request and provided technical assistance on completing the survey as needed. The survey was designed for ease of mobile, tablet, and desktop accessibility.

Note: Percentages in this report are rounded to nearest one (1) percent.
Findings & Results

Overall Survey Responsiveness
Survey responsiveness increased, rising 14 percentage points to 61% over the FY 2018-19 year. Forty-five of the 74 producing companies contacted this year responded to the survey compared to 35 of 75 last year. Of the Producers that did not respond, at least two experienced significant changes in 2020 which likely factored into the lack of response, with one going out of business and the other merging with another Producer which did respond to the survey request.

Subcontracting Awards
For this survey period, 55% of responding Producers reported awarding subcontracts for services or supplies related to natural gas extraction, 45% reported awarding subcontracts on a competitive basis, and 36% reported awarding subcontracts to SDBs. These figures represent general increase from the FY 2018-19 survey period during which 46% of responding producers reported awarding subcontracts and 43% reported awarding subcontracts on a competitive basis, but 43% reported awarding subcontracts to SDBs, seven percentage points greater than the current reporting period.

The chart below illustrates the distribution percentage of reported awards by total subcontract awards, SDB subcontract awards, and competitive subcontract awards for FY 2019-20.
As listed in Table 1 below, Producers reported, by designation, that they awarded 138 subcontracts to SDBs resulting in $67.3 million in payments during the survey period. However, there is no mechanism or authorization in place for BDISO to substantiate the number of subcontracts and dollars paid to SDBs.

As detailed in Table 2 below, BDISO was able to corroborate the certification status of SDBs as defined by Act 13 of 2012 on just 11 Producer-awarded contracts that resulted in only $21,137,036.17 paid to SDBs during the reporting period. Another $4,903,750 was paid one Disability-Owned Business Enterprise (DOBE). Although a DGS-verified SDB, DOBE is not an SDB designation recognized within Act 13 of 2012. $19.5 Million resulting from 31 subcontracts was paid to DGS-self-certified Small Businesses (SBs) which are part of the Commonwealth’s race and gender-neutral program. SB self-certification is a prerequisite for verification as an SDB by the Commonwealth of Pennsylvania.

Payments made to verified SDBs recognized within Act 13 of 2012 are only 8% of what Producers communicated as being spent during the reporting period on subcontractors they directly identified either generally as SDB or specifically with one of the designations defined by the Act as SDB. This is an improvement over the 1.4% from the prior year. When the SDB designations that are not identified as SDB by the Act are included (DOBE, in this instance), the figure rises to 26%, and when SBs are pulled in, to 73%.

It is possible that many of the subcontractors not verified by DGS as SDB may meet the requirements to be considered SDB but have not completed DGS’s self-certification and verification process. Although problematic, it also presents DGS with the opportunity to engage and assist these subcontractors to complete the certification and verification process.

See Exhibit B for a breakdown of the services/supplies provided.

### Payments to SDBs

<table>
<thead>
<tr>
<th>Designation</th>
<th>Awarded Contracts</th>
<th>Amount Paid</th>
<th>%</th>
<th>Designation</th>
<th>Awarded Contracts</th>
<th>Amount Paid</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>MBE</td>
<td>5</td>
<td>$1,108,877.33</td>
<td>2%</td>
<td>WBE</td>
<td>10</td>
<td>$2,037,036.17</td>
<td>8%</td>
</tr>
<tr>
<td>WBE</td>
<td>41</td>
<td>$5,310,063.39</td>
<td>8%</td>
<td>SDVBE</td>
<td>1</td>
<td>$100,000.00</td>
<td>0%</td>
</tr>
<tr>
<td>MWBE/VBE</td>
<td>5</td>
<td>$8,387,948.00</td>
<td>12%</td>
<td>DOBE²</td>
<td>1</td>
<td>$4,903,750.00</td>
<td>18%</td>
</tr>
<tr>
<td>VBE</td>
<td>3</td>
<td>$914,870.38</td>
<td>1%</td>
<td>SB²</td>
<td>31</td>
<td>$19,528,412.07</td>
<td>74%</td>
</tr>
<tr>
<td>SDB</td>
<td>6</td>
<td>$11,299,800.00</td>
<td>17%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SB²</td>
<td>55</td>
<td>$9,384,936.48</td>
<td>14%</td>
<td>Designation</td>
<td>28</td>
<td>$30,885,345</td>
<td>46%</td>
</tr>
<tr>
<td>Grand Total</td>
<td>138</td>
<td>$67,291,840.58</td>
<td>100%</td>
<td>Grand Total</td>
<td>46</td>
<td>$26,569,198.24</td>
<td>100%</td>
</tr>
<tr>
<td>Act 13 of 2012</td>
<td>60</td>
<td>$27,021,559.10</td>
<td>40%</td>
<td>Act 13 of 2012</td>
<td>11</td>
<td>$2,137,036.17</td>
<td>8%</td>
</tr>
</tbody>
</table>

