

**SDB-2**  
**SDB PARTICIPATION SUBMITTAL**

**Bidder/Offeror:** Caretti Restoration and Pro **Solicitation # :** C-0948-0100 P1.1

**Solicitation Title:** East Wing - Water Instrusion Repairs

**Discipline:** .1 GC (.1 GC, .2 HVAC, .3 Plumbing, or .4 Electrical)

**Base Bid:** 1 (identify the corresponding Base Bid for this SDB Participation Submittal)

**CHECK ONE, AND ONLY ONE, BOX. FAILURE TO COMPLY WILL RESULT IN REJECTION OF YOUR BID/PROPOSAL.**

*Click on bold titles to navigate to that specific page.*

**I agree to meet the SDB participation goal in full.**

I have completed and am submitting with my bid or proposal an **SDB Utilization Schedule (SDB-3)**, which is required in order to be considered for award.

**I am requesting a partial waiver of the SDB participation goal.**

After making good faith outreach efforts as more fully described in the **Guidance for Documenting Good Faith Efforts to Meet the SDB Participation Goal**, I am unable to achieve the total SDB participation goal for this solicitation and am requesting a partial waiver of the SDB participation goal.

I have completed and am submitting with my bid or proposal both of the following, which are required in order to be considered for award:

1. an **SDB Utilization Schedule (SDB-3)** for that portion of the SDB participation goal that I will meet; AND
2. a **Good Faith Efforts Waiver Request** for the portion of the SDB participation goals that I am unable to meet.

**I am requesting a full waiver of the SDB participation goal**

After making good faith outreach efforts as more fully described in the **Guidance for Documenting Good Faith Efforts to Meet the SDB Participation Goal**, I am unable to achieve any part of the SDB participation goal for this solicitation and am requesting a full waiver of the SDB participation goal.

I have completed and am submitting with my bid or proposal a **Good Faith Efforts Waiver Request** for the complete SDB participation goal, which is required in order to be considered for award.

**NOTE:** SDB primes who are submitting as bidders or offerors must complete an **SDB Utilization Schedule (SDB-3)** identifying any self-performance towards the SDB participation goal.

**SDB-3  
SDB UTILIZATION SCHEDULE**

**Bidder/Offorer:** Caretti Restoration and Preservation Se

**Solicitation # :** C-0948-0100 P1.1 **Solicitation Title:** East Wing - Water Intrusion Repairs

List in the chart below SDBs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the SDB participation goal (add additional pages if necessary). Submit a **Letter of Commitment (SDB-3.1)** for each SDB subcontractor, supplier, or manufacturer (add additional Letters of Commitment as necessary).

SDB Name SAP Vendor Number (6-digit number provided by SDB) SDB Verification Number (located on DGS SDB verification)	Type of SDB (check all that apply)	Description of Work to be Performed (Statement of Work/Specification reference)	% Commitment (or % of work to be self-performed by SDB bidder/offorer)	Dollar Value of Commitment (after applying any calculation per SDB-1, Section IV, Calculating SDB participation)
Name: <u>ABC IT Solutions</u> SAP Vendor Number: <u>123456</u> SDB Verification Number: <u>123456-2023-09-SB-M</u>	MBE	IT staffing resources	%	\$
Name: <u>Poverty Ridge Inc.</u> SAP Vendor Number: <u>177318</u> SDB Verification Number: <u>177318-2021-09-SB-SDB</u>	<input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> LGBTBE <input type="checkbox"/> DOBE <input type="checkbox"/> SDVBE	Supplying New Granite Pavers	1 %	\$ 41,859
Name: <u>American Construction and Concrete, LLC.</u> SAP Vendor Number: <u>547951</u> SDB Verification Number: <u>547951202308-VBE-V</u>	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> LGBTBE <input type="checkbox"/> DOBE <input checked="" type="checkbox"/> SDVBE	Materials	3 %	\$ 193,919
Name: SAP Vendor Number: SDB Verification Number:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> LGBTBE <input type="checkbox"/> DOBE <input type="checkbox"/> SDVBE		%	
Name: SAP Vendor Number: SDB Verification Number:	<input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> LGBTBE <input type="checkbox"/> DOBE <input type="checkbox"/> SDVBE		%	
Attach additional sheets if necessary			Total % SDB commitment: <u>4</u>	Total \$ amount: \$ <u>235,778</u>

**SDB-3.1  
LETTER OF COMMITMENT**

This Letter of Commitment serves as confirmation of the commitment by the prime Bidder or Offeror to utilize the Small Diverse Business (SDB) on the below-referenced Solicitation/Project.

