



Appellation Construction Services, LLC
999 N. Loyalsock Ave., Suite C
Montoursville, PA 17754

KC Lyons
Office: 570-601-4765 Ext 140
Cell: 570-447-3641
Email: kclyons@aprefab.com

TECHNICAL SUBMITTAL

TECHNICAL SECTION 1: PROJECT TEAM'S QUALIFICATIONS, EXPERIENCE, AND PAST PERFORMANCE

Section T-1A Introduction to the Project Team

Project Team Personnel, Roles, Responsibilities

- Our Project Team personnel for this specific construction contract (.4 Electrical Construction) include the following individuals:

Matt Green is the Vice President of the Electrical Division at Appellation Construction Services, LLC (ACS). Mr. Green will be the oversight for the entire project. He will manage coordination between departments to ensure mutual respect, as well as an in-depth understanding of each other's role in the project so that everything runs smoothly and according to plan.

Cherie Craft is our Chief Financial Officer. She will be responsible for the pay apps and monthly billing. Mrs. Craft will also take care of the certified payroll reports.

KC Lyons is one of our estimators at ACS. Mr. Lyons will be taking the lead role in procurement, with the assistance of Sierra Shaheen (the Electrical Administrator of ACS). In addition to that, he will take care of any project changes, such as processing change order documents if required.

Robin Potter is will be the Project Manager for this specific contract. The kickoff and commissioning meetings will be his responsibility to attend and he will fill-in on any project meetings if necessary. Mr. Potter will also oversee submittals for this project with the assistance of Sierra Shaheen (electrical administrator). Moreover, he will be responsible for initiating RFI's for this project, along with the General Foreman for this contract, Seth Jackson.

Seth Jackson is a General Foreman at ACS and will be taking the lead on the jobsite for this project. Mr. Jackson will be the direct contact person for daily matters. He will also assist KC Lyons with RFI's on this project. In addition to that, Mr. Jackson will be attending the kickoff and commissioning meetings, as well as all project meetings.

Shane Greene is our Safety Manager at ACS and will be supervising all safety-related aspects of the project. Mr. Greene is the DER (Designated Employee Representative) that will ensure that our drug testing policies and procedures are followed according to DOT Standards. He will develop and execute project safety meetings, ensure site inspections are completed, and confirm on-site foreman conducts daily JSA meetings. Mr. Greene will also develop and guarantee that Toolbox Tool meetings are reviewed daily with employees on site, safety orientation completed, coordinate on-site safety audits, ensure proper PPE is issued and worn, and manage any incident and accident investigations.

Sierra Shaheen is our Electrical Administrator at ACS. Miss Shaheen will provide purchase order number requests from department(s) supplying the necessary material for the project. She

TECHNICAL SUBMITTAL

will also handle all invoices pertaining to material. In addition to that, she will take part in processing submittals for the project. Miss Shaheen will also fill out all background check applications for workers that will be on site, as well as distributing the reports to the correct departments.

Candie Griffis is our Project Scheduler and Support. Mrs. Griffis will be handling all the project scheduling which is done through our software program called Primavera P6. She will also be responsible for distributing correct drawing revisions, change order and RFI requests, and submittals to all necessary parties.

John Heitsenrether is responsible for the Quality Control Program. He will be overseeing the on-site person that will be guaranteeing all standards are met in reference to the Quality Control Program.

- Describe any history of working relationship between firms or team members, including subcontractors performing critical work:

Matt Green and KC Lyons have worked exclusively on the same projects; Matt as Vice President of Electrical/Project Manager and KC as Foreman/Estimator/Project Manager for about four years. Our safety manager Shane Greene has worked with Matt Green on safety issues and plans for about seven years. KC and Shane have also worked together for four years improving safety awareness. Matt and Robin have worked together for several years, Matt as the Project Manager and Robin as the Foreman. They have worked on high profile projects such as Lonza Burn Out and Restoration. In addition to that, Matt and Robin jointly performed work on a gas line drying facility for Kinder Morgan. Sierra Shaheen has been with us for over a year, and in that year has worked directly with our Safety Manager, Shane Greene, for about 4 months, and then joined our electrical team, working with Matt, KC, Seth, and Cherie daily. Candie has worked with the ACS Electrical department from the beginning. She has developed detailed schedules for electrical projects. In addition to that, she has been working with Matt Green for four years, and with the safety department for five years. Candie works with all subcontractors to include their activities within the schedule for each project.

Our dedicated employee KC Lyons has an extensive relationship with Siemens on a magnitude of different projects for the last 15 years. A joint effort between KC Lyons and Siemens made it possible for the Rachel Carson Hi-Rise Fire Alarm to be successfully changed out. Fire alarm devices and the main panel were fully operational within one week's time! To add to that, Siemens has been working in conjunction with DGS, specifically with Tim Burke, which gained them experience and expertise in working with this department.

Matt Green and Robin Potter have worked together for several years (Matt as Project Manager and Robin as Foreman). They worked on high profile projects such as the Lonza Building 13 Rebuild and a gas line drying facility for Kinder Morgan (230C in Rockport, NY). In addition to that, they jointly completed the Lundy and the Rhodes project where Matt was the Estimator and Project Manager for each, and Robin as the foreman.

TECHNICAL SUBMITTAL

- Clearly describe proposers understanding of the services and material to be provided on the project:

KC Lyons has been estimating for 12 years; he has estimated several projects that consisted of full-service replacement with integrate emergency and generator combinations. In addition to that, several of those projects had limited access for scheduled deliveries, as well as site lay-down restrictions. Mr. Lyons has also estimated the Fire Alarm replacement of the Strawberry Square Complex, Verizon Tower, and both the Rachel Carson and the 333 Market Street High-Rise in Harrisburg. There were several renovations on the 5th floor of the Williamsport Hospital (which is a fully locked-down security floor) that KC Lyons was also the estimator for.

Furthermore, he estimated integrate operating procedure rooms with high level of accuracy. He was on the lead team for the Williamsport Hospital. There, he and his team devised a plan on how to pull ER power online and slowly shut down normal power services, all while removing sections of electrical switchgear, and replacing it with new.

- Describe teams experience with projects of similar nature, size, and complexity

ACS has had some projects of similarity to this Harrisburg Forum Building project, which include our jobs at Muncy Jr./Sr. High School, UGI Northmoreland Compressor Station, and the Peter Herdic Fire Alarm Upgrades Project.

Some of our team members are currently involved in finishing the Muncy Jr./Sr. High School Additions public works project that consists of an addition being attached to the main school, as well as renovations. The interior renovation consisted of an entire new fire alarm replacement (the existing Simplex fire alarm system was replaced with a new Siemens fire alarm system). The auditorium had the existing light fixtures removed and replaced with new. The project is a 3-phased secure building project with portions of the project to be working around occupied classrooms. The phasing was set with a time schedule to have a designated start date and completion date. This project has a new service with a medium primary to a medium voltage transformer. The medium transformer feeds a switch gear line-up, which distributes throughout the facility. It also consists of occupied areas to be turned over for construction and completed on a set-time for reoccupying. Moreover, this work comprised of an extension on the existing CCTV system throughout the complex and an installation of a new access control system which replaced the old system. We also ran multi-mode and single mode fiber lines throughout the project and installed a new IDF. Installed new sight lighting throughout the project. Installed a Hubbell lighting control system to control multiple areas throughout the complex.

Matt Green was Project Manager/Estimator on the First Quality Building 3 Addition in Lock Haven. The project consisted of adding 1 million square foot of warehouse and production facilities.

Robin Potter has experience with projects of similarity by working as the Electrical Supervisor, Journeyman Electrician, and General Foreman on jobs ranging from four million to hundreds of millions of dollars in size. Robin Potter worked as the Electrical Supervisor on the Wind Farms and worked as the Electrical Supervisor on the Construction Management Team for the First Quality project that was \$500 million. Mr. Potter was the Journeyman Electrician on the Sports

TECHNICAL SUBMITTAL

Arena job in Elmira, NY, and was the General Foreman on the Ward Manufacturing Gas Piping job that was an estimated \$4 million project (electrical portion). Another project similar in size or larger was the Corning Community Library project where Robin was the Journeyman Electrician.

Another project that the ACS team successfully finished that was of similar nature, size, and complexity is UGI Northmoreland Gas Compressor Station. This was an industrial-type line of work completed by our company for UGI Energy Services. Moreover, this was a coordination project that needed to have all the contractors working together to successfully complete the project on the contracted schedule.

The Peter Herdic Fire Alarm Upgrade was a commercial-type project that consisted of a fire alarm renovation with phased turnover areas. Even though a totally new system was installed, ACS still maintained existing coverage in the multi-story High Rise Building. The new system was turned on after it was commissioned, and the old system removed. This job required work like this contract because it was a phased fire alarm project and was occupied during the complete renovation.

- Teams experience with keeping operations running with people in and out of building

Our ACS team works hard to ensure tasks run smoothly, and most importantly, in a safe manner. We successfully completed the Shields Compressor Station job, adding two new compressors while maintaining operations of four other compressors on site. In addition to that, our team also installed four compressors and two dehydration trains on an existing site (Wilmot CS), also successfully finished. Some of our team members have also worked on the Muncy School Addition Project that consisted of installing a three-story addition. Renovations for this were done within the school building while the school was active.

- Describe the team's experience with maintaining a building within a building

ACS is involved with the Muncy School Additions and Renovations Project. This project required many upgrades to the existing school and was in progress while staff and students occupied the school building.

ACS completed the Peter Herdic Fire Alarm Upgrade project. This job involved the installation of a totally new fire alarm system while maintaining existing coverage in the multi-story high-rise building. The new system was turned on after it was commissioned, and the old system removed. The facility was occupied during all the construction.

KC Lyons estimated, and project managed the Strawberry Square Fire Alarm Renovation. This consisted of the Strawberry Square Complex, the Verizon Tower, the 333 Tower, and the Commonwealth Tower. While the system was active, the team pulled new fire alarm cable and installed new fire alarm devices and main panels, while the building remained occupied/active.

- Describe the team's experience with hazmat coordinating and scheduling:

ACS has a decade of experience with hazmat coordinating and scheduling. We maintain SDS (Sheets) and develop an emergency evacuation plan per location with local emergency phone

TECHNICAL SUBMITTAL

numbers that is posted on site as the “Emergency Contact List.” The hazmat coordinating and scheduling procedures are dependent upon what hazardous materials are involved. It is job-site dependent, so we have developed hazmat response plans for multiple job sites. Our safety manager and staff have also worked together to create a Silica Plan (along with adding training for the employees on the proper silica containments) to keep all employees safe and following all appropriate methods in handling harmful material.

- Describe the team’s experience with environmental controls, temperature, humidity (keeping parts of the building cool and other parts warm):

ACS does not deal directly with these fields of work; we do however, work in conjunction with the subcontractors that specifically handle these responsibilities in order to provide all needs of the customer.

- Describe the team’s experience with maintaining historic climate control during the entire project:

ACS has years of experience in providing temporary power to jobsites while working under safe conditions. Because we have our own power generators, we can maintain a temporary power source for the HVAC system. We work with high-end rental companies that provide generators of all sizes. ACS has provided generators for back-up power on several projects; one such project is the Getgen Meter and Regulator Station. We do not; however, have any direct experience with historical climate control.

- ONLY .4 (ELECTRICAL) CONTRACT
 - Describe the Proposer’s experience with similar sized projects

In reference to similar sized projects, our team member Matt Green was involved as Project Manager/Estimator on the First Quality Building 3 Addition in Lock Haven. The project consisted of adding 1 million square foot of warehouse and production facilities.

Robin Potter worked as the Electrical Supervisor on the Wind Farms which was a project that was hundreds of millions of dollars. He also worked on a First Quality project that was \$500 million as the Electrical Supervisor on the Construction Management Team. Mr. Potter was the Journeyman Electrician on the Sports Arena job in Elmira, NY, and was the General Foreman on the Ward Manufacturing Gas Piping job that was an estimated \$4 million project (electrical portion). Another project similar in size or larger was the Corning Community Library project where Robin was the Journeyman Electrician.

- Describe the Proposer’s experience with Siemens fire alarm system

KC Lyons has many years of experience in working with Siemens fire alarm systems. At Williamsport Hospital, he and the other team members installed new Siemens fire alarm systems and integrated it with the existing Simplex fire alarm system. The Siemens system had the standard smoke and heat detection. Also, they had HVAC shutdown and fire damper closures.

KC Lyons estimated, and project managed the Strawberry Square Fire Alarm Renovation. This consisted of the Strawberry Square Complex, the Verizon Tower, the 333 Tower, and the

TECHNICAL SUBMITTAL

Commonwealth Tower. While the system was active, the workers pulled new fire alarm cable and installed new fire alarm devices and main panels, while the building remained occupied/active. The Rachel Carson High-Rise was being designed for a new Siemens fire alarm system when the Simplex system failed to properly operate. DGS put the building on “fire watch” and a complete fire alarm renovation was fully operational within one week.

Robin Potter has many years of experience with the installation of Simplex and Siemens fire alarm systems. He has installed fire alarm systems in schools, hospitals, and commercial buildings. In addition to that, he has directly worked with Class A and Class B fire alarm systems for several years.

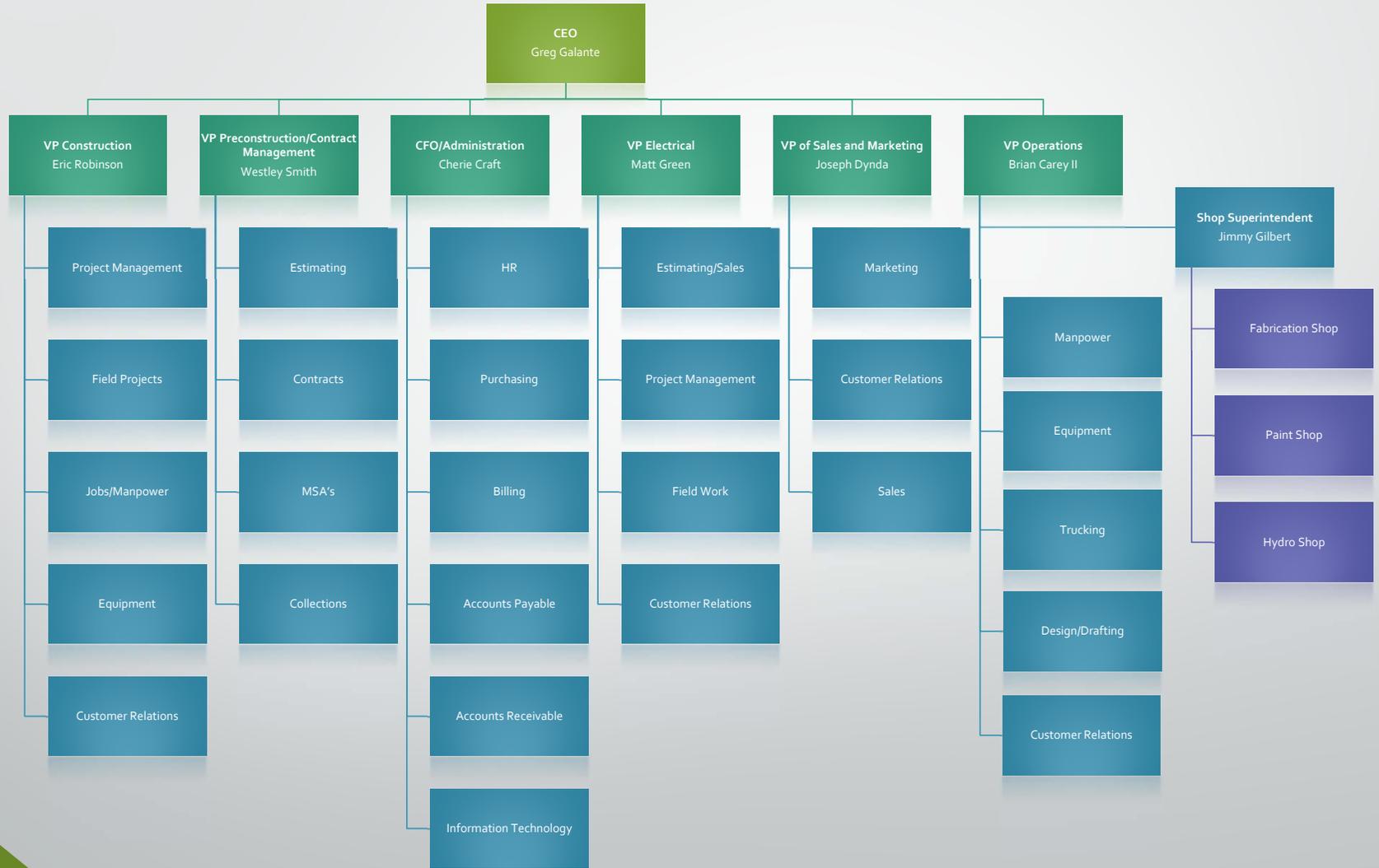
Matt Green was the Project Manager and Estimator for the Northumberland Wastewater Treatment Plant project that required the installation of a new Siemens fire alarm system.

