APPENDIX F
PRIME CONTRACTOR
QUALIFICATION STATEMENT

COVER SHEET

DGS Project Name: Vail Hall Renovations - Lincoln University
DGS Project Number: DGS C-1101-0053 Phase 1

Check One:
✓ Corporation,
__ Partnership,
__ Individual,
__ Joint Venture,
__ Other __________

Name of Firm: Caldwell, Heckles & Egan, Inc
Address: 1270 Grofftown Road, Lancaster, PA 17602
Principal Office: 1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative: Peter Egan
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? ______

Under what former names has the firm conducted business?
Caldwell, Heckles & Egan Partnership

________________________

________________________

________________________

________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:
   Date of incorporation: November 1st, 1985
   State of incorporation: Pennsylvania
   President's name: Peter Egan
   Vice President's name(s): Kurtis Egan
   Secretary's name: Peter Egan
   Treasurer's name: Peter Egan

  ____________________________

  ____________________________

  ____________________________

  ____________________________

e) If the firm is a partnership, provide the following information:

   Date of formation ____________________________
   Type of partnership ____________________________
   Names of partners ____________________________

  ____________________________

  ____________________________

  ____________________________

f) If the firm is individually owned, provide the following information:

   Date of formation ____________________________
   Name of owner ____________________________

  ____________________________

  ____________________________

g) If the firm of the firm is other than those listed above, describe it and name the principals:

   ____________________________

   ____________________________

   ____________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General
   a) Provide the annual construction volume in dollars completed by the firm in the past three years:
      Year 2021 $39,276,000
      Year 2020 $33,900,000
      Year 2019 $23,300,000
   b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%
   c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry,

2.2 Project Experience and References
Submit as Attachment 2 to this Qualifications Statement:
   a) Suggested number of Sheets/Pages:
      i. 3 sheets/(6 pages)
      Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:
         i. Name of project, type of project and location
         ii. Description of the project and relevance of work to the Contract Work
         iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.
         iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
         v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
         vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record
Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.
   a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:
      Year 1: 2021 .748
      Year 2: 2020 .787

b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: 2021 0
Year 2: 2020 0
Year 3: 2019 0

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

Year 1: 2021 2.572
Year 2: 2020 0
Year 3: 2019 2.572

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?
Yes ___ No ___

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?
Yes ___ No ___

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?
Yes ___ No ___

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?
Yes ___ No ___

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
Yes ___  No ✓

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
Yes ___  No __

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
Yes ___  No ✓

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
Yes ___  No ✓  
*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
Yes ___  No ✓

3.11 Has the firm been the subject to any bankruptcy proceeding?
Yes ___  No ✓

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
| Date of Injury | Location | Description of Injury | First Aid Treatment
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>03/01/20XX</td>
<td>Factory</td>
<td>Cut to left hand thumb</td>
<td>Ice and bandage</td>
</tr>
<tr>
<td>03/02/20XX</td>
<td>Warehouse</td>
<td>Sprain to left ankle</td>
<td>Ice, rest, elevation</td>
</tr>
<tr>
<td>03/03/20XX</td>
<td>Office</td>
<td>Backache</td>
<td>None</td>
</tr>
<tr>
<td>03/04/20XX</td>
<td>Factory</td>
<td>Burn to right hand</td>
<td>Cool under cold water</td>
</tr>
<tr>
<td>03/05/20XX</td>
<td>Office</td>
<td>Cold injury to hand</td>
<td>Ice</td>
</tr>
</tbody>
</table>

Note: All injuries are recorded as per the OSHA Form 300 (REV. 01/20XX).
<table>
<thead>
<tr>
<th>Injury and Illness Types</th>
<th>Number of Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
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<tr>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Total number of:***

- (f) Other
- (g) Total

**Total number of cases**

- 0

**Number of days:**

- (c) Days away from work
- (d) Days with job restriction
- (e) Days with job transfer
- (f) Other
- (g) Total

**Summary of Work-Related Injuries and illnesses**

**OSHA's Form 300A (Rev. 01/2004)**
<table>
<thead>
<tr>
<th>Injury and Illness Types</th>
<th>Cases</th>
<th>Total Number of Cases</th>
<th>Number of Days</th>
<th>Deeds</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Throat loss</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>(b) Pernicious</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>(c) Malignant</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>(d) Other Illnesses</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>(e) All Other Illnesses</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Summary of Work-Related Injuries and Illnesses**

OSHA's Form 300A (Rev. 01/2004)
Summary of Work-Related Injuries and Illnesses

OSHA's Form 300A (Rev. 01/2004)
After a two-year renovation project, Thaddeus Stevens College of Technology dedicated its newly upgraded Branch Campus on October 17, 2013. Governor Tom Corbett (pictured above) was the keynote speaker at the event, which was attended by many current students of the College. The 200,000 sq ft former home of Community Hospital of Lancaster, located at 1100 E. Orange St., houses dormitories and classrooms for Pennsylvania’s only state-owned two-year school of technology. The current renovations were very extensive, including the removal of hazardous materials, roof replacement, renovation of corridors and other public areas, New Graphics, Business Administration and Science Labs, HVAC, Plumbing and Electrical upgrades, and many other improvements. Renovations encompassed nearly 70% of the six-floor structure. CH&E Construction served as the General Contractor for the project.
Features

After nearly two years of renovations, the library on the campus of Millersville University was once again open for the start of the Fall, 2013 school year. Formerly named the Ganser Library, the facility was dedicated as the Francine G. McNairy Library and Learning Forum to honor the former Millersville president, who retired in Jan., 2013. The dedication ceremony was held Oct. 24, 2013, after a summer-full of staff and students moving all of the library’s 350,000 items back to the facility.