Solicitation #: C-0948-0100 P1.1 Solicitation Name: East Wing - Water Instrusion Repairs

	Bidder/Offeror Information	SDB Information
Name	Caretti Restoration and Preservation Services, LLC.	Poverty Ridge Inc.
Address	4590 Industrial Park Road, P.O. Box 1301, Camp Hill, Pa 17001	228 N. Phoenixville Pike, Malvern, PA 19355
Point of Contact	Matthew Ventin	Paula Kocher
Telephone #	(717) 756-5748	(610) 246-7640
Email address	mventin@carettirestoration.com	povertyridgeinc@aol.com

Services/Supplies and Time Frame. If Bidder/Offeror is the successful vendor, the SDB shall perform or provide the following services or supplies during the term of the prime contract, as more specifically set forth below:

Services or supplies the SDB will provide:	New Granite Pavers
Specific Time Frame the SDB will provide the services or supplies:	Beginning of the project.

Dollar Value of Commitment. These service or supplies for contract/fee indicated in the table below shall be for the term of the contract.

COMMITMENT TABLE	Total Contract/Fee Commitment Value	Allowable Participation Percentage	Allowable Participation Value equals Dollar Value Commitment on SDB-3
	(c)	(d)	(c) x (d) = (e)
SDB Subcontractor - performs at least 50% of the value of the subcontract with its own forces		100%	
SDB Manufacturer - produces on premise material, supplies, articles or equipment required for contract.		100%	
SDB Stocking Suppliers - maintains materials on premises that are bought and stored and required for the contract.		60%	
SDB Non-stocking Supplier - Service Fee Only - Does not carry inventory.	41859	100%	41859

SDB verified. By signing below, the SDB represents that it meets the SDB requirements set forth in the Solicitation and all required documentation has been provided to the Bidder/Offeror for its SDB submission.

Sincerely,  
Matthew S. Ventin

Bidder/Offeror Point of Contact Printed name

Matthew Ventin

Digitally signed by Matthew Ventin  
Date: 2024.01.09 06:29:11 -0500

Bidder/Offeror Point of Contact Signature

Acknowledged

Paula Kocher

SDB Point of Contact Printed name

*Paula Kocher*

SDB Point of Contact Signature

*\* For purposes of monitoring compliance with SDB and VBE commitments, the work performed by a firm that is both an SDB and a VBE will be counted by BDISBO towards fulfilling both the SDB and VBE commitments unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office.*

**SDB-3.1  
LETTER OF COMMITMENT**

This Letter of Commitment serves as confirmation of the commitment by the prime Bidder or Offeror to utilize the Small Diverse Business (SDB) on the below-referenced Solicitation/Project.

Solicitation #: C-0948-0100 P1.1 Solicitation Name: East Wing - Water Intrusion Repairs

	Bidder/Offeror Information	SDB Information
Name	Caretti Restoration and Preservation Services, LLC.	American Construction and Concrete, LLC.
Address	4590 Industrial Park Road	1429 Heatherwood Dr., Mount Joy, PA 17552
Point of Contact	Matthew S. Ventin	Dana Allen
Telephone #	(717) 756-5748	(717) 458-1976
Email address	mventin@carettirestoration.com	dsallen@americancandc.com

**Services/Supplies and Time Frame.** If Bidder/Offeror is the successful vendor, the SDB shall perform or provide the following services or supplies during the term of the prime contract, as more specifically set forth below:

Services or supplies the SDB will provide:	Construction Materials
Specific Time Frame the SDB will provide the services or supplies:	Throughout Construction

**Dollar Value of Commitment.** These service or supplies for contract/fee indicated in the table below shall be for the term of the contract.