- Describe the Proposer’s experience with CCTV Systems surveillance and card access systems

KC Lyons estimated multiple projects with Siemens and Simplex. He also estimated the card access and CCTV system at the Williamsport Tower Addition Project, and multiple sites throughout Williamsport Hospital. Mr. Lyons was also the Estimator for the Benton Elementary and High School job for the installation of a new card access system. In addition to that, he estimated the Danville Elementary School project that consisted of the installation of a new CCTV system.

Matt Green is the estimator for the Muncy Jr./Sr. High School project which comprised of an extension on the existing CCTV system throughout the complex and an installation of a new access control system which replaced the old system. In addition to that, the existing Simplex fire alarm system was replaced with a new Siemen’s fire alarm system. Matt Green was also the Project Manager/Estimator for the Northumberland Water Treatment Plant Project which required the installation of a whole new Siemens fire alarm system.

Appellation Construction Services, LLC Organization Chart



Section T-1B Prime Contractor

APPENDIX F

PRIME CONTRACTOR
QUALIFICATION STATEMENT

APPENDIX F
PRIME CONTRACTOR
QUALIFICATION STATEMENT

COVER SHEET

DGS Project Name DGS C-0948-0082

DGS Project Number Phase 1 Construction and Related Improvements Forum Buidling

Check One:

Corporation,

Partnership,

Individual,

Joint Venture,

Other _____

Name of Firm Appellation Construction Services, LLC

Address 999 N. Loyalsock Ave Montoursville, PA 17754

Principal Office 999 N. Loyalsock Ave. Montoursville, PA 17754

Owner or Authorized Representative Gregory Galante

SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? 10

b) How many years has the firm been doing business in proposed contract field? 10

Under what former names has the firm conducted business?
Appellation Pre Fab, LLC

c) Provide an **Attachment 1** to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:

Date of incorporation 11/12/2009

State of incorporation Pennsylvania

President's name Dave Holtzman

Vice President's name(s) Gregory Galante

Secretary's name Gregory Galante

Treasurer's name Dave Holtzman

e) If the firm is a partnership, provide the following information:

Date of formation _____

Type of partnership _____

Names of partners _____

f) If the firm is individually owned, provide the following information:

Date of formation _____

Name of owner _____

g) If the form of the firm is other than those listed above, describe it and name the principals:

SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

- a) Provide the annual construction volume in dollars completed by the firm in the past three years:
- Year 2019 \$ 58,450,774
- Year 2018 \$ 46,674,364
- Year 2017 \$ 29,915,257
- b) Identify the percentage of work on similar projects the firm typically performs with its own work force 100%
- c) List the categories of work that the firm normally performs with its own forces on similar projects. Lighting, Fire Alarm, Branch Circuit, Medium Voltage services, Lighting Control, Back-up Power Systems, and Electrical Equipment Installation

2.2 Project Experience and References

Submit as **Attachment 2** to this Qualifications Statement:

- a) Suggested number of Sheets/Pages:

- 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

- i. Name of project, type of project and location
- ii. Description of the project and relevance of work to the Contract Work
- iii. Contact information for an owner representative familiar with the firm's work performed on this project. Include name, address, telephone number(s) and e-mail address.
- iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
- v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
- vi. As available, performance ratings of the work evaluated by owner or owner's representative.

2.3 Contractor Safety Record

Submit as **Attachment 3** to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

- a) Provide the firm's Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

Year 1: 2018 0.64%

Year 2: 2017 0.62%

Year 3: 2016 0.63%

- b) Provide the firm's Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: 2019 0%

Year 2: 2018 0%

Year 3: 2017 0%

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

- c) Provide the firm's Recordable Incidence Rate (RIR) for the past three years:

Year 1: 2019 0%

Year 2: 2018 0%

Year 3: 2017 0%

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

- d) Provide in an **Attachment 4** to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an **Attachment 5** to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

- 3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No

- 3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes ___ No

- 3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ___ No

- 3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ___ No

- 3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?

Yes ___ No

- 3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?

Yes ___ No

- 3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?

Yes ___ No

- 3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?

Yes ___ No

- 3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?

Yes ___ No

*Note: information regarding health and safety violations is addressed in a previous section.

- 3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm's business?

Yes ___ No

- 3.11 Has the firm been the subject to any bankruptcy proceeding?

Yes ___ No

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

- 4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

- 4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

- 4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth's requirements for workers' compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

- 4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth's prevailing wage law and Public Works Employment Verification Act.

- 4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.

- 4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.
- 4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.
- 4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.

Attachment 1 of Appendix F, Prime Contractor Qualification Statement

Appellation Construction Services, LLC is licensed or qualified to do business in Kentucky, Maryland, Pennsylvania, Delaware, Virginia, West Virginia, New Jersey, and New York.

Copies of any business or trade licenses, certificates or registrations are to follow.

NON-MARYLAND LIMITED LIABILITY COMPANY REGISTRATION

*****This document must be accompanied by written proof of existence from the home state, equivalent of a Certificate of Good Standing.*****

(1) Full legal name in home jurisdiction:

Appellation Construction Services, LLC

(2) Name it will use in Maryland if different from above (*must include "Limited Liability Company" or "LLC"*):

(3) State of Formation:

Pennsylvania

(4) Date of Formation:

11/12/2009

(5) Principal Office Address:

999 North Loyalsock Avenue Suite C, Montoursville, PA, 17754

(6) Nature of Business in Maryland:

To perform work in the state of Maryland on natural gas pipelines and pipeline transmission facilities

(7) Name and Address of Resident Agent for Service of Process in Maryland (*no P.O. Boxes*):

CSC-Lawyers Incorporating Service Company
7 St. Paul Street Suite 820, Baltimore, MD, 21202

If no Resident Agent in Maryland is named or if the Agent cannot be found or served, this Department is appointed as Resident Agent of this Limited Liability Company.

Has this Limited Liability Company done business in Maryland prior to this registration?

Yes No

I hereby consent to my designation in this document as resident agent for this corporation.

Eric Robinson

Signature of Authorized Person

Deb Reeves, Agent

Signature of Resident Agent

State of Delaware
Secretary of State
Division of Corporations
Delivered 12:32 PM 01/06/2020
FILED 12:32 PM 01/06/2020
SR 20200078385 - File Number 7785409

STATE OF DELAWARE
CERTIFICATE OF REGISTRATION
OF A FOREIGN LIMITED LIABILITY COMPANY

The foreign limited liability company hereby certifies as follows:

1. The name under which the foreign limited liability company is registering in the State of Delaware is Appellation Construction Services, L.L.C. . (If different, the name under which they are registered in their foreign jurisdiction)
2. The foreign limited liability company was formed under the laws of Pennsylvania on 11/12/2009 . As of the date of this filing: the foreign limited liability company validly exists as a limited liability company under the laws of the jurisdiction of its formation.
3. The nature of the business or purposes to be conducted or promoted by the foreign limited liability company in the State of Delaware is as follows:
Civil, electrical, and mechanical services on natural gas pipelines and facilities. .
4. The Registered Office of the foreign limited liability company in the State of Delaware is located at 251 Little Falls Drive (street), in the City of Wilmington , Zip Code 19808 . The name of the Registered Agent at such address upon whom process against this foreign limited liability company may be served is Corporation Service Company .
5. The date on which the foreign limited liability company first did or intends to do business in the State of Delaware is 01/13/2020 .
6. The Secretary of State of the State of Delaware is appointed the agent of the foreign limited liability company for service of process under the circumstances set forth in Title 6, Section 18-910(b) of the Delaware Code.

By: Gregory Galante CEO
Authorized Person(s)

Name: GREGORY GALANTE
Print or Type



DIVISION OF CORPORATIONS
P.O. BOX 893
DOVER, DELAWARE 19903

STATE OF DELAWARE
DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
401 FEDERAL STREET, SUITE 4
DOVER, DELAWARE 19901

GENERAL INFORMATION
www.CORP.DELAWARE.GOV
(302) 739-3073

November 6, 2019

Dear Customer:

Please be advised effective January 1, 2020, the Division of Corporations will no longer accept facsimile (fax) submissions. The Division of Corporations now has available through our website a new Document Upload Service for electronically submitting document filings and certificate requests.

This service is available Monday thru Thursday from 7:45am to 11:59pm (EST) and on Friday from 7:45am to 10:30pm (EST). This service is not available during weekends, State holidays and unexpected closures of the Division of Corporations.

For more information regarding the Document Upload Service, please visit our website at www.corp.delaware.gov/. For additional information and assistance please contact our office at 302-739-3073 between the hours of 8:00 am and 6:00 pm EST.

Check and money order requests should still be submitted via mail to our office for processing.

Sincerely,

Division of Corporations

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF REVENUE
FRANKFORT
40620
revenue.ky.gov

10/21/2019

Appellation Construction Services LLC
999 N Loyalsock Ave Ste C
Montoursville PA 177541005

RE: Corporation Income Tax and/or Limited Liability Entity Tax
Account Number: 000853819

The Corporation Income Tax and/or Limited Liability Entity Tax account number referenced above has been assigned to your business. Please use this account number on all tax returns and correspondence regarding Corporation Income and/or Limited Liability Entity taxes. Department of Revenue (DOR) records indicate the liability date, or date when your company began “doing business in this state”, pursuant to KRS 141.010(7), is 10/21/2019. “Doing business in this state” is defined below in paragraph 5.

KRS 141.010(4) states that a corporation is defined “in Section 7701(a)(3) of the Internal Revenue Code.” Every corporation “doing business in this state” is subject to corporation income tax, except those specifically listed as exceptions under KRS 141.040(1)(a)-(h).

KRS 141.010(21) defines a pass-through entity as “any partnership, S corporation, limited liability company, limited liability partnership, limited partnership, or similar entity recognized by the laws of this state that is not taxed for federal purposes at the entity level, but instead passes to each partner, member, shareholder, or owner their proportionate share of income, deductions, gains, losses, credits, and any other similar attributes”. KRS 141.010(15) defines a limited liability pass-through entity as “any pass-through entity that affords any of its partners, members, shareholders, or owners, through function of the laws of this state or laws recognized by this state, protection from general liability for actions of the entity”.

Every corporation and every limited liability pass-through entity “doing business in this state”, except those specifically listed as exempt under KRS 141.0401(6)(a)-(r), are subject to the limited liability entity tax as computed under KRS 141.0401(2)(b) or a statutory minimum of \$175.00, whichever amount is greater.

Pursuant to KRS 141.010(7), “doing business in this state” includes but is not limited to the following:

- (a) Being organized under the laws of this state;
- (b) Having a commercial domicile in this state;

- (c) Owning or leasing property in this state;
- (d) Having one (1) or more individuals performing services in this state;
- (e) Maintaining an interest in a pass-through entity doing business in this state;
- (f) Deriving income from or attributable to sources within this state, including deriving income directly or indirectly from a trust doing business in this state or deriving income directly or indirectly from a single-member limited liability company that is doing business in this state and is disregarded as an entity separate from its single member for federal income tax purposes; or
- (g) Directing activities at Kentucky customers for the purpose of selling them goods or services.

Nothing in this subsection (KRS 141.010(7)) shall be interpreted in a manner that goes beyond the limitations imposed and protections provided by the United States Constitution or Pub. L. No. 86-272.

For assistance with updating your business information, contact the Division of Registration at 502-564-3306.

If forms are needed for current or prior year filing purposes, they are available at <https://revenue.ky.gov>.

For information regarding filing and payment of returns, contact the tax division listed below.

Division of Corporation Tax
P.O. Box 181, Station 52
Frankfort KY 40602-0181
Phone: (502) 564-8139
Fax: (502) 564-0058
Email: KRC.WebResponseCorporationTax@ky.gov

CONTRACTOR LICENSE

Authorized by the

West Virginia Contractor Licensing Board

Number: WV058608

Classification:

GENERAL ENGINEERING
PIPING
EXCAVATION

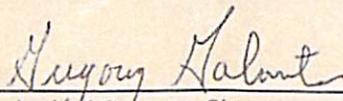
APPELLATION CONSTRUCTION SERVICES L
DBA APPELLATION CONSTRUCTION SERVICES L
999 N LOYALSOCK AV STE C
MONTOURSVILLE, PA 17754

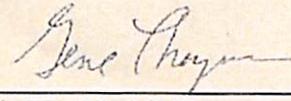
Date Issued

Expiration Date

MAY 22, 2019

MAY 22, 2020


Authorized Company Signature


Chair, West Virginia Contractor
Licensing Board

WEST VIRGINIA
CONTRACTOR
LICENSING
BOARD

This license, or a copy thereof, must be posted in a conspicuous place at every construction site where work is being performed. This license number must appear in all advertisements, on all bid submissions and on all fully executed and binding contracts. This license cannot be assigned or transferred by licensee. Issued under provisions of West Virginia Code, Chapter 21, Article 11.



STATE OF NEW JERSEY BUSINESS REGISTRATION CERTIFICATE

Taxpayer Name: APPELLATION CONSTRUCTION SERVICES LLC
Trade Name:
Address: 999 N LOYALSOCK STE C
MONTOURSVILLE, PA 17754-1005
Certificate Number: 2181751
Effective Date: November 02, 2017
Date of Issuance: April 03, 2019

For Office Use Only:
20190403092030654

NEW JERSEY DEPARTMENT OF THE TREASURY
DIVISION OF REVENUE AND ENTERPRISE SERVICES

CERTIFICATE OF REGISTRATION

APPELLATION PRE-FAB LLC
0450213159

The above-named FOREIGN LIMITED LIABILITY COMPANY was duly filed in accordance with New Jersey State Law on 11/01/2017 and was assigned identification number 0450213159. Following are the articles that constitute its original certificate.

1. **Name:**
APPELLATION PRE-FAB LLC
2. **Registered Agent:**
CORPORATION SERVICE COMPANY
3. **Registered Office:**
PRINCETON SOUTH CORPORATE STE 160
100 CHARLES EWING BLVD
EWING, NEW JERSEY 08628
4. **Business Purpose:**
PIPE FABRICATION AND INSTALLATION FOR THE NATURAL GAS INDUSTRY
5. **Incorporated Under the Laws of:**
PENNSYLVANIA ON 11/12/2009
6. **Effective Date of this filing is:**
11/01/2017
7. **Main Business Address:**
740 FAIRFIELD RD
MONTOURSVILLE, PENNSYLVANIA 17754-8325

Signatures:

CHERIE L. CRAFT
AUTHORIZED REPRESENTATIVE



Certificate Number : 4042631470
Verify this certificate online at
https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp

*IN TESTIMONY WHEREOF, I have
hereunto set my hand and
affixed my Official Seal
1st day of November, 2017*

A handwritten signature in cursive script, appearing to read "Ford M. Scudder".

Ford M. Scudder
State Treasurer

JON HUSTED
Ohio Secretary of State



Toll Free: (877) SOS-FILE (877-767-3453) | Central Ohio: (614) 466-3910
www.OhioSecretaryofState.gov | busserv@OhioSecretaryofState.gov
File online or for more information: www.OHBusinessCentral.com

[For screen readers, follow instructions located at this path.](#)

Registration of a Foreign Limited Liability Company

Filing Fee: \$99
Form Must Be Typed

CHECK ONLY ONE (1) BOX

(1) Registration of a Foreign For-Profit Limited Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation
State Country

Date of Formation

(2) Registration of a Foreign Nonprofit Limited Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation
State Country

Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Name

Mailing Address

City State Country ZIP Code

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

CORPORATION SERVICE COMPANY

Name of Agent

50 WEST BROAD ST SUITE 1330

Mailing Address

COLUMBUS

City

OH

State

43215

ZIP Code

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

CHERIE CRAFT

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Cherie Craft

From: Eric Robinson
Sent: Friday, March 01, 2019 9:39 AM
To: Cherie Craft
Subject: FW: 1903015409 - Appellation Construction Services, LLC

From: sccfile@scc.virginia.gov <sccfile@scc.virginia.gov>
Sent: Friday, March 1, 2019 9:13 AM
To: Eric Robinson <erobinson@aprefab.com>
Subject: 1903015409 - Appellation Construction Services, LLC

eFile Express Confirmation

Congratulations on successfully forming the following Virginia company:

Company Name: Appellation Construction Services, LLC
SCC ID: S8100408
Amount Paid to SCC: \$100.00
Date Filed: 3/1/2019
Confirmation Number (DCN): 1903015409

View and print a copy of your [certificate](#) - *This link is only available on this page.*

Your [filing and receipt](#) will remain available in SCC eFile transaction history.