1. Individual SDBs may be awarded subcontracts by multiple producers reporting differing SDB designations, causing individual SDBs to be counted more than once within multiple designations.


### Producer Outreach Efforts

Pursuant to Section 2316(b)(2) of the Act, Producers are required to use DGS’s searchable online directory, the Supplier Search, to identify SDBs. Of the Producers that responded to the survey, 29, or 66%, indicated that they did not utilize the Supplier Search, while 34% used the database within the reporting period, an improvement of the
20% that reported utilizing the database during the prior year. Again, the Act does not provide a mechanism or give BDSIBO authorization to enforce Producers to respond to surveys or to comply with the efforts.

Producers’ reasons for their infrequent utilization of the online searchable directory varied from relying on established business relationships to asserting the need for specialized skills and training unique to the industry. Some Producers found no vendors providing necessary services in the relevant location or subcontractors large enough to handle their work. One Producer maintained its own supplier registry to identify prospective subcontractors. A few Producers responded that they did not utilize subcontractors during the reporting period, or they were unaware of the online database despite its reference in the Act and the annual survey process.

Producers claimed use of other outreach tools to contact potential SDBs. In general, they attend networking events and pre- and post-bid meetings likely to be attended by SDBs, and they posted bid opportunities online. Some have established relationships industry groups, other third-party certifiers, or SDBs with whom they’ve previously worked. Others utilized various supplier registries, associates, or word of mouth to locate qualified subcontractors. One Producer, Chesapeake Energy Corporation, has established an internal supplier diversity program to increase the number of diverse suppliers utilized by the company, including minority, woman, disabled, LGBTQ+, and veteran-owned businesses, within the geographic operating location.

There are no mechanisms in place for BDSIBO to verify outreach efforts.
How can we help?
To better understand our Producers as they strive to comply with Act 13 and its mandate to provide maximum practicable contracting opportunities to small diverse businesses, BDISO included additional questions in the Marcellus Shale Survey. See, Exhibit A (page 8).

BDISO’s analysis of the data received from the survey shows that:

- 45% of Producers reported always or often having written subcontract agreements. The number increased to 76% when Producers utilized SDBs, up from the 56% reported last year.
- Subcontracts often did not indicate an estimated dollar value that the Producer agreed to pay to the subcontractor, 63% of Producers selecting “No” when asked the question. For those Producers that often or always had written subcontract agreements with their SDBs, 56% indicated that the agreements specified an estimated dollar amount to be paid to SDBs. This was a decrease of nine percentage points from the prior year.
- Only 7% of Producers said they would be Unlikely to utilize SDBs in the future, which is an improvement over last year’s value of 19%.
CONCLUSION AND RECOMMENDATIONS

Producer Compliance with the requirements of Act 13

As noted in the background at the beginning of this report, among the duties assigned to Producers by Act 13, Producers are required to 1) respond to the annual Act 13 of 2012 survey disseminated by the Department of General Services, 2) Use the online DGS Supplier Search to identify minority, woman, and veteran-owned businesses, and 3) Maintain policies prohibiting discrimination in employment and contacting based on gender, race, creed, or color. Analysis of FY 2019-20 survey results reveals that 61% of Producers contacted were responsive to this year’s Act 13 survey, and of those, only 34% indicated using the online Supplier Search at least once per year.

Following analysis of the responses, it is evident that some Producers utilizing the DGS Supplier Search are doing so incorrectly. This partly explains the disparity between Producer reported SDB utilization and actual utilization of DGS-verified SDBs. Analysis also shows that several Producers are utilizing other methods to find minority, woman, and veteran-owned businesses to engage when they require additional materials or services related to natural gas extraction. One maintains an internal listing of suppliers that self-identify as minority, woman, and veteran-owned businesses and encourages these suppliers to register with the Department of General Services as diverse.