COMMITMENT TABLE	Total Contract/Fee Commitment Value	Allowable Participation Percentage	Allowable Participation Value equals Dollar Value Commitment on SDB-3
	(c)	(d)	(c) x (d) = (e)
SDB Subcontractor - performs at least 50% of the value of the subcontract with its own forces		100%	
SDB Manufacturer - produces on premise material, supplies, articles or equipment required for contract.		100%	
SDB Stocking Suppliers - maintains materials on premises that are bought and stored and required for the contract.	193919	60%	116351.67
SDB Non-stocking Supplier - Service Fee Only -Does not carry inventory.		100%	

SDB verified. By signing below, the SDB represents that it meets the SDB requirements set forth in the Solicitation and all required documentation has been provided to the Bidder/Offeror for its SDB submission.

Sincerely,

Matthew S. Ventin

Bidder/Offeror Point of Contact Printed name

Matthew Ventin

Digitally signed by Matthew Ventin  
Date: 2024.01.09 06:14:58 -05'00'

Bidder/Offeror Point of Contact Signature

Acknowledged

Dana Allen

SDB Point of Contact Printed name



SDB Point of Contact Signature

*\* For purposes of monitoring compliance with SDB and VBE commitments, the work performed by a firm that is both an SDB and a VBE will be counted by BDISBO towards fulfilling both the SDB and VBE commitments unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office.*

**SDB-5  
GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL**

<b>Project Description:</b>	East Wing - Water Intrusion Repairs
<b>Commonwealth Agency Name:</b>	Department of General Services
<b>Solicitation #:</b>	C-0948-0100 P1.1
<b>Solicitation Due Date and Time:</b>	1/9/24 2:00 pm

<b>Bidder/Offeror Company Name:</b>	Caretti Restoration and Preservation Services, LLC.
<b>Bidder/Offeror Contact Name:</b>	Matthew S. Ventin
<b>Bidder/Offeror Contact Email:</b>	mventin@caretтиrestoration.com
<b>Bidder/Offeror Contact Phone Number:</b>	(717) 756-5748

**Part 1 – Identified Items of Work Offeror Made Available to SDBs**

Identify those items of contract work that the Offeror made available to SDBs. This includes, where appropriate, those items the Offeror identified and subdivided into economically feasible units to facilitate the SDB participation. For each item listed, show the anticipated percentage of the total contract cost. Offeror must demonstrate that enough work to meet the SDB participation goal was made available to SDBs, and the total percentage of the items of work identified for SDB participation met or exceeded the SDB participation goal set for the procurement.

Identified Items of Work	Was this work listed in the solicitation?	Does Offeror normally self-perform this work?	Was this work made available to SDB Firms? If not, explain why.
Landscaping	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no
	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no
	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no
	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no

Attach additional sheets if necessary.

**SDB-5  
GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL**

**Part 2 – Identified SDBs and Record of Solicitations**

Identify the SDBs solicited to provide quotes for the Identified Items of Work made available for SDB participation. Include the name of the SDB solicited, items of work for which quotes were solicited, date and manner of initial and follow-up solicitations, whether the SDB provided a quote, and whether the SDB is being used toward meeting the SDB participation goal. SDBs used to meet the SDB participation goal must be listed on the **SDB Utilization Schedule (SDB-2)**.

Note: Copies of all written solicitations and documentation of follow-up calls to SDBs must be attached to this form. For each Identified SDB listed below, Offeror should submit an SDB Subcontractor Unavailability Certificate signed by the SDB or a statement from the Offeror that the SDB refused to sign the SDB Subcontractor Unavailability Certificate.

Name of Identified SDB and Classification	Describe Item of Work Solicited	Initial Solicitation Date & Method	Follow-up Solicitation Date & Method	Details for Follow-up Calls	Quote Received?	Quote Used?	Reason Quote Rejected
SDB Name: A New Design Landscape and Stone Inc. <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> LGB/TBE <input type="checkbox"/> DOBE <input type="checkbox"/> SD/VBE	Removal and Reinstallation of Pavers, Landscaping and Dirt/Mulch.	Date: 12/11/23 <input type="checkbox"/> mail <input checked="" type="checkbox"/> email <input type="checkbox"/> fax	Date: 1/3/24 <input type="checkbox"/> mail <input checked="" type="checkbox"/> email <input type="checkbox"/> fax	Date and Time of Call: 1/4/24 8:00 am Spoke with: <b>Voice Recording</b> Left Message: Yes	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<input type="checkbox"/> Used other SDB <input checked="" type="checkbox"/> Used non-SDB <input type="checkbox"/> Self performing
SDB Name: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> LGB/TBE <input type="checkbox"/> DOBE <input type="checkbox"/> SD/VBE		Date: <input type="checkbox"/> mail <input type="checkbox"/> email <input type="checkbox"/> fax	Date: <input type="checkbox"/> mail <input type="checkbox"/> email <input type="checkbox"/> fax	Date and Time of Call: Spoke with: Left Message:	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> Used other SDB <input type="checkbox"/> Used non-SDB <input type="checkbox"/> Self performing