For important post-formation notices and information, visit:

<http://www.scc.virginia.gov/clk/begin.aspx>

AND



You will receive an **Email Notification** when an online filing or payment is completed for this company by another account holder. Click [here](#) to opt out of this service.

Comments? Take our brief [survey](#) to provide feedback.

Attachment 2 of the Qualifications Statement

Peter Herdic Fire Alarm Upgrades

Appellation Construction Services successfully completed the "Peter Herdic Fire Alarm Upgrade #18-134," a commercial-type project for the Peter Herdic Hi-Rise Facility located at 505 Center Street in Williamsport, PA.

The Peter Herdic Hi-Rise was a fire alarm renovation with phased turnover areas. This job required work similar to the Contract Work because it was a phased fire alarm project, and was occupied during the complete renovation.

The individual familiar with the work we performed on this project is Dave Frederick. Contact information is as follows:

Dave Frederick
Lycoming County Housing Authority
1941 Lincoln Drive
Williamsport, PA 17701
570-419-4677
davef@lycominghousing.org

The original bid/proposal price was \$119,289.00, and the final contract price was exactly \$119,289.00.

The original completion date set for this project was 06/11/2019, and the actual completion date for this project was 06/11/2019.

Muncy Jr./Sr. High School Gym Addition and Miscellaneous Renovations Project

Appellation Construction Services is currently finishing the "Additions and Renovations to Muncy Junior-Senior High School" public works project for Muncy Jr./Sr. High School located at 200 W Penn Street, Muncy, PA.

Muncy Jr/Sr High School has an addition being attached to the main school. The interior renovation consisted of entire new fire alarm replacement. The Auditorium had the existing light fixtures removed and replaced with new. The project is a 3 phased secure building project with portions of the project to be working around occupied classrooms. The phasing was set with a time schedule to have designated start date and completion date. This project has a new service with medium primary to a medium voltage transformer. The medium transformer feeds a switch gear line up, which distributes throughout the facility. This project consists of occupied areas to be turned over for construction and completed on a set-time for reoccupying. This project's relevance of work to the Contract Work

Attachment 2 of the Qualifications Statement

The individual familiar with the work we performed on this project is Jeff Brophy. Contact information is as follows:

Jeff Brophy
SitelogiQ, Inc.
3300 N 3rd St
Harrisburg, PA 17110
717-648-8182
jbrophy@reynoldssolutions.com

The original bid/proposal price was \$1,486,775.00. The original completion date set for this project is May 26, 2020. This project is ongoing. We project the final price to be \$1,650,000.

UGI Northmoreland, Gas Compressor Station

Appellation Construction Services successfully performed the work for the “UGI-Northmoreland Compressor Station” project which was an industrial-type line of work completed by our company for UGI Energy Services. This project was located at 1525 State Route 292 E, in Dallas, PA.

UGI Northmoreland was a coordination project that needed to have all the contractors working together to successfully complete the project on the contracted schedule.

The original bid/proposal price was \$7,109,438. The original date for the completion of the project was December 2019, and was completed in December of 2019.

The individual familiar with the work we performed on this project is Heath Kearney. Contact information is as follows:

Heath Kearney
UGI
835 Knitting Mills Way
Wyomissing, PA 19610
484-706-0001
hkearney@ugies.com

Attachment 3 of Appendix F, Prime Contractor Qualification Statement

- ✓ **OSHA 300A Forms**
- ✓ **PA Experience Rating
Modification Forms**

OSHA's Form 300A (Rev. 01/2004)

Summary of Work-Related Injuries and Illnesses

Year 19 

U.S. Department of Labor
Occupational Safety and Health Administration

Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the log. If you had no cases write "0."

Employees former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR 1904.35, in OSHA's Recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
(G)	(H)	(I)	(J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
<u>0</u>	<u>0</u>
(K)	(L)

Injury and Illness Types

Total number of... (M)	
(1) Injury	<u>0</u>
(2) Skin Disorder	<u>0</u>
(3) Respiratory Condition	<u>0</u>
(4) Poisoning	<u>0</u>
(5) Hearing Loss	<u>0</u>
(6) All Other Illnesses	<u>0</u>

Post this Summary page from February 1 to April 30 of the year following the year covered by the form

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instruction, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistics, Room N-3644, 200 Constitution Ave. NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name APPELLATION CONSTRUCTION SERVICES, LLC
 Street 999 N. LOYALSOCK AVENUE
 City MONTAURVILLE State PA Zip 17754
 Industry description (e.g., Manufacture of motor truck trailers)
OIL & GAS PIPELINE RELATED STRUCTURES
 Standard Industrial Classification (SIC), if known (e.g., SIC 3715)
1623
 OR North American Industrial Classification (NAICS), if known (e.g., 336212)
237120

Employment information

Annual average number of employees 118
 Total hours worked by all employees last year 385,368

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Shane R. Deane
 Company executive
(570) 601-4765
 Phone

SAFETY MANAGER
 Title
01/10/2020
 Date

Summary of Work-Related Injuries and Illnesses

Year 20 18



U.S. Department of Labor
Occupational Safety and Health Administration

Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before completing this summary.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the Log. If you had no cases, write "0."

Employees, former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR Part 1904.35, in OSHA's recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
(G)	(H)	(I)	(J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
<u>0</u>	<u>0</u>
(K)	(L)

Injury and Illness Types

Total number of . . . (M)		
(1) Injuries	<u>0</u>	(4) Poisonings
(2) Skin disorders	<u>0</u>	(5) Hearing loss
(3) Respiratory conditions	<u>0</u>	(6) All other illnesses
		<u>0</u>

Post this Summary page from February 1 to April 30 of the year following the year covered by the form.

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instructions, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any other aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistical Analysis, Room N-3644, 200 Constitution Avenue, NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name APPELLATION CONSTRUCTION SERVICES, LLC.

Street 999 N. LOVALSOCK AVE (SUITE C)

City MONTOURSVILLE State PA ZIP 17254

Industry description (e.g., Manufacture of motor truck trailers) OIL & GAS PIPELINE & RELATED STRUCTURES

Standard Industrial Classification (SIC), if known (e.g., 3715) 1623

OR

North American Industrial Classification (NAICS), if known (e.g., 336212) 237120

Employment information (If you don't have these figures, see the Worksheet on the back of this page to estimate)

Annual average number of employees 130

Total hours worked by all employees last year 319,949

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Shane R. Greene SAFETY MANAGER
Company executive Title

510601-4765 Phone 01/07/2019 Date

Summary of Work-Related Injuries and Illnesses

Year 20 17

U.S. Department of Labor
Occupational Safety and Health Administration

Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no work-related injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete and accurate before completing this summary.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the Log. If you had no cases, write "0."

Employees, former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR Part 1904.35, in OSHA's recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
ϕ (G)	ϕ (H)	ϕ (I)	ϕ (J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
ϕ (K)	ϕ (L)

Injury and Illness Types

Total number of . . . (M)	(1) Injuries	(2) Skin disorders	(3) Respiratory conditions	(4) Poisonings	(5) Hearing loss	(6) All other illnesses
	ϕ	ϕ	ϕ	ϕ	ϕ	ϕ

Post this Summary page from February 1 to April 30 of the year following the year covered by the form.

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instructions, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any other aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistical Analysis, Room N-3644, 200 Constitution Avenue, NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name APPELLATION PRE-FAB, LLC.
 Street 240 FAIRFIELD ROAD
 City MONTOURSVILLE State PA ZIP 17754

Industry description (e.g., Manufacture of motor truck trailers)
OIL-GAS PIPELINE & RELATED STRUCTURES
 Standard Industrial Classification (SIC), if known (e.g., 3715)
1623

OR
 North American Industrial Classification (NAICS), if known (e.g., 336212)
237120

Employment information (If you don't have these figures, see the Worksheet on the back of this page to estimate.)

Annual average number of employees 98
 Total hours worked by all employees last year 237,569

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Shane R. Greene SAFETY MANAGER
Company executive
 (570) 601-4765 01/02/2018
Phone Date



Pennsylvania Compensation Rating Bureau

United Plaza Building • Suite 1500
30 South 17th Street • Philadelphia, PA 19103-4007
(215)568-2371 • FAX (215)564-4328 • www.pcrb.com

PA EXPERIENCE RATING MODIFICATION

File Number: 3282115 **County:** LYCOMING
Experience Mod: 0.658 **Address:** 999 N LOYALSOCK AVE STE C
XREF: **Effective Term:** 03/12/2019 - 03/12/2020
Issue Date: 12/17/2018
Card Number: 1
Governing Class Code: 0675
Employer Name: APPELLATION CONSTRUCTION SERVICES LLC

Modification History	Effective Date
0.643	03/12/2018
0.618	03/12/2017
0.628	03/12/2016
0.653	03/12/2015

Governing Class Code	Rating Value	Description
413	3.81	IRON WORKS
609	3.22	EXCAVATION
675	2.69	MACHINERY OR EQUIPMENT ERECTION
951	.22	SALESPERSON - OUTSIDE
953	.10	OFFICE
9046	.15	CONSTRUCTION CLASS PREMIUM CREDIT
9890	.05	SAFETY COMMITTEE CREDIT (5%)



Pennsylvania Compensation Rating Bureau

United Plaza Building • Suite 1500
30 South 17th Street • Philadelphia, PA 19103-4007
(215)568-2371 • FAX (215)564-4328 • www.pcrb.com

PA EXPERIENCE RATING MODIFICATION

File Number:	3282115	County:	LYCOMING
Experience Mod:	0.643	Address:	740 FAIRFIELD RD
XREF:			MONTOURSVILLE PA 17754
Issue Date:	12/05/2017	Effective Term:	03/12/2018 - 03/12/2019
Card Number:	1		
Governing Class Code:	0675		
Employer Name:	APPELLATION PRE-FAB LLC		

Modification History

Effective Date

0.618	03/12/2017
0.628	03/12/2016
0.653	03/12/2015
0.687	03/12/2014

Governing Class Code

Rating Value

Description

413	4.16	IRON WORKS
609	3.46	EXCAVATION
675	3.01	MACHINERY OR EQUIPMENT ERECTION
951	.24	SALESPERSON - OUTSIDE
953	.11	OFFICE
9046	.08	CONSTRUCTION CLASS PREMIUM CREDIT
9890	.05	SAFETY COMMITTEE CREDIT (5%)



Pennsylvania Compensation Rating Bureau

United Plaza Building • Suite 1500
30 South 17th Street • Philadelphia, PA 19103-4007
(215)568-2371 • FAX (215)564-4328 • www.pcrb.com

PA EXPERIENCE RATING MODIFICATION

File Number:	3282115	County:	LYCOMING
Experience Mod:	0.618	Address:	740 FAIRFIELD RD
XREF:			MONTOURSVILLE PA 17754
Issue Date:	12/06/2016	Effective Term:	03/12/2017 - 03/12/2018
Card Number:	1		
Governing Class Code:	0675		
Employer Name:	APPELLATION PRE-FAB LLC		

Modification History	Effective Date
0.628	03/12/2016
0.653	03/12/2015
0.687	03/12/2014
0.722	03/12/2013

Governing Class Code	Rating Value	Description
413	4.44	IRON WORKS
609	3.76	EXCAVATION
675	3.20	MACHINERY OR EQUIPMENT ERECTION
951	.31	SALESPERSON - OUTSIDE
953	.12	OFFICE
9046	.14	CONSTRUCTION CLASS PREMIUM CREDIT
9890	.05	SAFETY COMMITTEE CREDIT (5%)

Attachment 4 of Appendix F, Prime Contractor Qualification Statement

- ✓ *Appellation Construction Services has not incurred an OSHA recordable injury in the last 3 years*

Section T-1C Designed
Critical Work
APPENDIX G

DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT

APPENDIX G
DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT

COVER SHEET

DGS Project Name Phase 1 Construction and Related Improvements Forum Building

DGS Project Number DGS C-0948-0082

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one "Designated Critical Work Qualification Statement" for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)

- Terrazzo
- Interior Marble
- Decorative Painting
- Exterior Windows & Doors
- Millwork
- Hazmat in Historical Buildings
- Elevator

HVAC Construction (.2 contract)

- Testing, Adjusting and Balancing
- HVAC Controls
- Work with high pressure steam systems, cooling tower, chiller

Plumbing Construction (.3 contract)

- Fire Suppression System with Fire Pump

Electrical Construction (.4 contract)

- Fire Alarm Communication System Voice Addressable
- Security
- IT Infrastructure/Fiber Optic
- Generator

Appendix G

Designated Critical Work Qualifications

Name of Firm: Siemens Smart Infrastructure
Address: 5095 Ritter Road
Suite 104
Mechanicsburg, PA 17055

Principal Office: 1000 Deerfield Parkway
Buffalo Grove, IL 60089

Authorized Rep: Mr. Dave Hopping, President

Section 1 – Firm Information

Section 1.1 Background Information

Siemens has been in business for over 170 years. Siemens has been in the Fire & Life Safety business for 145 years. In 1875 Faraday was founded in Brooklyn, NY providing Fire and Life Safety solutions. In 1995, Faraday was purchased by Cerberus Pyrotronics which was in turn purchased by Siemens in 1998. Siemens has continued to advance the Fire and Life Safety business ever since.

Siemens is qualified to do business in all 50 States in the Union. Siemens has around 377,000 employees in more than 200 countries/regions. We operate in production and manufacturing plants worldwide. In addition, we have office buildings, warehouses, research and development facilities or sales offices in almost every country/region in the world.

Siemens was originally incorporated on October 1, 1847, Berlin, Kingdom of Prussia and incorporated in the USA in 1892. Today, Siemens is headquartered in Munich, Germany with USA headquarters in Buffalo Grove, IL.

Siemens is managed by a Managing board from our Munich headquarters. Barbara Humpton is CEO of Siemens US, and Dave Hopping the President of Siemens Smart Infrastructure US. Mr. Hopping's Financial Business Partner is Peter Kamps, and both work out of our Buffalo Grove, IL office which is US headquarters of Siemens Smart Infrastructure US.

Section 2 – Experience and Performance

Section 2.1 – General

Annual construction volume in dollars completed by Siemens SI in the past three years:

	Harrisburg Branch	Siemens SI USA
2019	\$20,820,918	\$2,354,688,947
2018	\$21,223,663	\$2,236,821,335
2017	\$20,577,460	\$2,063,486,341

The percentage of work on similar projects the Harrisburg Branch uses its own work force is 75%.

The categories of work performed by the Harrisburg Branch own work force include installation of new and service/maintenance/upgrades on existing building automation, fire safety, security, energy, and mechanical systems.

Section 2.2 Project Experience and References

Please see attachment #1

Section 2.3 Contractor Safety Record

Please see attachment #2

Section 2.3.d Health and Safety Citations

Please see attachment #3

Section 3 – Required Disclosures

Please see attachment #5

Attachment 1

Section 2.2 Project Experience and References

Project Name: State of PA Rachel Carson Building
400 Market St, Harrisburg, PA 17101
As a prime contractor Siemens performed a complete fire alarm system replacement in an occupied state office building
Mr. Tim Burke
Division Chief, Dept of General Services
403 North Office Building
Harrisburg, PA 17120
717-705-2887
timburke@pa.gov
Contract Price: \$244,820
Owner Directed Change Orders: \$53,964
Total Contract Price: \$298,784
Start Date: 8-10-2015
Expected Completion: 9-15-2015
Completion Date: 9-7-2017

Project Name: SCI Coal Township Prison
1 Kelley Dr, Coal Township, PA 17866
As a fire alarm system vendor, Siemens provided equipment and technical expertise to Cyprium Solutions (electrical contractor) for a complete fire alarm system replacement
Mr. Dennis Hevener
Cyprium Solutions
6069 E Main Street
East Petersburg, PA 17520
717-519-6600
dwh@cypriumsolutions.com
Contract Price: \$365,000
Owner Directed Changes: \$3,218
Total Contract Cost: \$368,218
Contract Cost at Completion: \$368,218
Start Date: 9-1-2016
Expected Completion: 6-1-2017
Completion Date: 9-7-2017
Delays caused by site issued not related to product delivery

Project Name: Homestead Village

1800 Marietta Ave, Lancaster, PA 17603

Complete fire alarm system replacement in occupied retirement living facility

James Tesche

Director of Facilities

1800 Marietta Ave

Lancaster, PA 17603

717-874-4234

jtesche@hvillage.org

Contract Price: \$489,100

Owner Directed Changes: \$24,8000

Total Contract: \$513,900

Expected Cost at Completion: \$513,900

Start Date: 12-15-2019

On-going installation

Projected completion: 6-1-2020 (on time for completion)

Attachment 2

Section 2.3 Contractor Safety Record

Workers Compensation Experience Modification Rating – Harrisburg Branch

2019 – 0.60

2018 – 0.61

2017 – 0.60

Total Lost Workday Incidence Rate (LWDIR) – Harrisburg Branch

2020 – 0.0

2019 – 0.0

2018 – 0.0

Recordable Incidence Rate (RIR) – Harrisburg Branch

2020 – 0.0

2019 – 2.8

2018 – 0.0

Attachment 3

Section 2.3.d: Health and Safety Citations:

Siemens Harrisburg has received no safety citations issued by federal or state agencies for serious or willful violations in the past three years.