Cultivating cooperative and mutually beneficial relationships between Producers and SDBs is a challenge DGS takes seriously as we strive to meet our goals to efficiently, effectively, and safely deliver exceptional value for all Pennsylvanians. DGS continues to collaborate with DEP and Marcellus Shale Coalition and participate in industry program events in order to promote the utilization of SDBs in this industry.

Recommendations

DGS remains concerned that Producers are insufficiently utilizing verified SDBs. Section 2316(b)(2) of Act 13 states that Producers shall “Use the database available on the Internet website of the Department of General Services to identify certified diverse small businesses, including minority-owned business enterprises, women-owned business enterprises and veteran-owned businesses, as potential contractors, subcontractors and suppliers for opportunities related to unconventional natural gas extraction.”

DGS recommends that the General Assembly review Act 13 and consider potential amendments to Section 2316, such as:

1. **Mandating that Producers create an SDB utilization plan.** The Marcellus Shale Report for FY 2019-20 analysis reflects that most of the Producers did not utilize the searchable online directory of Small Diverse Businesses as required by Section 2316 of Act 13. A partnership that includes Producers, SDBs, and the commonwealth working together to create a strategy and plan of action would foster greater collaboration between the interested parties would result in an increased usage of DGS’s Supplier Search.

2. **Adding sanctions Against producers for non-compliance with the duties outlined in the Act.** Although Producers report subcontracting to SDBs, only a fraction of the subcontractors are positively identified as DGS-verified. Producers that do not use the online directory of verified SDBs cannot be penalized. Further, there is currently no mechanism beyond continual reminders and follow-up to ensure Producers complete the survey as is required by the Act. Amending Act 13 to include sanctions for non-response and non-compliance would send a firm message to Producers of the importance of providing opportunities for SDBs to work as subcontractors and suppliers.

3. **Allowing the audit of producers for compliance with the Act.** The Act also contains no provisions that would allow for auditing of Producers’ compliance with their responsibilities under the Act. There is no way to ensure that Producers are complying with the requirement to maintain policies prohibiting
discrimination in employment or contracting. In addition, there is currently no way to verify and confirm that Producers use and pay their SDBs subcontractors and suppliers.

4. **Requiring proactive reporting on utilization of SDBs.** There is currently no requirement in the Act that Producers provide their future plans with regards to using SDBs in the upcoming year. Allowing visibility into Producers’ plans would enable DGS to provide technical assistance by identifying SDBs that are ready, willing, and able to perform those services the Producers require.

5. **Establishing goals for SDB participation in activities related to unconventional natural gas extraction and providing incentives for producers to meet and exceed them.** The Act currently lacks targets for utilization of SDBs and incentives for Producers to include SDBs in contracting opportunities.

6. **Expanding the Act to be inclusive of all Small Business, Small Diverse Business, and Veteran Business Enterprise certifications as recognized by DGS.** Although § 2316 of the Act covers "Small business participation", it currently recognizes participation that is restricted to minority, woman, and veteran-owned businesses. The Act is not inclusive of participation by DGS self-certified Small Businesses or verified Disability or LGBT-owned Small Diverse Businesses.

7. **Providing instructions on utilizing the DGS Supplier Search.** All current verified SDBs can be found in DGS’s searchable online directory, the Supplier Search. The Supplier Search, however, accommodates more than businesses identified by Act 13 of 2012 as SDB. It includes: 1) Verified disability-owned and LGBT-owned businesses which are recognized as SDBs by the commonwealth but are not designated as SDB within the Act, 2) Self-certified SBs which are part of a race and gender-neutral program prerequisite to SDB verification but, without verification, are not recognized by the Act, and 3) Other businesses that do not participate or are not eligible to participate as SBs, SDBs, or VBEs but are active with other commonwealth programs. Supplying Producers with instructions will arm them with the understanding necessary to identify relevant businesses for utilization. Instructions are available now and will be forwarded to all Producers contacted to complete this year’s survey.

8. **Publicizing the Small Diverse Business Participation Survey report to all Producers.** Although the Small Diverse Business Participation Survey report is made public each year upon completion, Producers have not previously been advised. Notification and easy access to the report will encourage Producers to directly review the annual progress of their collective efforts, including responding to the survey itself, and the impact they can have in the Small Diverse Business community. To this end, along with Supplier Search instructions, DGS will forward Producers a digital copy of this report.