Attach additional sheets as necessary.

**SDB-5**  
**GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF**  
**SDB PARTICIPATION GOAL**

**Part 3 – SDB Outreach Compliance Statement**

- 1. List the Identified Items of Work for subcontracting opportunities for the solicitation along with specific work categories:**

Landscaping, Dirt/Mulch Removal in planters, and Paver Removal and Installation.

- 2. Attach to this form copies of written solicitations (with Bid or Proposal instructions) used to solicit Identified SDBs for these subcontract opportunities.**

- 3. Offeror made the following attempts to contact the Identified SDBs:**

Sent an email on 12/11/23.  
Sent a follow up email on 1/3/24  
Called on 1-4-24 and left a message.

- 4. Bonding Requirements (Please Check One):**

This project does not involve bonding requirements.

Offeror assisted Identified SDBs to fulfill or seek waiver of bonding requirements.  
(DESCRIBE EFFORTS):

- 5. Pre-Bid/Proposal Conference or Supplier Forum (Please Check One):**

Offeror did attend the pre-Bid/Proposal conference or Supplier Forum

No pre-Bid/Proposal conference or Supplier Forum was held

Offeror did not attend the pre-Bid/Proposal conference or Supplier Forum

**SDB-5  
GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL**

**Part 4 – Additional Information Regarding Rejected SDB Quotes**

This form must be completed if Part 2 indicates that an SDB quote was rejected because the Offeror is using a non-SDB or is self-performing the Identified Items of Work. List the Identified Items of Work, state whether the work will be self-performed or performed by a non-SDB, and if applicable, state the name of the non-SDB firm. Also include the names of all SDBs and non-SDB firms that provided a quote and the amount of each quote.

Describe Identified Items of Work not being performed by SDBs (include specific section from bid or proposal)	Self-performing or using non-SDB (provide name of non-SDB if applicable)	Amount of non-SDB quote \$	Name of other firms that provided quotes and whether they are SDB	Amount quoted \$	Reason why SDB quote was rejected along with brief explanation
Landscaping	<input type="checkbox"/> self-performing <input checked="" type="checkbox"/> using Non-SDB Name: Malco Landscape Inc.	\$ 332,500	<input type="checkbox"/> SDB <input type="checkbox"/> Non-SDB Name:		<input type="checkbox"/> price <input checked="" type="checkbox"/> capabilities <input type="checkbox"/> other No other quote was received.
	<input checked="" type="checkbox"/> self-performing <input type="checkbox"/> using Non-SDB Name:		<input type="checkbox"/> SDB <input checked="" type="checkbox"/> Non-SDB Name:		<input checked="" type="checkbox"/> price <input type="checkbox"/> capabilities <input type="checkbox"/> other
	<input type="checkbox"/> self-performing <input type="checkbox"/> using Non-SDB Name:		<input type="checkbox"/> SDB <input type="checkbox"/> Non-SDB Name:		<input type="checkbox"/> price <input type="checkbox"/> capabilities <input type="checkbox"/> other
	<input type="checkbox"/> self-performing <input type="checkbox"/> using Non-SDB Name: American Construction and Concrete, LLC.		<input type="checkbox"/> SDB <input type="checkbox"/> Non-SDB Name:		<input type="checkbox"/> price <input type="checkbox"/> capabilities <input type="checkbox"/> other
	<input type="checkbox"/> self-performing <input type="checkbox"/> using Non-SDB Name:		<input type="checkbox"/> SDB <input type="checkbox"/> Non-SDB Name:		<input type="checkbox"/> price <input type="checkbox"/> capabilities <input type="checkbox"/> other

Attach additional sheets as necessary.