Attachment 5

SECTION 3 – Required Disclosures

- 3.1 Is the firm currently debarred or suspended from doing business with any federal, state or local government agency or private entity?

No

- 3.2 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

No

- 3.3 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

No

- 3.4 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

No

- 3.5 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

No

- 3.6 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?

Siemens Industry, Inc, Smart Infrastructure performs in excess of 45,000 projects nationally per year through over 100 offices. It does not track assessment and payment of liquidated damages centrally. The office performing the work's experience, Harrisburg Branch, has not paid liquidated damages in the past five years.

- 3.7 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?

Yes

As with any other large entity performing the volume of activity as SII administrative suspensions do sometimes occur. No suspensions have occurred for any wrongdoing. No disciplinary actions by any contractors licensing board have been taken against SII. No suspensions have occurred regarding the permission to practice a regulated profession, occupation or vocation. Any suspensions that have occurred have been administrative in nature, most commonly due to delay of paperwork or system updates (e.g., misplaced copies of Insurance Certificates, crossing of information between the Secretary of State and various state Departments, etc.). All such notifications are immediately addressed and resolved. To the best

of our knowledge, there are currently no suspensions, proceedings or investigations now pending.

- 3.8 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?

Yes

Siemens Industry, Inc. ("SII"), a subsidiary member of Siemens Corporation, is a multi-billion-dollar company involved in wide ranging construction projects. As such Siemens Industry, Inc. has been involved in miscellaneous litigation (e.g., collection of fees, workers' compensation, auto liability, general liability, etc.) arising out of its business, none of which are of a material nature, individually or collectively, as to adversely impact its ability to completely and satisfactorily perform any of its projects.

- 3.9 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?

No

- 3.10 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?

Yes

SII employs over 15,000 employees and has been involved in sporadic proceedings with respect to alleged violations of federal, state or local labor laws arising out of its business, each of which the company takes seriously, carefully investigates, and responds accordingly. SII complies with applicable federal and state prevailing wage law, and individual proceedings are not indicative of any disregard of applicable law.

*Note: information regarding health and safety violations is addressed in a previous section.

- 3.11 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm's business?

No

- 3.12 Has the firm been the subject to any bankruptcy proceeding?

No

APPENDIX G

DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

**APPENDIX G
DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT**

COVER SHEET

DGS Project Name Phase 1 Construction and Related Improvements Forum Building

DGS Project Number DGS C-0948-0082

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one "Designated Critical Work Qualification Statement" for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)

- Terrazzo
- Interior Marble
- Decorative Painting
- Exterior Windows & Doors
- Millwork
- Hazmat in Historical Buildings
- Elevator

HVAC Construction (.2 contract)

- Testing, Adjusting and Balancing
- HVAC Controls
- Work with high pressure steam systems, cooling tower, chiller

Plumbing Construction (.3 contract)

- Fire Suppression System with Fire Pump

Electrical Construction (.4 contract)

- Fire Alarm Communication System Voice Addressable
- Security
- IT Infrastructure/Fiber Optic
- Generator

Name of Firm Advance Telecom + Security, LLC.

Address 50 Jewel Drive, York, PA 17404

Principal Office _____

Owner or Authorized Representative Matt Ryder

SECTION 1 – FIRM INFORMATION

1.1 Background Information

a) How many years has the firm been in business? One year

b) How many years has the firm been doing business in proposed contract field? One year

Under what former names has the firm conducted business?

N/A

c) Identify all jurisdictions in which the firm is licensed or otherwise qualified to do business.

Pennsylvania New Jersey _____
Maryland Delaware _____

d) If the firm is a corporation, provide the following information:

Date of incorporation N/A

State of incorporation _____

President's name _____

Vice President's name(s) _____

Secretary's name _____

Treasurer's name _____

e) If the firm is a partnership, provide the following information:

Date of formation February 22, 2019

Type of partnership LLC

Names of partners Javier Altube, Camille Ryder + Wendy Fink

f) If the firm is individually owned, provide the following information:

Date of formation N/A

Name of owner _____

g) If the form of the firm is other than those listed above, describe it and name the principals:

N/A

SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

- a) Provide the annual construction volume in dollars completed by the firm in the past three years:

Year One \$ 97,846.00

Year _____ \$ _____

Year _____ \$ _____

- b) Identify the percentage of work on similar projects the firm typically performs with its own work force 100%

- c) List the categories of work that the firm normally performs with its own forces on similar projects. Structured cabling, Fiber Optic cabling, Card Access Systems, Camera systems, and data systems.

2.2 Project Experience and References

Submit as **Attachment 1** to this Qualifications Statement:

- a) Suggested number of Sheets/Pages:

- 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

- vii. Name of project, type of project and location
- viii. Description of the project and relevance of work to the Contract Work
- ix. Contact information for an owner representative familiar with the firm's work performed on this project. Include name, address, telephone number(s) and e-mail address.
- x. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
- xi. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
- xii. As available, performance ratings of the work evaluated by owner or owner's representative.

2.3 Contractor Safety Record

Submit as **Attachment 2** to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

- a) Provide the firm's Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

Year 1: 2019 n/a

Year 2: _____

Year 3: _____

- b) Provide the firm's Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: 2019 0

Year 2: _____

Year 3: _____

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

- c) Provide the firm's Recordable Incidence Rate (RIR) for the past three years:

Year 1: 2019 0

Year 2: _____

Year 3: _____

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

- d) Provide in an **Attachment 3** to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an **Attachment 5** to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

- 3.1 Is the firm currently debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No

- 3.2 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No

- 3.3 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes ___ No

- 3.4 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ___ No

- 3.5 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

- Yes ___ No
- 3.6 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
Yes ___ No
- 3.7 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
Yes ___ No
- 3.8 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
Yes ___ No
- 3.9 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
Yes ___ No
- 3.10 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
Yes ___ No
- *Note: information regarding health and safety violations is addressed in a previous section.
- 3.11 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm's business?
Yes ___ No
- 3.12 Has the firm been the subject to any bankruptcy proceeding?
Yes ___ No

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the other representations and authorizations listed in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

- 4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.
- 4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.
- 4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth's requirements for workers' compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

- 4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth's prevailing wage law and Public Works Employment Verification Act.
- 4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
- 4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.
- 4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.
- 4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.

- *Schedule compliance/schedule performance*
- *Cost-control and project budget management*
- *Reputation for reasonable and cooperative behavior and commitment to customer satisfaction*
- *Financial stability*
- *Compliance with applicable safety laws and policies*
- *Compliance with Prevailing Wage Act*
- *Compliance with prompt payment laws and policies*
- *Compliance with other applicable laws and regulations*

In addition, the evaluation of the Prime Contractor's Qualifications, Experience and Performance will be considered with respect to the percentage of Project Work that the Prime Contractor proposes to provide.

Section T-1C Designated Critical Work: Qualifications, Experience and Past Performance

Suggested maximum number of pages: Appendix F with Attachments plus 6 pages for Experience and Past Performance

In Section T-1C of the Technical Submittal, the Proposer shall submit a Designated Critical Work Qualification Statement (**Appendix G**) for the entity or entities that will perform the following bulleted items. A Designated Critical Work Qualification Statement **must** be submitted for each bulleted item, regardless of whether this Work is done by the Prime Contractor or one or more subcontractors. The entity performing the Work (prime, subcontractor, or supplier) is required to satisfy the applicable Specification qualification and submit a Designated Critical Work Qualification Statement. The Proposer may provide more than one entity/ Designated Critical Work Qualification Statement for each bulleted item but the selected Prime Contractor shall enter subcontracts with ALL entities submitting Qualification Statements.

- General Construction (.1 contract)
 - Terrazzo
 - Interior Marble
 - Decorative Painting
 - Exterior Windows & Doors
 - Millwork
 - Hazmat in Historical Buildings
 - Elevator

- HVAC Construction (.2 contract)
 - Testing, Adjusting and Balancing
 - HVAC Controls
 - Work with high pressure steam systems, cooling tower, chiller
- Plumbing Construction (.3 contract)
 - Fire Suppression System with Fire Pump
- Electrical Construction (.4 contract)
 - Fire Alarm Communication System Voice Addressable
 - Security
 - IT Infrastructure/Fiber Optic
 - Generator

If awarded the contract, the Proposer shall enter subcontracts with ALL entities submitted under Section T-1C and not substitute themselves or any of their subcontractor/supplier listed in Section T-1C during the performance of the contract without prior written permission by DGS.

The evaluation of this section of the Technical Submittal will be based on the depth of prior experience, including specialized expertise with projects of similar size and scope relative to the Project Work for the entity required to submit a Designated Critical Work Qualifications Statement. Consideration will be given to all relevant performance factors.

**TECHNICAL SECTION 2:
PROJECT MANAGEMENT PLAN**

Section T-2A Project Management Team

Suggested maximum number of pages: 4, plus resumes as described below.

Section T-2A of the Technical Submittal focuses upon the Project Management Team and should contain a narrative identifying the individuals and highlighting the hierarchy and reporting structure between the individuals comprising the Project Management Team. Project Management Team personnel shall include, at a minimum, the project managers through field supervisors of the Prime Contractor and its subcontractors provided in Section T-1C.



Attachment 1

Project Experience:

We are a startup company with only one year in business. We have not obtained a job equivalent to the Harrisburg Forum Phase 1 project.

References:

1. Mr. Rudy Mangini, Telecommunications Supervisor at Enbridge. 724-208-4202. Rudy.mangini@enbridge.com
2. Mr. Keith Menges, Project Manager at ADT. 717-353-3179. Kmenges@adt.com
3. Mr. Tom Talley, Telecommunications Supervisor at Enbridge/Texas Eastern. 717-329-7568. Tom.talley@enbridge.com



Attachments 2 & 3

Attachment 2:

The company does not have any OSHA 300/200 Forms.

Attachment 3:

The company has no health and/or safety violations.

APPENDIX G

DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

**APPENDIX G
DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT**

COVER SHEET

DGS Project Name Phase 1 Construction and Related Improvements Forum Building

DGS Project Number DGS C-0948-0082

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one "Designated Critical Work Qualification Statement" for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)

- Terrazzo
- Interior Marble
- Decorative Painting
- Exterior Windows & Doors
- Millwork
- Hazmat in Historical Buildings
- Elevator

HVAC Construction (.2 contract)

- Testing, Adjusting and Balancing
- HVAC Controls
- Work with high pressure steam systems, cooling tower, chiller

Plumbing Construction (.3 contract)

- Fire Suppression System with Fire Pump

Electrical Construction (.4 contract)

- Fire Alarm Communication System Voice Addressable
- Security
- IT Infrastructure/Fiber Optic
- Generator

Name of Firm Schneider Electric Buildings Americas, Inc.

Address 125 Rock Road, Horsham, PA 19044

Principal Office Pennsylvania/South Jersey Branch

Owner or Authorized Representative Christopher Gandet

SECTION 1 – FIRM INFORMATION

1.1 Background Information

a) How many years has the firm been in business? Since 1988

b) How many years has the firm been doing business in proposed contract field? Since 1988

Under what former names has the firm conducted business?

Tour Andover Controls
TAC
Andover Controls

c) Identify all jurisdictions in which the firm is licensed or otherwise qualified to do business.

Pennsylvania New Jersey Delaware

d) If the firm is a corporation, provide the following information:

Date of incorporation 1988
State of incorporation Delaware
President's name James Mylett
Vice President's name(s) Robert Murray
Secretary's name Mary Kibble
Treasurer's name James Danley

e) If the firm is a partnership, provide the following information:

Date of formation NA
Type of partnership NA
Names of partners NA

f) If the firm is individually owned, provide the following information:

Date of formation NA
Name of owner NA

g) If the form of the firm is other than those listed above, describe it and name the principals:

NA

SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

- a) Provide the annual construction volume in dollars completed by the firm in the past three years:
- Year 2016 \$ 656,000,000
- Year 2017 \$ 699,000,000
- Year 2018 \$ 736,000,000
- b) Identify the percentage of work on similar projects the firm typically performs with its own work force 75%
- c) List the categories of work that the firm normally performs with its own forces on similar projects. Access Control, Video management, PSIM Systems, Intercom Systems, Intrusion Detection Systems, Networking

2.2 Project Experience and References

Submit as **Attachment 1** to this Qualifications Statement:

- a) Suggested number of Sheets/Pages:

- 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

- vii. Name of project, type of project and location
- viii. Description of the project and relevance of work to the Contract Work
- ix. Contact information for an owner representative familiar with the firm's work performed on this project. Include name, address, telephone number(s) and e-mail address.
- x. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
- xi. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
- xii. As available, performance ratings of the work evaluated by owner or owner's representative.

2.3 Contractor Safety Record

Submit as **Attachment 2** to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

- a) Provide the firm's Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

Year 1:	2018	.60
Year 2:	2019	.73

Year 3: 2020 .93

- b) Provide the firm's Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: _____

Year 2: _____

Year 3: _____

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

- c) Provide the firm's Recordable Incidence Rate (RIR) for the past three years:

Year 1: _____

Year 2: _____

Year 3: _____

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

- d) Provide in an **Attachment 3** to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an **Attachment 5** to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

- 3.1 Is the firm currently debarred or suspended from doing business with any federal, state or local government agency or private entity?
Yes ___ No X
- 3.2 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?
Yes ___ No X
- 3.3 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?
Yes ___ No X
- 3.4 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?
Yes ___ No X
- 3.5 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ___ No X

- 3.6 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?

Yes ___ No X

- 3.7 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?

Yes ___ No X

- 3.8 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?

Yes ___ No X

- 3.9 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?

Yes ___ No X

- 3.10 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?

Yes ___ No X

*Note: information regarding health and safety violations is addressed in a previous section.

- 3.11 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm's business?

Yes ___ No X

- 3.12 Has the firm been the subject to any bankruptcy proceeding?

Yes ___ No X

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the other representations and authorizations listed in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

- 4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

- 4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

- 4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth's requirements for workers' compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

- 4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth's prevailing wage law and Public Works Employment Verification Act.
- 4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
- 4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.
- 4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.
- 4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.

Commonwealth of Pennsylvania Department of General Services

State Capitol Complex, Harrisburg, PA

Original Sale Price: \$7,747,888

End Sale Price: \$8,642,416

Completed in 2018

- More than 25 buildings statewide
- Conversion of Diamond II access control to Open Options
 - 2000 Access Points
- Upgrade of Verint Nextiva VMS
 - 1000 cameras
- Verint PSIM system integration
- Harding Intercom system
- Emergency phones

Customer Contact:

Shawn Bleacher

Physical Security Systems Administrator

MOBILE: 717-371-4650

EMAIL: jbleacher@pa.gov



Delaware River Joint Toll Bridge Commission

New Hope, PA

Original/Final Sale Price: \$574,713

Completed in 2017

Conversion Project Features

- Convert access control system from Schneider Electric Continuum to Mercury based Genetec Synergis system
 - Multiple Buildings
- Convert CCTV system of 350 cameras to Genetec Omnicast system
 - 7 toll bridges
 - 12 non toll bridges
- Video command center
- Extensive Video Wall
- Video analytics
- Wireless technology

Customer Contact:

Matthew Hartigan

Senior Director Public Safety and Bridge Security

MOBILE: 215-852-7035

EMAIL: mhartigan@drjtbc.org



Delaware River
Joint Toll Bridge
Commission



1800 Arch Street

Comcast/NBC10/Four Seasons

Philadelphia, PA Completed in 2019

Original Sale Price: 4.5M

Final Sale Price : 9.8M

Project Features

- Tallest building in Philadelphia
- 1.5M square feet
- 1,121 Feet tall, 60 floors
- Pursuing LEED Platinum
- 550+ card access doors
- 350+ cameras
- 250+ IP intercom substations
- Command Center
- Integrated technologies:
 - Access System
 - IP Video and Recording
 - Video Content Analysis
 - Intrusion Detection
 - IP Intercoms
 - Optical Turnstiles
- Integrated with elevators for dispatch and delivery

Customer Contact:

Andrew J. DeSanctis
Director, Corporate Security
One Comcast Center
Philadelphia, PA 19103
OFFICE: 215-286-4053
MOBILE: 215-500-6431



OSHA's Form 300A (Rev. 01/2004)

Summary of Work-Related Injuries and Illnesses

Year 2019



U.S. Department of Labor
Occupational Safety and Health Administration

Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the log. If you had no cases write "0."