DGS is available to answer questions from members of the General Assembly regarding the information presented above.
Act 13 of 2012: Small Diverse Business Participation Survey

Section 2316 of Act 13 of 2012, requires the Pennsylvania Department of General Services (DGS) to survey and collect data on producers’ efforts to provide maximum practicable contracting opportunities to small diverse businesses (hereafter SDBs) defined by the Act as minority, woman, and veteran-owned businesses. The results of this survey, including information identifying those companies that do not complete the survey, will be compiled into the Marcellus Shale Annual Report for the General Assembly and made publicly available on the DGS website. Data collection is for activity occurring during the reporting period between April 1, 2019 and March 31, 2020. Act 13 requires producers to respond to the DGS survey within 90 days of dissemination.

[www.legis.state.pa.us (http://www.legis.state.pa.us) > Unconsolidated Statutes > Search by Act Number > Year: 2012, Act: 13].

Please be advised that once started, the survey must be completed in one sitting. There is currently no option to save and resume the survey at a later point. View a copy of the survey in PDF format at www.dgs.pa.gov/Small%20Diverse%20Business%20Program/Pages/Business-Resources.aspx (http://www.dgs.pa.gov/Small%20Diverse%20Business%20Program/Pages/Business-Resources.aspx).

RESPOND BY: Monday, April 26, 2021

* Required

Producer Information

1. Producer Company Name *

Enter the Producer's legal and, if applicable, DBA name.
2. Producer Contact *
   Enter the contact name for the Producer.

3. Producer Address *
   Enter the Address, City, State, and Zip for the producer's headquarters.

4. Producer Phone *
   Enter the phone number (including area code) for the Producer Contact named above. This will be used to clarify responses when necessary.

5. Producer Email *
   Enter the email address for the Producer Contact named above. This will be used to clarify responses when necessary.
6. Survey Preparer

Enter the name of the person completing this survey if different from the Producer Contact named above.

Initial Questions

7. Supplier Search *

How frequently did your company consult the Department of General Services' searchable online directory, www.dgs.internet.state.pa.us/suppliersearch (http://www.dgs.internet.state.pa.us/suppliersearch), to identify DGS certified-verified SDUs prior to selecting subcontractors? Please provide explanation if directory was not utilized.

- Weekly
- Monthly
- Quarterly
- Annually
- Not utilized during this reporting period.

Other

8. Supplier Search Explanation

Please provide an explanation if you answered “Not utilized during the reporting period” to the Supplier Search question above.
9. Outreach *

In an effort to provide maximum practicable contracting opportunities, which of the following methods did you use to engage Small Diverse Businesses? Select all that apply.

☐ Networking events

☐ Job fairs

☐ Internet information on bidding opportunities

☐ Pre-bid/Post-bid meetings for subs and suppliers

☐ Did not engage in public outreach

☐ Other

10. Subcontractor Utilization *

During this reporting period, April 1, 2019, through March 31, 2020, did your company utilize a subcontractor SDB or otherwise?

☐ Yes

☐ No
11. Subcontractor awards *

*Please answer the following questions on subcontracts awarded during the reporting period.*

| How many subcontracts did your company award during the reporting period? |
|-----------------------------|---|---|---|---|---|
| 0                           | O | O | O | O | O |
| 1 - 10                      | O | O | O | O | O |
| 11 - 25                     | O | O | O | O | O |
| 26 - 50                     | O | O | O | O | O |
| 51+                         | O | O | O | O | O |

| Of the total subcontracts awarded, how many were solicited and awarded competitively? |
|-----------------------------------------------|---|---|---|---|---|
| 0                                            | O | O | O | O | O |
| 1 - 10                                       | O | O | O | O | O |
| 11 - 25                                      | O | O | O | O | O |
| 26 - 50                                      | O | O | O | O | O |
| 51+                                          | O | O | O | O | O |

| Of the total subcontracts awarded, how many were awarded to Small Diverse Businesses (SDBs)? |
|-----------------------------------------------------------------------------------------------|---|---|---|---|---|
| 0                                                                                           | O | O | O | O | O |
| 1 - 10                                                                                      | O | O | O | O | O |
| 11 - 25                                                                                     | O | O | O | O | O |
| 26 - 50                                                                                     | O | O | O | O | O |
| 51+                                                                                         | O | O | O | O | O |

| Of the total subcontracts awarded, how many were awarded to Small Diverse Businesses (SDBs) located WITHIN the state of Pennsylvania? |
|------------------------------------------------------------------------------------------------------------------------------------------------|---|---|---|---|---|
| 0                                                                                                                                             | O | O | O | O | O |
| 1 - 10                                                                                                                                       | O | O | O | O | O |
| 11 - 25                                                                                                                                      | O | O | O | O | O |
| 26 - 50                                                                                                                                      | O | O | O | O | O |
| 51+                                                                                                                                           | O | O | O | O | O |