**SDB-5**  
**GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF**  
**SDB PARTICIPATION GOAL**

**Part 5 – SDB Subcontractor Unavailability Certificate**

1. It is hereby certified that the firm of A New Design Landscape and Stone, Inc.  
(Name of SDB)

located at 995 Strawbridge Road  
(Number) (Street)

Northumberland, PA 17857  
(City) (State) (Zip)

was offered an opportunity to bid on Solicitation No. C-0948-0100 P1.1

by Caretti Restoration and Preservation Services, LLC.  
(Name of Prime Contractor's Firm)

\*\*\*\*\*

2. \_\_\_\_\_ (SDB), is either unavailable for the work/service or unable to prepare a Proposal for this project for the following reason(s):

\_\_\_\_\_  
(Signature of SDB's Representative) (Title) (Date)

\_\_\_\_\_  
(DGS SDB Certification #) (Telephone #)

\*\*\*\*\*

3. If the SDB does not complete this form, the prime contractor must complete the following:

To the best of my knowledge and belief, the above-listed SDB is either unavailable for the work/service for this project, is unable to prepare a Proposal, or did not respond to a request for a price Proposal and has not completed the above portion of this submittal.

Matthew Ventin Digitally signed by Matthew Ventin Date: 2024.01.09 06:27:44 -05'00' Operations Manager 1/9/24  
(Signature of Bidder/Offeror) (Title) (Date)

## Nate Marencic

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**From:** Nate Marencic  
**Sent:** Monday, December 11, 2023 11:46 AM  
**To:** 'cjmcglinn@gmail.com'  
**Subject:** East Wing PA Capitol  
**Attachments:** 0948-100 P1 - Construction Documents Drawings.pdf

Good Morning,

I am bidding a project at the State Capitol. We are in need of a Landscaper to remove plants, bushes, and trees for our company to perform work in the planters. We would need a price to removal and reinstall.

I have attached the drawings and specs. Bid due date is December 18<sup>th</sup>.

If you could let me know if this is something you are interested in that would be great.

Please feel free to reach out with any questions.

Thanks and Have a Great Day.

Nathan Marencic | Estimator  
Caretti Restoration and Preservation Services, LLC.  
a.4590 Industrial Park Road, Camp Hill, PA 17011 | P.O. Box 1301  
o. 717-737-6759 ext. 112 | c. 717-585-1019 E: [nmarencic@carettirestoration.com](mailto:nmarencic@carettirestoration.com)  
w. [www.carettirestoration.com](http://www.carettirestoration.com)

## Nate Marencic

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**From:** Nate Marencic  
**Sent:** Wednesday, January 3, 2024 10:59 AM  
**To:** 'cjmclinn@gmail.com'  
**Subject:** FW: East Wing PA Capitol  
**Attachments:** 0948-100 P1 - Construction Documents Drawings.pdf

Good Morning,

Reaching back out on this project to see if you had any interest in bidding with us. Due Date is January 9<sup>th</sup>. We would need a proposal no later than 10 am on January 8<sup>th</sup>.

Thanks and Have a Great Day.

Nathan Marencic | Estimator  
Caretti Restoration and Preservation Services, LLC.  
a.4590 Industrial Park Road, Camp Hill, PA 17011 | P.O. Box 1301  
o. 717-737-6759 ext. 112 | c. 717-585-1019 E: [nmarencic@carettirestoration.com](mailto:nmarencic@carettirestoration.com)  
w. [www.carettirestoration.com](http://www.carettirestoration.com)

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**From:** Nate Marencic  
**Sent:** Monday, December 11, 2023 11:46 AM  
**To:** 'cjmclinn@gmail.com' <cjmclinn@gmail.com>  
**Subject:** East Wing PA Capitol

Good Morning,

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Thanks and Have a Great Day.

Nathan Marencic | Estimator  
Caretti Restoration and Preservation Services, LLC.  
a.4590 Industrial Park Road, Camp Hill, PA 17011 | P.O. Box 1301  
o. 717-737-6759 ext. 112 | c. 717-585-1019 E: [nmarencic@carettirestoration.com](mailto:nmarencic@carettirestoration.com)  
w. [www.carettirestoration.com](http://www.carettirestoration.com)