Employees former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR 1904.35, in OSHA's Recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
<u>0</u>	<u>3</u>	<u>0</u>	<u>4</u>
(G)	(H)	(I)	(J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
<u>13</u>	<u>0</u>
(K)	(L)

Injury and Illness Types

Total number of... (M)			
(1) Injury	<u>7</u>	(4) Poisoning	<u>0</u>
(2) Skin Disorder	<u>0</u>	(5) Hearing Loss	<u>0</u>
(3) Respiratory Condition	<u>0</u>	(6) All Other Illnesses	<u>0</u>

Post this Summary page from February 1 to April 30 of the year following the year covered by the form

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instruction, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistics, Room N-3644, 200 Constitution Ave. NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name Schneider Electric Buildings Americas

Street 800 Federal Street

City Andover State MA Zip 08180

Industry description (e.g., Manufacture of motor truck trailers)
Electrical Field Services

Standard Industrial Classification (SIC), if known (e.g., SIC 3715)
1 7 3 1

OR North American Industrial Classification (NAICS), if known (e.g., 336212)
2 3 8 2 1 0

Employment information

Annual average number of employees 3826

Total hours worked by all employees last year 7,396,724

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Scott Cook 
Company executive

Sr. Safety Env. MGR
Title

513-518-6299
Phone

12/31/2019
Date

OSHA's Form 300 (Rev. 01/2004)

Log of Work-Related Injuries and Illnesses

Attention: This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

You must record information about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR 1904.8 through 1904.12. Feel free to use two lines for a single case if you need to. You must complete an injury and illness incident report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you're not sure whether a case is recordable, call your local OSHA office for help.

Form approved OMB no. 1218-0176

Establishment name Schneider Electric - Buildings Americas
 City Andover State MA

Identify the person				Describe the case		Classify the case											
(A) Case No.	(B) Employee's Name	(C) Job Title (e.g., Welder)	(D) Date of injury or onset of illness (mo./day)	(E) Where the event occurred (e.g. Loading dock north end)	(F) Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill (e.g. Second degree burns on right forearm from acetylene torch)	CHECK ONLY ONE box for each case based on the most serious outcome for that case:				Enter the number of days the injured or ill worker was:		Check the "injury" column or choose one type of illness:					
						Death	Days away from work	Remained at work		Away From Work (days)	On job transfer or restriction (days)	(M)					
								Job transfer or restriction	Other recordable cases			Injury	Skin Disorder	Respiratory Condition	Poisoning	Hearing Loss	All other illnesses
						(G)	(H)	(I)	(J)	(K)	(L)	(1)	(2)	(3)	(4)	(5)	(6)
1		FSR	3/18/2019	Customer Site - Morrisville, NC	Shoulder Injury		x			5		x					
2		FSR	5/15/2019	Customer Site - Houston, TX	Back Injury, arm and waist contusion				x			x					
3		Office	5/21/2019	Office - Brea, CA	Left Knee torn tendon		x			2		x					
4		FSR	9/17/2019	Customer Site - Horsham, PA	Head Injury				x			x					
5		FSR	9/19/2019	Birmingham, AL	Stitches and company vehicle damage				x			x					
6		FSR	9/30/2019	Nashville, TN	MVA-Fractured Sternum		x			6		x					
7		FSR	12/6/2019	Customer Site-Berkeley, CA	Stitches to right palm and tetanus				x			x					
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	
17																	
18																	
Page totals						0	3	0	4	13	0	7	0	0	0	0	0

Be sure to transfer these totals to the Summary page (Form 300A) before you post it.

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Injury (1)
 Skin Disorder (2)
 Respiratory Condition (3)
 Poisoning (4)
 Hearing Loss (5)
 All other illnesses (6)

OSHA's Form 300A (Rev. 01/2004) Summary of Work-Related Injuries and Illnesses

Year 2018 
U.S. Department of Labor
Occupational Safety and Health Administration
Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the log. If you had no cases write "0."

Employees, former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR 1904.35, in OSHA's Recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
<u>0</u>	<u>2</u>	<u>0</u>	<u>4</u>
(G)	(H)	(I)	(J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
<u>181</u>	<u>0</u>
(K)	(L)

Injury and Illness Types

Total number of ... (M)	
(1) Injury	<u>6</u>
(2) Skin Disorder	<u>0</u>
(3) Respiratory Condition	<u>0</u>
(4) Poisoning	<u>0</u>
(5) Hearing Loss	<u>0</u>
(6) All Other Illnesses	<u>0</u>

Post this Summary page from February 1 to April 30 of the year following the year covered by the form.

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instruction, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistics, Room N-3644, 200 Constitution Ave. NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name Schneider Electric Buildings Americas
 Street 1650 West Crosby Rd
 City Carrollton State TX Zip 75006
 Industry description (e.g., Manufacture of motor truck trailers)
Electrical Field Services
 Standard Industrial Classification (SIC), if known (e.g., SIC 3715)
1 7 3 1
 OR North American Industrial Classification (NAICS), if known (e.g., 336212)
2 3 8 2 1 0

Employment information

Annual average number of employees 3666
 Total hours worked by all employees last year 7,090,932

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Scott Cook
Company executive

Sr. Safety Env. MGR
Title

513-518-6299
Phone

1/10/2019
Date

OSHA's Form 300 (Rev. 01/2004) Log of Work-Related Injuries and Illnesses

Attention: This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

Year 2018
U.S. Department of Labor
Occupational Safety and Health Administration



You must record information about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR 1904.8 through 1904.12. Feel free to use two lines for a single case if you need to. You must complete an injury and illness incident report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you're not sure whether a case is recordable, call your local OSHA office for help.

Form approved OMB no. 1218-0176

Establishment name Schneider Electric - Buildings Americas
City Carrollton State TX

Identify the person				Describe the case		Classify the case				Enter the number of days the injured or ill worker was:							
(A) Case No.	(B) Employee's Name	(C) Job Title (e.g., Welder)	(D) Date of injury or onset of illness (mo./day)	(E) Where the event occurred (e.g., Loading dock north end)	(F) Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill (e.g., Second degree burns on right forearm from acetylene torch)	CHECK ONLY ONE box for each case based on the most serious outcome for that case:				Enter the number of days the injured or ill worker was:		Check the "injury" column or choose one type of illness:					
						Death	Days away from work	Remained at work		Away From Work (days)	On job transfer or restriction (days)	(M)					
						(G)	(H)	Job transfer or restriction	Other recordable cases			(K)	(L)	Injury	Skin Disorder	Respiratory Condition	Poisoning
						(I)	(J)	(M)	(N)	(O)	(P)	(1)	(2)	(3)	(4)	(5)	(6)
1		FSR	3/7/2018	Customer Site - Morrisville, NC	Chemical burn cleaning coils, Prescription				x			x					
2		FSR	5/3/2018	Office - Smyrna, TN	Torn Bicep Tendon - Lifting		x			10		x					
3		Client MGR	6/6/2018	Office - Louisville, KY	Hand laceration - Slipped and hit desk				x			x					
4		FSR	6/14/2018	Customer Site - Homewood, IL	Arm Injury - Slip on Stairs		x			171		x					
5		Temp	7/18/2018	Customer Site - Boston, MA	Thumb Laceration, VAV Box				x			x					
6		FSR	10/9/2018	Customer Site - Secaucus, NJ	Laceration to Nose from falling object				x			x					
7																	
8																	
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	
17																	
18																	
Page totals						0	2	0	4	181	0	6	0	0	0	0	0

Be sure to transfer these totals to the Summary page (Form 300A) before you post it.

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Injury (1)
Skin Disorder (2)
Respiratory Condition (3)
Poisoning (4)
Hearing Loss (5)
All other illnesses (6)

OSHA's Form 300A (Rev. 01/2004) Summary of Work-Related Injuries and Illnesses

Year 2017 

U.S. Department of Labor
Occupational Safety and Health Administration

Form approved OMB no. 1218-0176

All establishments covered by Part 1904 must complete this Summary page, even if no injuries or illnesses occurred during the year. Remember to review the Log to verify that the entries are complete.

Using the Log, count the individual entries you made for each category. Then write the totals below, making sure you've added the entries from every page of the log. If you had no cases write "0."

Employees former employees, and their representatives have the right to review the OSHA Form 300 in its entirety. They also have limited access to the OSHA Form 301 or its equivalent. See 29 CFR 1904.35, in OSHA's Recordkeeping rule, for further details on the access provisions for these forms.

Number of Cases

Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
<u>0</u>	<u>2</u>	<u>0</u>	<u>5</u>
(G)	(H)	(I)	(J)

Number of Days

Total number of days away from work	Total number of days of job transfer or restriction
<u>141</u>	<u>0</u>
(K)	(L)

Injury and Illness Types

Total number of ... (M)	(1) Injury	(2) Skin Disorder	(3) Respiratory Condition	(4) Poisoning	(5) Hearing Loss	(6) All Other Illnesses
	<u>7</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Post this Summary page from February 1 to April 30 of the year following the year covered by the form

Public reporting burden for this collection of information is estimated to average 58 minutes per response, including time to review the instruction, search and gather the data needed, and complete and review the collection of information. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. If you have any comments about these estimates or any aspects of this data collection, contact: US Department of Labor, OSHA Office of Statistics, Room N-3644, 200 Constitution Ave. NW, Washington, DC 20210. Do not send the completed forms to this office.

Establishment information

Your establishment name Schneider Electric

Street 800 Federal St.

City Andover State MA Zip 08180

Industry description (e.g., Manufacture of motor truck trailers)
Electrical Field Services

Standard Industrial Classification (SIC), if known (e.g., SIC 3715)

1 7 3 1

OR North American Industrial Classification (NAICS), if known (e.g., 336212)

2 3 8 2 1 0

Employment information

Annual average number of employees 1923

Total hours worked by all employees last year 3982841

Sign here

Knowingly falsifying this document may result in a fine.

I certify that I have examined this document and that to the best of my knowledge the entries are true, accurate, and complete.

Scott Cook
Company executive

Sr. Safety Env. MGR
Title

513-518-6298
Phone

1/18/2018
Date

OSHA's Form 300 (Rev. 01/2004) Log of Work-Related Injuries and Illnesses

Attention: This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

Form approved OMB no. 1218-0176

You must record information about every work-related injury or illness that involves loss of consciousness, restricted work activity or job transfer, days away from work, or medical treatment beyond first aid. You must also record significant work-related injuries and illnesses that are diagnosed by a physician or licensed health care professional. You must also record work-related injuries and illnesses that meet any of the specific recording criteria listed in 29 CFR 1904.6 through 1904.12. Feel free to use two lines for a single case if you need to. You must complete an injury and illness incident report (OSHA Form 301) or equivalent form for each injury or illness recorded on this form. If you're not sure whether a case is recordable, call your local OSHA office for help.

Establishment name Schneider Electric - Buildings Americas
City Andover State MA

Identify the person				Describe the case		Classify the case				Enter the number of days the injured or ill worker was:					Check the "injury" column or choose one type of illness:					
(A) Case No.	(B) Employee's Name	(C) Job Title (e.g., Welder)	(D) Date of injury or onset of illness (mo./day)	(E) Where the event occurred (e.g. Loading dock north end)	(F) Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill (e.g. Second degree burns on right forearm from acetylene torch)	CHECK ONLY ONE box for each case based on the most serious outcome for that case:				Enter the number of days the injured or ill worker was:		Check the "injury" column or choose one type of illness:								
						Death	Days away from work	Remained at work		Away From Work (days)	On job transfer or restriction (days)	Injury	Skin Disorder	Respiratory Condition	Poisoning	Hearing Loss	All other illnesses:			
						(G)	(H)	Job transfer or restriction	Other recordable cases	(K)	(L)	(1)	(2)	(3)	(4)	(5)	(5)			
1		Security Tech	1/8/2017	Buildings - Boston, MA	Sprained ankle from same level trip & fall		x					x								
2		Sales	1/10/2017	Buildings - Boston, MA	Cut outside of right eye from panel door				x			x								
3		FSR	1/25/2017	Buildings - West Chester, Ohio	Cut to forearm attempting to catch falling sheetmetal				x			x								
4		FSR	6/7/2017	Buildings - West Chester, Ohio	Hand Laceration using Drill				x			x								
5		FSR	6/21/2017	Buildings ESS - Biloxi, Mississppi	Electrical Shock on VAV		x			8		x								
6		FSR	7/19/2017	Buildings - Morrisville, NC	Laceration to left thumb				x			x								
7		Security Tech	11/21/2017	Buildings - Boston, MA	Back sprain moving project materials				x			x								
8																				
9																				
10																				
11																				
12																				
13																				
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16																				
17																				
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Page totals						0	2	0	5	141	0	7	0	0	0	0	0			

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Injury (1)
Skin Disorder (2)
Respiratory Condition (3)
Poisoning (4)
Hearing Loss (5)
All other illnesses (6)



Thom Nosek
Senior Vice President

Marsh USA Inc.
99 High Street
Boston, MA 02110-2320
+1 617 385 0345
Thom.Nosek@marsh.com
www.marsh.com

December 30, 2019

Subject: *Schneider Electric Holdings, Inc.*
Schneider Electric Buildings Americas, Inc.
Schneider Electric USA, Inc.
Schneider Electric IT Corporation
Schneider Electric IT USA, Inc.
Schneider Electric IT Mission Critical Services, Inc.
Summit Energy Services, Inc.
Telvent USA, LLC
Schneider Electric Systems USA, Inc.
ASCO Power Technologies, L.P.
ASCO Power Services, Inc.

To Whom It May Concern:

The following is a recap of the Interstate Experience Modification Ratings for Schneider Electric Holdings, Inc. and its subsidiaries listed above for the past 5 years:

WORKERS' COMPENSATION EXPERIENCE MODIFIER	
Risk Name:	Schneider Electric Holdings, Inc.
Risk Identification Number (Bureau File Number):	910269348
Bureau Rating Agency:	NCCI
State:	Interstate
Rating Effective Date:	1/1/2020
Experience Modification Factor:	.93
Rating Effective Date:	1/1/2019
Experience Modification Factor:	.73
Rating Effective Date:	1/1/2018
Experience Modification Factor:	.60
Rating Effective Date:	1/1/2017
Experience Modification Factor:	.54
Rating Effective Date:	1/1/2016
Experience Modification Factor:	.56

The National Council on Compensation Insurance is responsible for the calculation of these experience modifications, which are subject to change.



> Preston Nelson

Project Manager

As a Project Manager, Preston has managed both building automation and security projects. Including completing a complex access control migration project at the Pennsylvania State Capitol where he was the acting site superintendent. Here he managed day-to-day operations and controlled the short-term schedule. He was also responsible for quality control and coordination with the customer to mitigate interruptions.

Experience Summary

Project Manager, Schneider Electric

Horsham, PA

- Responsibilities include daily organization of field work activities, ensuring the project is being executed according to the contract documents, managing the project schedule and workflow, reviewing design and equipment submittals, conveying technical information to field personal, material procurement, issuing and reviewing contracts, project financial forecasting, maintaining project record documents, and material procurement.
- Projects include: Pennsylvania State Capitol Security Upgrades, Pennsylvania Farm Show CCTV Upgrade, South Mountain Secure Treatment Facility CCTV Upgrade, Philadelphia Police 8th District BAS, Almac JTM Security and BAS Project, and Pennsylvania Emergency Management Agency Barrier Gate Equipment.

Systems Application Engineer, Schneider Electric

Horsham, PA

- Responsibilities include coordinating and assisting with commissioning activities, coordinating installations with electrical contractors, completing point to point checkouts, and reviewing design submittals.
- Projects included: Spark Therapeutics BAS installation, Spark Therapeutics EMS installation, 3737 Wexford Science Center, Celeste Bartos Film Preservation Facility, and Salem Community College.