12. SDB Utilization *

*During this reporting period, did your company utilize a Small Diverse Business (SDB) subcontract?*

- Off Yes
- Off No
13. Total SDB payments *

In aggregate, what is the total dollar value you paid to SDB subcontractors between April 1, 2019 and March 31, 2020? Enter “0” if no SDB subcontractors were utilized.


Small Diverse Business Participation

Using the questions below, record your company’s small diverse business participation in contracting opportunities for the reporting period of April 1, 2019 through March 31, 2020. You may also report small diverse business utilization in lower tiers of supply or subcontracting (subcontractors to subcontractors as well as suppliers to contractors and subcontractors) provided that a small diverse business is not the initial subcontractor/supplier. Commitments to small diverse businesses cannot be double-counted regardless of tiers.

NOTE: If your company has greater than five (5) SDB participants, please email the additional list of participants to us at GS-BDISBO@pa.gov (mailto:GS-BDISBO@pa.gov).

SDB DESIGNATIONS IDENTIFIED IN Act 13 of 2012
MBE (Minority Business Enterprise)
WBE (Women Business Enterprise)
MWBE (Minority Women Business Enterprise)
VBE (Veteran Business Enterprise including Service-Disabled Veteran Business Enterprise)

CERTIFYING ENTITIES
DGS-Verified (Verified with the Commonwealth of Pennsylvania Department of General Services as SDB)
Disability IN
NMSDC (National Minority Supplier Development Council)
SBA 8(a) (United States Small Business Administration 8(a) Business Development Program)
UCP (Unified Certification Program)
Vets First Verification Program
WBENC (Women’s Business Enterprise National Council)

14. SDB Name 1 *
Provide the full name of the Small Diverse Business (SDB). Include both the legal name and, if applicable, DBA name. EXAMPLE: Enter “XYZ Enterprises LLC DBA XYZ Landscaping” instead of XYZ.
15. SDB Address 1 *

Provide the Address, City, State, and Zip of this SDB’s headquarters.

16. SDB Subcontracts 1 *

Enter the approximate total dollar value of subcontract(s) to this SDB subcontractor/supplier.

17. SDB Designation 1 *

What is the designation of this SDB? Select all that apply.

- [ ] MBE
- [ ] WBE
- [ ] MWBE
- [ ] VBE
- [ ] Other
18. SDB Certifier 1 *

What is the certifying entity(ies) for this SDB. The certifying organization certifies the SDB as a minority, woman, veteran, or disadvantaged-owned business. Select all that apply:

☐ DGS-Verified

☐ DiversityIN

☐ NMSDC

☐ SBA 8(a)

☐ UCP

☐ Vets First Verification

☐ WBENC

☐ Unknown

☐ Other
19. SDB Industry 1 *

Select primary industry in which this SDB is involved.

- Accommodation, Recreation, & Food Service
- Agriculture, Forestry, & Conservation
- Construction & Building
- Consumer Goods & Suppliers
- Educational & Consulting
- Health & Social Assistance
- Information Technology, Systems, & Peripherals
- Legal Services
- Machines, electronics, & Equipment
- Maintenance & Repair
- Management & Administrative support
- Manufacturing & Machining
- Mining, Drilling, Excavating, & Demolition
- Professional Services
- Scientific & Technical
- Staffing & Labor Support
- Transportation & Vehicles
- Utilities, Power, & Fuel
- Warehousing, Shipping, & Logistics
- Waste, Recycling, & Remediation

Other
20. Add SDBs 1 *
   Did your company utilize another Small Diverse Business?
   
   ○ Yes
   ○ No

21. SDB Name 2 *
   Provide the full name of the Small Diverse Business (SDB). Include both the legal name and, if applicable, DBA name. EXAMPLE: Enter “XYZ Enterprises LLC DBA XYZ Landscaping” instead of XYZ.
   