Educational Background

- B.S – Construction Management, Pennsylvania College of Technology, Williamsport, PA
- A.S.S – Construction Management, Lehigh Carbon Community College, Schnecksville, PA

Training / Certificates

- Schneider Electric Project Management Devolvment Program
- OSHA 30 for New Construction
- Schneider Electric Continuum Configuration (Engineering) for HVAC
- Schneider Electric SmartStruxure Solution: Workstation and System Design

TECHNICAL SUBMITTAL

TECHNICAL SECTION 2: PROJECT MANAGEMENT PLAN

Section T-2A Project Management Team

Vice President/Electrical- Matt Green

- Hire, manage, and evaluate superintendent staff.
- Responsible for overseeing all field operations.
- Plan and organize electrical commercial construction projects.
- Provide management and coaching to project management team and field operations on a daily basis to ensure each project's success.
- Negotiate & resolve issues related to Change Orders, Requests for Information, Contract Scope of Work in a timely manner.
- Maintain rapport with architects, engineers, and general contractors to ensure customer satisfaction, quality of service and long-term relations.
- Attend/participate in project meetings and conference calls.
- Manage financial aspects of contracts (progress billing, rental equipment, income/expenses) to protect the company's interest.
- Ensure Compliance & Policy – OSHA, State and Federal standards, drug testing
- Manage all closeout procedures including documentation, filing, record drawings, construction cost database input, follow-up marketing and team debriefing.

CFO- Cherie Craft

- Drive the company's financial planning
- Perform risk management by analyzing the organization's liabilities and investments
- Decide on investment strategies by considering cash and liquidity risks
- Control and evaluate the organization's fundraising plans and capital structure
- Ensure cash flow is appropriate for the organization's operations
- Supervise all finance personnel (controllers, treasurers etc.)
- Set up and oversee the company's finance IT system
- Ensure compliance with the law and company's policies

TECHNICAL SUBMITTAL

TECHNICAL SECTION 2: PROJECT MANAGEMENT PLAN

Section T-2A Project Management Team

Project Manager- Robin Potter

- Oversee construction project from beginning to end
- Manage the budget and estimate costs
- Determine the necessary equipment, materials, and manpower needed
- Keep track of inventory, tools and equipment
- Ensure supplies and equipment are ordered and delivered according to schedule
- Prepare reports regarding job status
- Resolve any problems that may arise
- Ensure compliance with safety regulations and building codes
- Evaluate risks
- Collaborate with subcontractors, engineers, architects and key team members of the project team
- Negotiate with external vendors on contract agreements
- Obtain the appropriate permits and licenses from authorities for construction sites
- Plan construction operations
- Ensure all deadlines are met
- Delegate responsibilities
- Allocate and manage resources to ensure that they are available when they are needed throughout the construction projects
- Keep all stakeholders aware of the progress on projects and prepare progress reports regularly
- Handle any environmental or local community issues that may come up during a project
- Conduct site checks to monitor progress and quality standards

General Foreman- Seth Jackson

- Coordinate tasks according to priorities and plans
- Produce schedules and monitor attendance of crew
- Allocate general and daily responsibilities
- Supervise tradespeople
- Ensure manpower and resources are adequate
- Guarantee all safety precautions and quality standards are met

TECHNICAL SUBMITTAL

TECHNICAL SECTION 2: PROJECT MANAGEMENT PLAN

Section T-2A Project Management Team

- Supervise the use of tools and equipment
- Resolve problems when they arise
- Report on progress to managers, engineers, etc.
- Morning Job Safety Analysis

Estimator- KC Lyons

- Prepares work to be estimated by gathering proposals, blueprints, specifications, and related documents.
- Identifies labor, material, and time requirements by studying proposals, blueprints, specifications, and related documents.
- Computes costs by analyzing labor, material, and time requirements.
- Resolves discrepancies by collecting and analyzing information.
- Presents prepared estimate by assembling and displaying numerical and descriptive information.
- Prepares special reports by collecting, analyzing, and summarizing information and trends.
- Maintains cost data base by entering and backing up data.
- Maintains technical knowledge by attending educational workshops, reviewing technical publications.
- Contributes to team effort by accomplishing related results as needed.

Project Support- Candie Griffis

- Organize, implement and maintain scheduling management system supporting identified projects and their plans.
- Assist to set up tasks and sub tasks to ensure satisfactory realization of project contract requirements as scheduled.
- Log project timelines and maintain database of tasks and its status.
- Log all new information into database and provide scheduled reports for contract coordination and reporting purposes.
- Interact project staff to schedule work and coordinate assignments.
- Design and develop project schedule.

TECHNICAL SUBMITTAL

TECHNICAL SECTION 2: PROJECT MANAGEMENT PLAN

Section T-2A Project Management Team

- Interact with project team and task managers to define scope of work to develop and update detailed schedules, cost information and identification of variances from original plan.
- Evaluate project schedule progress and performance and identify developing problem areas.
- Analyze critical path and constraints to determine effect of changes to schedule and recommend work-around.
- Develop and update weekly short interval schedule working with superintendents and field engineers.
- Suggest management of risks affecting project profit, costs, schedules and client relations.
- Support project management team to maintain timely and effective change management processes, procedures and systems.
- Assist project team to develop and maintain periodic status reports to keep management informed on project progress.
- Conduct analysis to determine alternative courses of action or recovery on slipped schedules.

Safety- Shane Greene

- Develop and execute health and safety plans in the workplace according to legal guidelines
- Prepare and enforce policies to establish a culture of health and safety
- Evaluate practices, procedures and facilities to assess risk and adherence to the law
- Conduct training and presentations for health and safety matters and accident prevention
- Monitor compliance to policies and laws by inspecting employees and operations
- Inspect equipment and machinery to observe possible unsafe conditions
- Investigate accidents or incidents to discover causes and handle worker's compensation claims
- Recommend solutions to issues, improvement opportunities or new prevention measures
- Report on health and safety awareness, issues and statistics

TECHNICAL SUBMITTAL

TECHNICAL SECTION 2: PROJECT MANAGEMENT PLAN

Section T-2A Project Management Team

Quality Control Manager- John Heitsenrether

- Supervises the Quality Control functions/activities of a project or its parts, including Quality Control inspection schedules and the collection of Quality Records.
- Supports the Project Manager in dealing with the Client for all the Quality matters.
- Assesses the implementation of the Quality Plan and Quality Control Plans on the site.
- Allocates Quality Control personnel to the various areas of site activity.
- Supervises inspections, reports and the documentation issued by inspectors and collect and file the required Quality Records.
- Evaluates the qualifications of inspection personnel.
- Supports the construction roles in the management and control of subcontractors.
- Supports and participate to all the internal/external audits.
- Coordinates the relevant Tracking Systems for correct identification of materials.
- Supervises the correct equipment calibration management activities.

Electrical Administrator- Sierra Shaheen

- Direct Assistance to the Vice President.
- Works closely with the other team member in a support role.
- Writes all Purchase Orders.
- Responsible for all minor solicitations

MATTHEW GREEN

Vice President with excellent employee development, customer relations, and analytics skills coupled with more than 20 years of experience in the industrial/commercial electric industry.

SKILLS

Electrical schematics
State and local codes
Commercial project expertise
Work site safety

OSHA standards
Deadline-driven
Cost reduction and containment

WORK HISTORY

Appellation Construction Services, LLC

Vice President of Electrical / Montoursville, PA, PA / June 2018 to Current

- Draft the electrical division's mission and vision statements
- Create and implement division office procedures Supervise daily operations of electrical department
- Identify opportunities to improve division processes and overall growth Develop and maintain positive relationships with customers and suppliers
- Establish performance goals for the department and provide feedback on methods for reaching those milestones
- Interface with clients to resolve problems in a timely manner
- Reduce costs by executing contract bidding and procurement processes to minimize client cost
- Initiate on-time, under-budget project management to optimize performance
- Resolve issues and recommend actions based on production and compliance problems Evaluate development strategy effectiveness and prepare alternative approaches to exceed goals

Lecce Electric Inc. Project Manager / Williamsport, PA / March 2010 to June 2018

- Estimated material and labor costs on commercial and industrial projects Managed projects such as Atlas Energy's Lundy and Rhodes Pads, Williams Dunbar Compressor Station, Anadarko's Larry's Creek Compressor station
- Drafted reports to illustrate findings from site visits Assembled safety committee
- Drafted and implemented company-wide safety policies and procedures Maintained vendor relations to ensure competitive supplier pricing Project specific, large scale material purchases
- Resolved issues and recommended actions based on production and compliance problems Kept project on schedule and within budget while serving as project leader
- Streamlined office operations by effectively monitoring and addressing client correspondence and data communications
- Provided accurate, detailed quantity take-offs from project drawings and technical specifications
- Collaborated with clients, architects, engineers, contractors and sub-consultants
- Provided accurate, detailed quantity take-offs from project drawings and technical specifications
- Collaborated with clients, architects, engineers, contractors and sub-consultants
- Provided accurate, detailed quantity take-offs from project drawings and technical specifications
- Collaborated with clients, architects, engineers, contractors and sub-consultants

EDUCATION

NATIONAL JOINT APPRENTICESHIP FOR THE ELECTRICAL INDUSTRY / Montoursville, PA / 2003

- Inside wireman, 9/2002- 5/2007
- Installer Technician, 9/2003-5/2007
- *Awarded Outstanding Apprentice of 2003*

Forestry

UNIVERSITY OF MONTANA Missoula, MT High School Degree

JERSEY SHORE AREA HIGH SCHOOL / Jersey, PA / 1993

- Graduated with Honors
- Member of Student Council

CERTIFICATIONS

Current card holder for NFPA-70E	OSHA 30 Hour construction Industry Certified Leviton Voice
Aerial Work Platform Safety Course, CDL License (Class A)	Data Installation Certified

Cherie Craft
Appellation Construction Services, LLC,
Chief Financial Officer

Professional experience

April 2014 to Present Appellation Construction Services LLC
Montoursville, PA

Chief Financial Officer

- Lead the accounting department of a growing labor contractor with over \$58 million of revenue in 2019.
- Oversee the weekly payroll with multi unions and multi states and all the applicable compliance issues relative to reporting and payments to the government agencies and unions. Responsible for all quarterly payroll filings and annual W-2 and ACA filings.
- Review customer time and material billing for accuracy and completeness and reconcile contracts and change orders on lump sum billings. Follow up with customers on payment status.
- Review all payables for period and account posting and approvals. Approve weekly check runs.
- In charge of all insurance programs and work directly with the broker regarding certificates of insurance, claims, renewals, new coverages, and audits.
- In charge of all activities with the bank including remote deposits, loans, daily reconciliations, borrowing base certificates and covenant compliance certificates.
- Began a 401k profit sharing plan and work with third party administrator and investment advisor to assure compliance with the government and participant requirements.
- Obtain funding for new equipment purchases.
- Began a health savings account program and a wellness program in conjunction with our high deductible health insurance program.
- Oversee our fleet program with Enterprise Fleet Management and Penske Trucking. Also responsible for IFTA reporting and registrations for our apportioned trucks.
- Prepare our annual financial statements and footnotes for review by outside CPA firm.
- Prepare company federal and multi state income tax returns.
- Maintain depreciation schedules for book and tax purposes.
- File sales and use tax returns for various states.

▪ **Education** May 1985 to May 1988 Bloomsburg University
Bloomsburg, PA

Bachelors of Science/Accounting

- Graduated in 3 years with an overall GPA of 3.92

Professional memberships Pennsylvania Institute of Certified Public Accountants(PICPA)

Additional professional activities Past member of the ethics committee of the PICPA
Past secretary & treasurer of North Central Chapter of PICPA
Treasurer of Susquehanna Valley Chapter of Women's Energy Network
Treasurer of Sullivan County Scholarship Association

KENNETH LYONS (KC)

Adept individual with more than 20 years in the electrical industry. Experienced in commercial and industrial electrical project management.

SKILLS

- ✓ Procedure development
- ✓ Advanced problem solving
- ✓ Staff management
- ✓ Electrical installation and repair
- ✓ Strategic planning
- ✓ Contract Management
- ✓ Logistics management
- ✓ Multi-site operations
- ✓ Blueprints and schematics
- ✓ Systems Installation, Configuration, and Upgrading
- ✓ Project estimation and bidding
- ✓ Project planning and develop

EDUCATION

- Pennsylvania College of Technology-Williamsport, PA 1989: Electrical Technology
- Hughesville High School-Hughesville, PA 1987: High School Diploma

WORK HISTORY

Appellation Construction Services, LLC – Project Manager/Estimator Montoursville, PA
09/2018 to current

- ✓ Identify plans and resources required to meet project goals
- ✓ Manage project employee's
- ✓ Keep project on schedule and within budget
- ✓ Define clear objectives and communicate to other team members
- ✓ Monitor project costs
- ✓ Effectively communicate with project owners, subcontractors, architects and engineers
- ✓ Identify building codes specific to project and maintain strict adherence
- ✓ Establish and oversee a synchronized, cohesive team by inspiring confidence and offering guidance

Lecce Electric - Electrical Foreman Williamsport, PA • 06/2016 - 08/2018

- ✓ Supervise electrical field personnel
- ✓ Ensure labor values meet expectations
- ✓ Installation and maintenance of fire alarm systems, conduit racks, conduit, wire pulling terminations, data systems, communication systems
- ✓ Track field personnel time
- ✓ Track job time and materials

Turnkey Electric LLC - Project Manager Williamsport, PA • 05/1995 - 02/2016

- ✓ Notable projects include various Department of General Services projects, First Quality Fire Alarm Installation in Lock Haven, Williamsport Hospital Tower, Stagecoach Compressor Station
- ✓ Managed 18-25 projects • consecutively
- ✓ Created full-scope cost estimates for bid builds and designed build projects
- ✓ Collaborated with clients, architects, engineers, contractors and government entities on numerous public works and private industrial and commercial projects
- ✓ Ensured project success: Reined in project costs while meeting key milestones
- ✓ Exceeded client expectations for projects
- ✓ Coordinated and maintained heavy involvement throughout project kick offs and close outs

SETH JACKSON

Appellation Construction Services, LLC

Inside Journeyman Wireman

WORK EXPERIENCE

Inside Journeyman Wireman

IBEW Local Union 607 - Shamokin, PA

September 2007 to Present

Completed a 5yr apprenticeship program through the IBEW NJATC. Worked on various jobs from working in schools, college, chemical plants, sewer plants, and power plants. Through the years of working, became proficient in conduit installation, wiring PLC and VFD cabinets, along with other DC controls. Installations of conduit included EMT, PVC, Rigid, IMC, PVC and PVC Coated Rigid. Working with controls, I have become proficient in reading blueprints, Online diagrams and P&ID drawings. My experience also entails, of being a foreman of 5 men, to a General foreman of 45+men .Also in my experience, I have done various maintenance work in the places mentioned above. Maintenance work included troubleshooting control circuits, 3-phase motors, work in substations, Main control cabinets, main gear, and telecommunications.

Rough In Electrician

Custom Building Systems - Middleburg, PA

April 2006 to September 2007

Duties included, laying out walls and ceilings for fixtures, receptacles, switches and data ports. Also ran the designated circuitry that was assigned to the devices on the ceiling and in the walls prior to drywall. Also was assigned to do maintenance projects throughout the plant.

Headliner Installer

Leer Truck Caps -Truck Accessories Group, LLC - Milton, PA

June 2005 to April 2006

Installed headlining material into fiberglass truck caps. Also did body work and touch up painting with an air paint sprayer.

SKILLS

Journeyman electrician (6 years)

Commercial Construction

EDUCATION

Journeyman Electrician in
Electrical

IBEW NJATC - Shamokin,
PA September 2007 to July
2013

Certificate of Completion in Electrical
Systems Technology

SUN Area Vocational Technical School -
New Berlin, PA
August 2002 to June 2003

High School Diploma
Lewisburg Senior High
School -

Lewisburg, PA
August 1999 to June 2003

CERTIFICATIONS AND LICENSES

Pennsylvania Journeyman Electrician-Present

Completed a state recognized Apprenticeship to become a Journeyman Wireman

SHANE GREENE

Appellation Construction Services, LLC

Safety Manager

EDUCATION/CERTIFICATES

- 2017: Veriforce, LLC. Evaluator, DOT Drug & Alcohol Supervisor Training, American Red Cross First Aid/CPR Instructor, PEC Safe Land Certified Trainer (all current)
- 2014: Talisman Energy Safety Certification/Site Orientation Trainer, CONSOL Energy Hazard Training Trainer, PEC Safe Land Certified Trainer, Veriforce, LLC. Evaluator (all current)
- 2013: OSHA 30hr. Construction Certification, PEC Safe Land Certification, PA. College of Technology Haz-Mat Response Certification, Talisman Energy Safety Certification/Site Orientation Trainer, Veriforce, LLC. Evaluator (all current)
- 2012: EOG Resources Safety Certification, DTE Energy Safety Certification, Veriforce, LLC. Evaluator, Talisman Energy Safety Certification/Site Orientation Trainer (all current)
- 2011: Total Equipment Company Overhead Crane Trainer, EXCO Safety Certification/Site Orientation Trainer, Pa. College of Technology Confined Space & Fall Protection, Equipment Depot Certified Forklift, Tele handler & Aerial Lift Equipment Trainer, Talisman Energy Safety Certification/Site Orientation Trainer, Veriforce, LLC. Evaluator (all current)
- 2010: OSHA 10hr. Construction Certification, Equipment Depot Certified Forklift, Tele handler & Aerial Lift Equipment Trainer, American Red Cross First Aid & CPR Trainer, OSHA Trenching/Excavation Competent Person Trainer, Talisman Energy Safety Certification/ Site Orientation Trainer (all current)
- 2008: Hyster, Inc. Industrial Forklift Certified Trainer
- 2007: Completed the West Branch Manufacturers' Association course "The Fundamentals of Supervision"
- 1989-1992: Lock Haven University, Health Sciences
- 1984-1988: Jersey Shore Area High School, Jersey Shore, Pa.

EXPERIENCE/RESPONSIBILITIES

JANUARY 2015 TO PRESENT, APPELLATION CONSTRUCTION SERVICES, LLC, SAFETY MANAGER

- Manage and supervise all health and safety policies and procedures in compliance with local, state and federal rules and regulations including Occupational Safety and Health Administration (OSHA) and Department of Transportation (DOT).
- Directs and coordinates safety operations activities
- Conducts quality audits on a periodic basis at field locations to ensure compliance with contractual requirements.
- Provides guidance to superintendents and foreman to assist them in the interpretation of and compliance with quality, health & safety and environmental related contract requirements
- Manage and perform scheduled and unscheduled safety inspections at the contract site.
- Develops safe work practices, required PPE and performs audits against the same
- Coordinate and/or conduct safety training for management and employee groups to establish clear procedures on safety measures and precautions
- Coordinate with project management teams on safety requirements and procedures for specific projects and is responsible for ensuring compliance
- Develop and facilitate safety committee meetings and training sessions.
- Support and facilitate employee engagement to drive safety excellence.
- Manage Workers Compensation cases, coordinate with HR as needed.
- Maintain OSHA Injury Logs.
- Conduct investigations and prepare reports on workplace injuries and accidents; manage the process and the documentation.
- Coordinate background and drug screening, per client and company requirements
- Primary contact for ISNetworld and all other contractor management databases; responsible for keeping information on these databases current
- Plans and implements training for employees in work site safety practices.
- Maintains confidential safety files and records
- Performs safety surveys and inspections, prepares written reports of findings and recommendations for corrective or preventive measures where indicated and follows up to ensure measures have been implemented.
- Conduct injury and incident investigations; identify root causes and corrective actions for use by company personnel and senior management.

Sierra Shaheen
Appellation Construction Services, LLC
Electrical Administrator

SUMMARY:

Hardworking and dedicated individual looking forward to expanding on existing services and learning new skills in the construction industry.

EDUCATION:

BACHELOR OF SCIENCE | SPRING 2017 | LOCK HAVEN UNIVERSITY

- Major: Health and Physical Education
- Minor: Dance
- GPA: 3.8

HIGH SCHOOL DIPLOMA | FALL 2006 | MUNCY JR./SR. HIGH SCHOOL

- Liberal and Fine Arts (general education)

SKILLS & ABILITIES:

- Microsoft- Word, Excel, PowerPoint
- SAGE
- Leadership
- Time management
- Multi-tasking

WORK EXPERIENCE:

ELECTRICAL ADMINISTRATOR/APPELLATOIN CONSTRUCTION SERVICES, LLC/JANUARY 2019-CURRENT

Duties: Provide PO (purchase order) number requests from departments for mutliple projects, schedule and fill out any background check applications and paperwork, filing, invoicing, manage incoming calls, and document control.

MEDICAL RECEPTIONSIST/LYCOMING INTERNAL MEDICINE/JANUARY 2013-DECEMBER 2018

Duties: Manage phone calls, file documents, verify insurance, order/send prescriptions, order precertifications, schedule appointments and referrals, administer EKGs and aorta scans, take vitals, and more.

SUBSTITUTE TEACHER/SUGAR VALLEY CHARTER SCHOOL/MAY 2017

Duties: Substituted for 2nd and 4th grade elementary teachers. Supervised children in the classroom, ensuring each student was completing his or her work like instructed in the substitute packet. I also substituted for health and physical education, teaching lawn games for leisure sports.

WORK RELATED EXPERIENCE and DEVELOPMENT

- Worked directly with our Safety Manager for four months at ACS before transferring to the electrical department
- Trained by the Director of Support Services & Procurement at ACS



Candie M. Griffis
Project Scheduler & Support
Cmanny@aprefab.com

Qualifications Summary:

- ◆ Professional experience working in a fast-paced environment demanding strong organizational, technical, and interpersonal skills. Developed integrate schedules for small Meter/Regulatory Stations to large schedules that envelope a 7 unit compressor station. Trustworthy, ethical, and discreet; committed to superior customer service. Confident and poised in interactions with individuals at all levels. Detail-oriented and resourceful in completing projects; able to multi-task effectively. Capabilities include:
 - Project Scheduling / Primavera P6
 - Customer Service & Relations
 - Time Management
 - Problem Solving
 - Project Controls
 - RFI's, EWR's & Submittal Tracking
 - Filing & Data Archiving
 - Office Equipment Operations

Project Scheduler & Support

- ◆ Vast knowledge of manufacturing processes and business procedures
- ◆ Create, Track & Distribute RFI's, EWR's, Weekly Narratives & Schedules
- ◆ Produce intricate Project Schedules in P6, Prime or Microsoft Project
- ◆ Certificates of Training in Advanced Planning & Scheduling w/Primavera P6
- ◆ Setup & Attended project meetings as requested
- ◆ Assist in processing incoming and outgoing projects
- ◆ Advised management on potential cost issues and suggested possible resolutions
- ◆ Kept all necessary personnel informed of issues during projects

Computer Skills:

- ◆ Primavera P6 Scheduling, AccuTech, Navision, Microsoft Project, Microsoft Excel, Microsoft Access, Microsoft Publisher, Sage, AS400 Program, Adobe Illustrator, Internet/E-mail, Adobe Acrobat, Microsoft Outlook, Microsoft Word, Macintosh, Microsoft Office, Meeting Maker, ISIS, IBIS, Microsoft Office PowerPoint

Education:

- Graduated from Jersey Shore Area High School, Jersey Shore, PA
- Graduated with an Associated Degree in Advertising from Pennsylvania College of Technology, Williamsport, PA
- Advanced Planning & Scheduling w/Primavera P6 from Global PM, Slidell, LA

John Heitsenrether

Summary

Employed in the Oil and Gas Industry for a consecutive eleven years ranging from drilling stages to transmission stages. Utilizing my skills learned in college combined with my over one decade of oilfield experience, I'm able to successfully achieve my goals while being able to easily adapt to the many unforeseen challenges.

Education

BACHELOR OF ARTS: ECONOMICS | MAY 2009 | INDIANA UNIVERSITY OF PENNSYLVANIA

- Major: Economics

Experience

QUALITY CONTROL MANAGER | APPELLATION CONSTRUCTION SERVICES | 2018-CURRENT

- Responsible for the document control of all major projects
- Implement quality measures to ensure accurate and proficient documentation
- Review final documentation for critique and revisions before submittal to customers
- Oversee documentation of other Quality Control personnel within the company
- Strategize frequently with other management on reaching new goals and milestones

PIPE-FITTER | LOCAL 520 | 2011-CURRENT

- Successfully completed a five-year pipe-fitter apprenticeship program
- Foreman position at Bruner Island Power Plant - In charge of a dozen people's day-to-day activities and productivity
- Proficient with Hydrostatic Testing, Tube Bending, Screw-Pipe Fabrication and Welding
- Vast knowledge of Mathematics including Geometry, Trigonometry, and Calculus.

SOLIDS CONTROL TECHNICIAN | NEWALTA ENVIRONMENTAL | 2010-2011

- In charge of ordering and maintaining records of all company equipment on multiple drilling pads.
- Operated centrifuges and dryers via a computer system to keep the drilling mud at a specific weight, which was needed to prevent gas kicks and blow outs during the drilling process.
- Successfully worked under high-stress environments on a daily basis.

Key Moments

RECENT JOB HIGHLIGHTS

- Proficiently and successfully documented a Williams multi-million-dollar job from early stage redline drawings to final turnover package.
- QC Manager over two large compressor stations successfully built for UGI. Obtained Redline Drawings and led drafting team with Turnover Packages

TECHNICAL SUBMITTAL

Section T-2B Work Plan and Schedule

ACS understands the critical items on this project will be the historical lighting, keeping the climate control equipment in operation, the elevator lobby areas along with the libraries, and newelectrical equipment in the basement. One of the first items ACS will address is the historical lighting fixtures.

We will contract Crenshaw lighting as soon as we are mobilized to site to begin working on a game plan to remove and ship all historical lighting fixture to their shop. This will be an extremely delicate process to ensure no damage is done to the fixtures or surrounding material. Crenshaw has committed to be onsite for each fixture's removal, crating and shipping procedures. Crenshaw will be responsible for the complete restoration of the historical light fixture. This will be a very tedious process and not a fast one. That is why we will be pushing to make this one of our first steps. The historical fixture will then be one of the last items installed on the project. We want to make sure that the main construction events are thru to minimize clean after reinstallation. Crenshaw will also be onsite for the reinstallation of some fixtures, but the main concern is with the fixture types H5 and H6. While the historical fixtures are uninstalled ACS will provide temporary lighting in their place.

The elevator lobby areas on all floors will be critical in maintaining the historical preservation of all the item involved with multiple trades. These areas will need to be coordinated closely with other trades to keep from destroying the historical natural of those areas. The first-floor lobby has some unique challenges with the inaccessible ceiling and marble walls. We will need to find pathways for the fire alarm system and the lighting without damaging the integrity of the wall or the ceiling. The libraries will bring their own set of challenges as well. The lighting replacement along with new systems, such as the fire alarm and telecom. We will need to find new pathway to keep the conduits and cables hidden to keep the historical look of these areas. We will subcontract a finish carpenter and plaster for the areas that will need disturbed. The subcontractor will be held to the highest standard in keeping with the existing conditions.

The climate control system will be kept running throughout the project by means of existing, temporary or newly installed permanent power. This will also take careful coordination with other trades to keep the system operational during the high humidity months of the year. There will be small windows of time when the system will be down either by us or the HVAC contractor. We will make every effort and make these outages during the best times of year for low humidity and ideal temperatures. We understand the sensitive nature of the rare books area and will do everything and try and keep the outages to a minimum.

One of the most common items we deal with in our trade is the replacing or upgrading to electrical equipment. The medium and low voltage switchgears will have significant lead times. We are accounting for this in the schedule. This project consists of replacing almost the entire electrical system except for a few panelboards. The most critical task in the system replacement will be removing the existing switchboards and replacing them with new. Getting the old switchboards out and the new in through the narrow doorways and low ceilings is presenting somewhat of a challenge. We will be contracting with highly skilled team specialized in moving large equipment in and out of buildings. They have all the necessary equipment such as skates and toe jacks to make this a relatively easy move for them. They understand it will take several mobilizations on their part to the get the equipment in and out of the building on several different trips. The main reason we feel this is one of the critical path items is changing out the main

TECHNICAL SUBMITTAL

electrical components will require several shutdowns, which in turn will affect multiple of other trades. During shutdowns we will be providing a backup generator capable of running temporary power for construction and any events happening in the theater.

We recognize that other items are also critical to the schedule, but we feel that these are the most critical. A milestone schedule with a critical pathway will be developed with the other trades. This will allow our management to track the progress of the project from day one to the last day onsite. Any slippage in the schedule will be address immediately with the team. Our team will identify the problem and remedy the situation, if in our control as quickly as possible.

Section T-2C Safety Plan

We know firsthand here at ACS that the type of operations we undertake as a company necessitate following all safety procedures and policies. This has always been and will continually be, a core value of Appellation Construction Services. We created a SSSP which is an H&S Plan that is specific to the scope of work for Harrisburg Forum Building, located at 500 Walnut Street, Harrisburg, PA. 17101 that is briefly described below (*please see attached Safety Plan for complete details*).

The purpose of this Site-Specific Safety Plan (SSSP) is to furnish Appellation Construction Services, LLC employees and its sub-contractors (if applicable) with a plan that will provide a safe work environment utilizing the safety processes contained within this plan.

This policy has been developed to promote safety awareness during construction projects. The safe work practices and procedures contained in this policy are meant to be used as a tool for incorporating safety into daily operations. Every person on the job has the obligation to identify and report any condition that could potentially cause harm to persons or property. Employee safety is our top core value: everyone is empowered with STOP Work Authority, all injuries can be prevented, working safely is a condition of employment, and employee communications are essential for both safety and quality.

Superintendent and Foremen of Construction activities are responsible for implementing the SSSP. The responsibilities will be communicated to all employees and subcontractors during the pre-job safety orientation meeting.

The On-Site Construction Inspector is responsible for overseeing the work of contractors to ensure work meets project specifications. The inspector will also be responsible to make field adjustment decisions to resolve construction problems and/or conflicts. Also, the inspector will maintain records of ongoing construction for as-built references. The Inspector shall work in conjunction with the Superintendent to ensure the implementation of all applicable health and safety rules and regulations including the contents of this SSSP. The Inspector and Superintendent will provide immediate notification of any incidents to the Project Manager and Safety Department

In reference to subcontractors, the Subcontractor Superintendent (Superintendent) is responsible for scheduling a weekly safety meeting with all personnel working at the jobsite.

TECHNICAL SUBMITTAL

The purpose of this meeting will be to ensure everyone understands the procedures required to maintain an accident-free work environment. The Superintendent shall develop a detailed JSA that is specific to the task being performed. The JSA will be developed using the job scope. The JSA will be reviewed with all employees prior to performing the job task and changed if there is a change in job scope. The Superintendent is in charge for implementing the Hazard Identification and Mitigation process. This person will be involved in the review of the Hazard ID forms (JSA) and the mitigation recommendations. The Superintendent is responsible for participating in the investigation of Near Misses, Incidents or Injury Accidents. It is also his or her responsibility for conducting daily tailgate meetings with employees to discuss the day's work. He or she is also responsible for communicating near misses, changes in work scope, any changes in the JSA or incidents or accidents with its employees during the daily tailgate meetings. All meetings will be documented, and a sign-in sheet will be used to track employee involvement. The Superintendent is responsible for monitoring the daily work process to ensure that the SSSP is being followed.

Employee safety is more than a priority, it is our core value here at Appellation Construction Services, LLC. We employ a multi-employee full-time Safety Department dedicated to ensuring our employees return home every day without injury. To add to that, Accident Prevention is considered of primary importance in all phases of operation and administration. It is the responsibility of Appellation Construction Services management to provide safe and healthy working conditions. We require safe work practices and good behaviors by all employees. Our approach to the health and safety of our employees is to provide a safe and healthy workplace for everyone, identify and mitigate the associated hazards, insist on safe employee behaviors and work practices, providing the correct Personal Protective Equipment to minimize employee injuries, ensuring proper and ongoing employee safe work practices through daily safety reminders and training, and empowering our employees with STOP WORK AUTHORITY.

- All employees shall attend and participate in the weekly safety meetings and the daily tailgate meetings to discuss the daily work activities and JSA's.
- All employees are encouraged to report hazards directly to the Superintendent.
- All employees are required to report all near misses and incidents to the Superintendent.
- All employees have the right and duty to stop unsafe work activities without any ill-mannered or negative actions directed towards them for reporting unsafe acts.
- "Be Your Brother's Keeper...If You See Something, Say Something"

During the safety orientation the SSSP will be reviewed with all employees and sub-contractors. All training, orientations and information on job scope changes will be documented on the jobsite and communicated to all employees at the daily tailgate safety meetings or special safety meeting depending on the severity of the change. New personnel arriving onsite shall be trained to this SSSP and documented that they have received such training. All visitors to the jobsite shall sign-in at the construction trailer and shall be accompanied by authorized personnel.

TECHNICAL SUBMITTAL

QUALITY CONTROL PLAN:

TRACKING AND REPORTING:

ACS has a dedicated individual to each specific job known as our Project Support person. This individual is in charge of tracking items for the project and sending out pertinent information to those who need it. The Project Support person works with our Project Controls person and our Project Manager to make sure all information is being tracked and documented. Our Project Support person uses Microsoft Word and Excel to track any required information for the project.