   [Blank Box]

22. SDB Address 2 *
   Provide the Address, City, State, and Zip of this SDB’s headquarters.
   
   [Blank Box]

23. SDB Subcontracts 2 *
   Enter the approximate total dollar value of subcontract(s) to this SDB subcontractor/supplier.
24. SDB Designation 2 *
What is the designation of this SDB? Select all that apply.

☐ MBE
☐ WBE
☐ MWBE
☐ VBE
☐ Other

25. SDB Certifier 2 *
What is the certifying entity(-ies) for this SDB. The certifying organization certifies the SDB as a minority, woman, veteran, or disadvantaged-owned business. Select all that apply.

☐ DGS-Verified
☐ Diversity:IN
☐ NMSDC
☐ SBA 8(a)
☐ UCP
☐ Vets First Verification
☐ WBENC
☐ Unknown
☐ Other
26. SDB Industry 2 *

Select primary industry in which this SDB is involved.

- Accommodation, Recreation, & Food Service
- Agriculture, Forestry, & Conservation
- Construction & Building
- Consumer Goods & Suppliers
- Educational & Consulting
- Health & Social Assistance
- Information Technology, Systems, & Peripherals
- Legal Services
- Machines, electronics, & Equipment
- Maintenance & Repair
- Management & Administrative support
- Manufacturing & Machining
- Mining, Drilling, Excavating, & Demolition
- Professional Services
- Scientific & Technical
- Staffing & Labor Support
- Transportation & Vehicles
- Utilities, Power, & Fuel
- Warehousing, Shipping, & Logistics
- Waste, Recycling, & Remediation
- Other
27. Add SDBs 2 *

Did your company utilize another Small Diverse Business?

☐ Yes

☐ No

28. SDB Name 3 *

Provide the full name of the Small Diverse Business (SDB). Include both the legal name and, if applicable, DBA name. EXAMPLE: Enter “XYZ Enterprises LLC DBA XYZ Landscaping” instead of XYZ.


29. SDB Address 3 *

Provide the Address, City, State, and Zip of this SDB’s headquarters.


30. SDB Subcontracts 3 *

Enter the approximate total dollar value of subcontract(s) to this SDB subcontractor/supplier.


31. SDB Designation 3 *
    *What is the designation of this SDB? Select all that apply.*

☐ MBE

☐ WBE

☐ MWBE

☐ VBE

☐ Other

32. SDB Certifier 3 *
    *What is the certifying entity(-ies) for this SDB. The certifying organization certifies the SDB as a minority, woman, veteran, or disadvantaged-owned business. Select all that apply.*

☐ DGS-Verified

☐ Diversity:IN

☐ NMSDC

☐ SBA 8(a)

☐ UCP

☐ Vets First Verification

☐ WBENC

☐ Unknown

☐ Other
33. SDB Industry 3 *

Select primary industry in which this SDB is involved.

- Accommodation, Recreation, & Food Service
- Agriculture, Forestry, & Conservation
- Construction & Building
- Consumer Goods & Suppliers
- Educational & Consulting
- Health & Social Assistance
- Information Technology, Systems, & Peripherals
- Legal Services
- Machines, electronics, & Equipment
- Maintenance & Repair
- Management & Administrative support
- Manufacturing & Machining
- Mining, Drilling, Excavating, & Demolition
- Professional Services
- Scientific & Technical
- Staffing & Labor Support
- Transportation & Vehicles
- Utilities, Power, & Fuel
- Warehousing, Shipping, & Logistics
- Waste, Recycling, & Remediation
- Other
34. Add SDBs 3 *

Did your company utilize another Small Diverse Business?

☐ Yes

☐ No

35. SDB Name 4 *

Provide the full name of the Small Diverse Business (SDB). Include both the legal name and, if applicable, DBA name. EXAMPLE: Enter “XYZ Enterprises LLC DBA XYZ Landscaping” instead of XYZ.


36. SDB Address 4 *

Provide the Address, City, State, and Zip of this SDB’s headquarters.


37. SDB Subcontracts 4 *

Enter the approximate total dollar value of subcontract(s) to this SDB subcontractor/supplier.


38. SDB Designation 4 *

What is the designation of this SDB? Select all that apply.