CHANGE ORDERS:

The software that ACS uses for documenting change orders during any specific project is Microsoft Excel. One of the Project Support individual's duties is that he or she oversees the sending out of any change orders that may come up during the project which are then kept on file with the Project Controls person.

REQUESTS FOR INFORMATION:

All RFI's for any project are ran through our Project Support individual and sent out to whoever needs to see the RFI on the customer end. The RFI's are documented with the Project Controls person.

PUNCLIST AND PROJECT CLOSEOUT:

Punchlist and Closeout items are all over seen by the Project Manager and Superintendent for that job. They will ensure that all items on the list are taken care of before full demobilization takes place on that project.

SUBS:

All our subcontractors are issued an NOA and report directly to our Project Manager in charge of that project. Invoices are then sent to our accounting department once the Project Manager approves the subcontractors completed work to ensure prompt payment to the subcontractor.

MATERIAL CERTIFICATIONS

All Material Certifications are submitted for approval before any material is released to the project. Once the material certifications are approved, the Project Manager will pass along the information to the Project Controls individual who will keep the information for Final Turnover Documentation. Any On-Site testing that needs to take place is presented to the On-Site Superintendent and Project Manager. Any documentation pertaining to the test is then given to the Project Controls Person who retains the documentation for Final Turnover.



REQUEST FOR INFORMATION (RFI) FORM

RFI Number	Rev	Project Name	Date issued	Response Needed By
RFI Originator/Title	Name of Contractor		Discipline	Other Discipline(s) Affected
Drawing # / Rev #		Specification	Cost Impact	Schedule Impact (Days)
RFI Subject/Title:				
Request:				Date:
Response:				Date:
Answered By:				
Title:				
Company:				
Date:				



Change Order/Extra Work Request

Company:	Request Number:
Contractor:	Work Order Number:
Project:	Contract Number:

Description of Work:
Reason for Request:

Proposed Method of Payment

<input type="checkbox"/> Firm Price	Material Cost: \$ _____	<input type="checkbox"/> Price
	Installation Cost: \$ _____	
	Total Cost: \$ _____	
<input type="checkbox"/> Cost Plus	Estimated Cost: \$ _____	



Revised Contract Completion Date: _____

No Charge

Increase Number of Days: _____

Decrease Number of Days: _____

Contractor Representative

Date

Company Representative

Date

TECHNICAL SECTION 3: STAFFING PLAN

Section T-3A Staffing Resources

Appellation Construction Services will have about 25 skilled employees, all hired through the local and neighboring union halls. All our employees have completed an apprenticeship program which consists of five years of class and on-site training to develop skills for all aspects of quality electrical work.

Schneider Electric will install and program devices for the security access control and alarm system, duress system, and video surveillance system. They are qualified and highly skilled on these systems within the state complexes. Schneider Electric's project manager is experienced and knowledgeable in all systems pertaining to the Harrisburg Forum Building project, has worked with several departments heads for each system.

Siemens will be partaking in the fire alarm installation. Their staff consists of reliable, highly skilled individuals that will assist ACS in the completion of the fire alarm installation. Moreover, Siemen's staff is knowledgeable and experienced in working with DGS on fire alarms.

ACS has reached out to subcontractors for the communication installation that have in the past worked with state facilities who have a high level of training of all the systems that are involved in this project design.

Serviam Construction, LLC will be performing the plastering portion of the job. This will consist of cutting and patching of ceilings and walls. If necessary, they will also have finished carpenters to work on any woodwork that may need modification or adjustments.

Section T-3B Skill Training

Appellation Construction Services, LLC is a proud member of the National Electrical Contractors Association (NECA), which is also part of the IBEW, Brotherhood of Electrical Workers. The electrical training alliance (previously known as NJATC) was created over 70 years ago, which is a joint training program with NECA and IBEW. Our electrical team is qualified through the local union (Employee Apprentice & Journeyman 5-Year Program) and/or has been trained through this outstanding program that has overall trained more than 350,000 apprentices to journeyman status. Moreover, this program offers students apprenticeships so that they can earn and learn, all without cost to the taxpayers and while creating new tax revenue for the economy. The trainings offered through the local electrical union for both apprentices and journeymen are OSHA 10, OSHA 30, and NFPA 70 E. In addition to that, the Local 143 utilizes a substance abuse testing program which includes pre-employment and random testing.

Section T-3C Workforce Safety

At ACS, our Employee Workforce Safety Training Programs Through the Local Electrical Unions (which are Apprenticeship & Journeyman Classifications) include OSHA 10 &

30 via online (OSHA Campus), NFPA70E Certification, First Aid/CPR Certification, On the Job Training, and Required Classroom Trainings.

In order to promote jobsite, worker, and public safety, ACS incorporated highly effective Incident Avoidance Programs and Policies right from the start. Our “New Hire Employee Safety Orientation” consists of ACS Safe Working Procedures & Rules, Hazard Recognition and Control Abilities, and Awareness Level of OSHA Construction Regulations. In addition to that, our Incident Avoid Programs/Policies also include Operator Qualification Training/Certification through Veriforce, LLC., Midwest Energy & Industrial Training Services, Daily JSA Safety Meetings that include a daily safety topic, Weekly Tool-Box (OSHA Required Training Topics) and Job Site Specific Topics, An Accredited PA State Employee Safety Committee, Good Catch Program utilizing Hazard Observation/Near Miss Reporting, Employee Safety Recognition for reporting Good Catches, and Employee Drug Testing (Pre-employment, random, post incident for causes & return to duty) through Mid-State Occupational Health Facility.

TECHNICAL SECTION 4: SUPPORTING DOCUMENTATION
Section T-4A Proposal Signature Page

Section T-4B Non-Collusion Affidavit

APPENDIX A

PROPOSAL SIGNATURE PAGE

APPENDIX A PROPOSAL SIGNATURE PAGE

Proposer's Representations and Authorizations. Proposer by signing this Proposal Signature page and submitting its proposal understands, represents, acknowledges and certifies that:

- a. All information provided by, and representations made by, the Proposer in the proposal are material and important and will be relied upon by the Proposal Evaluation Committee in reviewing the Proposal and by DGS in awarding the contract. Any misrepresentation of a material fact or omission of material fact by the entity submitting the proposal shall be treated as fraudulent concealment from the Commonwealth of the true facts relating to the submission of the proposal. If the misrepresentation and/or omission of material fact is discovered during the review of the proposal, the proposal will be automatically disqualified. Discovery of the misrepresentation and/or omission of material fact after contract award constitutes grounds for defaulting the contractor and may lead to debarment procedures being instituted against the contractor. A misrepresentation shall be punishable under 18 Pa. C.S. § 4904.
- b. Proposer acknowledges that they have received, read and understood all Addenda issued for the Project.
- c. The price and amount of this proposal have been arrived at independently and without consultation, communication or agreement with any other Proposer or potential Proposer.
- d. Neither the price nor the amount of the proposal, and neither the approximate price nor the approximate amount of this proposal, have been disclosed to any other firm or person who is a Proposer or potential Proposer, and they will not be disclosed on or before the proposal submission deadline specified in the Notice to Proposers and the Calendar of Events.
- e. No attempt has been made or will be made to induce any firm or person to refrain from submitting a proposal on this contract, or to submit a proposal higher than this proposal, or to submit any intentionally high or noncompetitive proposal or other form of complementary proposal.
- f. The proposal is made in good faith and not pursuant to any agreement or discussion with, or inducement from, any firm or person to submit a complementary or other noncompetitive proposal.
- g. To the best knowledge of the person signing the proposal for the Proposer, the Proposer, its affiliates, subsidiaries, officers, directors, and employees are not

currently under investigation by any local, state or federal governmental agency and have not in the last four (4) years been convicted or found liable for any act prohibited by State or Federal law in any jurisdiction, involving conspiracy or collusion with respect to bidding or proposing on any public contract, except as disclosed by the Proposer in its proposal.

- h. To the best of knowledge of the person signing the proposal for the Proposer and except as otherwise disclosed by the Proposer in its proposal, the Proposer has no outstanding, delinquent obligations to Commonwealth including, but not limited to, any state tax liability not being contested on appeal or other obligation of the Proposer that is owed to Commonwealth.
- i. The Proposer is not currently under suspension or debarment by Commonwealth, or any other local, state, or the federal government. If the Proposer cannot so certify, then it shall submit along with its proposal a written explanation of why it cannot make such certification.
- j. The Proposer has not, under separate contract with the DGS made any recommendations to DGS concerning the need for the services described in the proposal or the specifications for the services described in the proposal.
- k. Each Proposer, by submitting its proposal, authorizes all Commonwealth agencies to release to Commonwealth information related to liabilities to Commonwealth of Pennsylvania including, but not limited to, taxes, unemployment compensation, workers' compensation liabilities and Prevailing Wage Act.
- l. Until the selected Proposer receives a fully executed and approved written contract from the DGS, there is no legal and valid contract in law or in equity, and the Proposer should not begin to perform work. If a Letter of Intent has been issued, the Proposer may proceed in accordance with the terms of the Letter.
- m. Proposer is not currently engaged, and will not during the duration of the contract engage, in a boycott of a person or an entity based in or doing business with a jurisdiction which the Commonwealth is not prohibited by Congressional statute from engaging in trade or commerce; and is eligible to contract with the Commonwealth under Section 3604 of the Procurement Code.
- n. Proposer agrees and certifies to abide by, but not be limited to, the Commonwealth of Pennsylvania Acts, Provisions, Clauses, and Statements stated in the Contract Documents.

I am authorized to sign this proposal on behalf of the Proposer and I agree and state that Appellation Construction Services, LLC (Name of Firm) understands and acknowledges that the above representations are material and important, and will be relied upon by the Proposal Evaluation Committee and the Department of General Services in awarding the contract(s) for which this proposal is submitted. I understand and my firm understands that any misstatement shall be treated as fraudulent concealment from the Department of General Services of the true facts relating to the submission of this proposal.

PROPOSER IS A CONTRACTOR/INDIVIDUAL:

Witness:

By:

Contractor / Individual

PROPOSER IS A LIMITED LIABILITY COMPANY (LLC) OR PARTNERSHIP:

Witness:

By:



Gregory Galante, CEO 

General Partner / Authorized LLC Member

By:

Limited Partnership

PROPOSER IS A CORPORATION:

Attest: By:

Secretary/Treasurer

President/Vice-President

PROPOSER IS A JOINT VENTURE:

Attest: By:

Secretary

President

Attest:

By:

Secretary

President

APPENDIX B

Non-Collusion Affidavit

Appendix B
NON-COLLUSION AFFIDAVIT

INSTRUCTIONS FOR NON-COLLUSION AFFIDAVIT

1. This Non-collusion Affidavit is material to any contract awarded pursuant to this proposal. According to §4507 of the Commonwealth Procurement Code, 62 Pa. C.S. §4507, governmental agencies may require Non-collusion Affidavits to be submitted with proposals.
2. This Non-collusion Affidavit must be executed by the member, officer, or employee of the Proposer who makes the final decision on prices and the amount quoted in the proposal.
3. Bid rigging and other efforts to restrain competition, and the making of false sworn statements in connection with the submission of proposals are unlawful and may be subject to criminal prosecution. The person who signs the affidavit should examine it carefully before signing and assure himself or herself that each statement is true and accurate, making diligent inquiry, as necessary, of all other persons employed by or associated with the Proposer with responsibilities for the preparation, approval or submission of the proposal.
4. In the case of a proposal submitted by a joint venture, each party to the venture must be identified in the proposal documents and an affidavit must be submitted separately on behalf of each party to the joint venture.
5. The term “complementary proposal” as used in the affidavit has the meaning commonly associated with that term in the proposal process, and includes the knowing submission of proposals higher than the proposal of another firm, any intentionally high or noncompetitive proposal, and any other form of proposal submitted for the purpose of giving a false appearance of competition.
6. Failure to submit a Non-collusion affidavit with the Proposal in compliance with these instructions may result in disqualification of the proposal.

NONCOLLUSION AFFIDAVIT

State of Pennsylvania :
County of Lycoming : s.s.

DGS Project Number: DGS C-0948-0082

I state that I am the CEO/Owner (Title) of Appellation Construction Services, LLC (Name of Firm) and that I am authorized to make this affidavit on behalf of my firm, and its owners, directors, and officers. I am the person responsible in my firm for the prices(s) and the amount of this proposal.

I state that:

- 1. The price(s) and amount of this proposal have been arrived at independently and without consultation, communication or agreement with any other contractor, proposer, or potential proposer.
- 2. Neither the price(s) nor the amount of this proposal, and neither the approximate price(s) nor approximate amount of this proposal, have been disclosed to any other firm or person who is a proposer or potential proposer, and they will not be disclosed before the proposal submission date.
- 3. No attempt has been made or will be made to induce any firm or person to refrain from proposing on this contract, or to submit a proposal higher than this proposal, or to submit any intentionally high or noncompetitive proposal or other form of complementary proposal.
- 4. The proposal of my firm is made in good faith and not pursuant to any agreement or discussion with, or inducement from, any firm or person to submit a complementary or other noncompetitive proposal.
- 5. Appellation Construction Services, LLC (Name of Firm) its affiliates, subsidiaries, officers, directors, and employees are not currently under investigation by any governmental agency and have not in the last three years been convicted or found liable for any act prohibited by state or federal law in any jurisdiction, involving conspiracy or collusion with respect to proposing and/or bidding on any public contract, except as follows:

I state that Appellation Construction Services, LLC (Name of Firm) understands and acknowledges that the above representations are material and important, and will be relied upon by the Department of General Services in awarding the contract(s) for which this proposal is submitted. I understand and my firm understands that any misstatement in this affidavit is and shall be treated as fraudulent concealment from the Department of General Services of the true facts relating to the submission of this proposal.

Gregory Galante
(Signature)

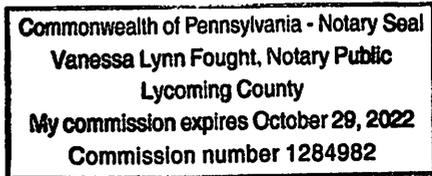
Gregory Galante
(Signatory's Printed Name)

CEO/Owner
(Signatory's Title)

SWORN TO AND SUBSCRIBED
BEFORE ME THIS 27 DAY OF
February, 2020.

Vanessa Lynn Fought
Notary Public

My Commission Expires
10/29/2022



TECHNICAL SUBMITTAL

Appellation Construction Services has expertise in constructing, maintaining and improving electrical systems in many industries including oil and gas, industrial, healthcare, education and commercial. We can handle electrical construction, instrument installation and low voltage system construction. We have the safety culture, the workforce and resources to complete your project on time and under budget. Our electrical department provides you with stand-alone electrical construction. It also allows us the control and quality needed for today's fast paced construction schedules. Our electrical team is comprised of hardworking, experienced individuals whose performance of professional installations, maintenance, and instrumentation far exceeds the needs of our customers. We specialize in anything from pipeline facilities and industrial work to commercial and educational amenities. We believe that every project accepted, is an opportunity to better serve our community. We know firsthand that the type of operations we undertake as a company necessitate following all safety procedures and policies. This has always been and will continually be, a core value of Appellation Construction Services.



COMMONWEALTH OF PENNSYLVANIA

PUBLIC WORKS EMPLOYMENT VERIFICATION FORM

Date 02/27/2020

Business or Organization Name (Employer) Appellation Construction Services, LLC

Address 999 N. Loyalsock Ave Suite C

City Montoursville State PA Zip Code 17754

Contractor Subcontractor (check one)

Contracting Public Body Commonwealth of PA - DGS

Contract/Project No DGS C-0948-0082 Phase 1.4 – Electrical Construction

Project Description Comprehensive renovation of the entire building (with exceptions at defined areas) as indicated by the Contract Documents

Project Location Forum Building, The Capitol Complex, 607 South Drive, Harrisburg, Pennsylvania 17120

As a contractor/subcontractor for the above referenced public works contract, I hereby affirm that as of the above date, our company is in compliance with the Public Works Employment Verification Act ('the Act') through utilization of the federal E-Verify Program (EVP) operated by the United States Department of Homeland Security. To the best of my/our knowledge, all employees hired post January 1, 2013 are authorized to work in the United States.

It is also agreed to that all public works contractors/subcontractors will utilize the federal EVP to verify the employment eligibility of each new hire within five (5) business days of the employee start date throughout the duration of the public works contract. Documentation confirming the use of the federal EVP upon each new hire shall be maintained in the event of an investigation or audit.

I, Gregory Galante, authorized representative of the company above, attest that the information contained in this verification form is true and correct and understand that the submission of false or misleading information in connection with the above verification shall be subject to sanctions provided by law.

Gregory J. Galante
Authorized Representative Signature