- [ ] MBE
- [ ] WBE
- [ ] MWBE
- [ ] VBE
- [ ] Other

39. SDB Certifier 4 *

What is the certifying entity(ies) for this SDB. The certifying organization certifies the SDB as a minority, woman, veteran, or disadvantaged-owned business. Select all that apply.

- [ ] DGS-Verified
- [ ] Diversity:IN
- [ ] NMSDC
- [ ] SBA 8(a)
- [ ] UCP
- [ ] Vets First Verification
- [ ] WBENC
- [ ] Unknown
- [ ] Other
40. SDB Industry 4 *
Select primary industry in which this SDB is involved.

- Accommodation, Recreation, & Food Service
- Agriculture, Forestry, & Conservation
- Construction & Building
- Consumer Goods & Suppliers
- Educational & Consulting
- Health & Social Assistance
- Information Technology, Systems, & Peripherals
- Legal Services
- Machines, electronics, & Equipment
- Maintenance & Repair
- Management & Administrative support
- Manufacturing & Machining
- Mining, Drilling, Excavating, & Demolition
- Professional Services
- Scientific & Technical
- Staffing & Labor Support
- Transportation & Vehicles
- Utilities, Power, & Fuel
- Warehousing, Shipping, & Logistics
- Waste, Recycling, & Remediation

Other
41. Add SDBs 4 *
   Did your company utilize another Small Diverse Business?
   ○ Yes
   ○ No

42. SDB Name 5 *
   Provide the full name of the Small Diverse Business (SDB). Include both the legal name and, if applicable, DBA name. EXAMPLE: Enter "XYZ Enterprises LLC DBA XYZ Landscaping" instead of XYZ.

43. SDB Address 5 *
   Provide the Address, City, State, and Zip of this SDB’s headquarters.

44. SDB Subcontracts 5 *
   Enter the approximate total dollar value of subcontract(s) to this SDB subcontractor/supplier.
45. SDB Designation 5 *

What is the designation of this SDB? Select all that apply.

☐ MBE
☐ WBE
☐ MWBE
☐ VBE
☐ Other

46. SDB Certifier 5 *

What is the certifying entity(-ies) for this SDB. The certifying organization certifies the SDB as a minority, woman, veteran, or disadvantaged-owned business. Select all that apply.

☐ DGS-Verified
☐ Diversity:IN
☐ NMSDC
☐ SBA 8(a)
☐ UCP
☐ Vets First Verification
☐ WBENC
☐ Unknown
☐ Other
47. SDB Industry 5 *

Select primary industry in which this SDB is involved.

- Accommodation, Recreation, & Food Service
- Agriculture, Forestry, & Conservation
- Construction & Building
- Consumer Goods & Suppliers
- Educational & Consulting
- Health & Social Assistance
- Information Technology, Systems, & Peripherals
- Legal Services
- Machines, electronics, & Equipment
- Maintenance & Repair
- Management & Administrative support
- Manufacturing & Machining
- Mining, Drilling, Excavating, & Demolition
- Professional Services
- Scientific & Technical
- Staffing & Labor Support
- Transportation & Vehicles
- Utilities, Power, & Fuel
- Warehousing, Shipping, & Logistics
- Waste, Recycling, & Remediation

Other
48. Add SDBs 5 *  
Did your company utilize another Small Diverse Business? If YES, please email a list of additional SDBs to GS-BDISBO@pa.gov. Include the full SDB legal and DBA name, address, approximate total $-value of the subcontracts, the SDB designation (MBE, WBE, etc.), and the third-party certifier of the SDB.  

- Yes  
- No  

Additional Questions  

49. Future SDB utilization. *  

How likely are you to subcontract with or utilize a Small Diverse Business (SDB) in the future?  

- Extremely Likely  
- Very Likely  
- Somewhat Likely  
- Not Very Likely  
- Unlikely  

50. Written contracts. *  

When SDBs were utilized, how often did you have a written contract with each subcontractor?  

- Always  
- Often  
- Rarely  
- Never  
- SDB Not Used  

When SDBs were utilized, how often did the written contract indicate an estimated dollar value to be paid to the SDB subcontractor?  

- Always  
- Often  
- Rarely  
- Never  
- SDB Not Used
51. Additional Help

What could the PA Department of General Services Bureau of Diversity, Inclusion & Small Business Opportunities do to make it easier for you to increase your small diverse business participation?
## EXHIBIT B: Act 13 of 2012 - Marcellus Shale Producer SDB Utilization

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<th>SDVBE</th>
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