The $25 million project includes additional and renovated study rooms, bathrooms, elevators and computer labs, as well as new furniture and an improved heating and cooling system. There is also a new cafe and a 24-hour study room. Ganser Library opened in 1967 and had not been renovated since then. It was named for Helen Ganser, the school’s first librarian. CH&E served as General Contractor for this exciting new chapter in the history of Millersville University.
Project
Lancaster County Convention Center - Facade Stabilization
Lancaster, Pennsylvania

Architect
Lane Chapman / Cooper Carry
Atlanta, GA
(404)237-2000

Reference
Tom Smithgall / High Realty Group
Lancaster, PA
(717)293-4403

Features
◆ Construction of a concrete and steel support system to stabilize the century old façade during the demolition and construction phases of the Lancaster County Convention Center and hotel
◆ Drilled 52 caissons (36" round holes drilled down through the limestone below the city) through Queen and King Sts. without hitting a single utility
◆ Erected nearly 500 tons of steel while working overnight through the winter
◆ Continuous monitoring of the façade using the “Total Station” system to detect movement
Project
Lancaster Amtrak Station Restoration
Lancaster, Pennsylvania

Architect
Mike Myers / KCI Technologies
Sparks, MD
(410)316-7800

Reference
Wayne Pusey, Amtrak
Philadelphia, Pennsylvania
(215)349-2846

Features
♦ $12 million project multi prime
♦ Complete interior and exterior restoration of 1929 train station, which is listed on the National Register of Historic Places
♦ Extensive sitework included reorganization of parking and new designated areas for passenger pick-up and drop-off
♦ Train station remained open and completely operable during the 18-month restoration process
A challenging budget, a challenging project, completed in 13 months with uninterrupted operations.

—Pete Egan

**Project**

Saint Joseph Parish
Downingtown, PA

**Architect**

Cassacio Architects
Havertown, PA
Ed Kane: (610)789-1604

**Reference**

Julie Wiant - Parish Business Manager
(610)269-8294

**Features**

- New worship facility, including a 1,200 seat worship space
- New Sanctuary dedicated in June, 2013 after 13 months of construction
- New parking, sanctuary demolition, chapel, meeting room & ancillary spaces
- CM at Risk Project with contract of $7,479,000
- Parking lot and site renovations
This section features work that CH&E performed with select small contractors that we have used over the past few decades for these specific trades. CH&E will run these specialized tradespeople through our own company.

Included Project Description sheets for:
- Millwork
- Masonry Restoration
- Exterior Metal Restoration
- Slate Roofs

Contract Values:
- Swarthmore Presbyterian -
- William Montgomery House - $1,366,559
- Shreiner Farms (Private Residence) - $3,876,220
- City Hall Belvedere - $800,000
- Reading Hospital Clock Tower - $810,510
- First Presbyterian Church of West Chester
- Sacred Heart Oratory - $2,463,560
- Azikwe-Nkrumah Hall - $2,673,424
- Stevens & Smith House - $1,824,416
- West King St. Rehabilitation - $1,150,775
- Cheyney University Burleigh Hall - $1,877,000
- Private Residence (Lancaster City) - $1,200,000
APPENDIX G
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET

Vail Hall Renovations - Lincoln University

DGS Project Name

DGS Project Number DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)
_____Exterior Metal Restoration
_____Masonry
_____Slate Roofing
_____✓Interior Millwork

HVAC Construction (.2 contract)
_____Testing, Adjusting and Balancing
_____Geothermal System
_____Geothermal Wellfield

Plumbing Construction (.3 contract)
_____NOT APPLICABLE

Electrical Construction (.4 contract)
_____Audio Visual

Name of Firm Caldwell, Heckles and Egan Construction Inc - Millwork
Address 1270 Grofftown Road, Lancaster, PA 17602
Principal Office 1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative Mike Heider
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?
Caldwell, Heckles & Egan Partnership

________________________

________________________

________________________

________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:
Date of incorporation November 1st, 1985
State of incorporation Pennsylvania
President’s name Peter Egan
Vice President’s name(s) Kurtis Egan
Secretary’s name Peter Egan
Treasurer’s name Peter Egan

e) If the firm is a partnership, provide the following information:
Date of formation
Type of partnership
Names of partners

f) If the firm is individually owned, provide the following information:
Date of formation
Name of owner


g) If the form of the firm is other than those listed above, describe it and name the principals:

________________________________

________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

- Year 2021: $39,276,000
- Year 2020: $33,900,000
- Year 2019: $23,300,000

b) Identify the percentage of work on similar projects the firm typically performs with its own work force: 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects: Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as **Attachment 2** to this Qualifications Statement:

a) **Suggested number of Sheets/Pages:**
   - 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location

ii. Description of the project and relevance of work to the Contract Work

iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.

iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.

v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.

vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record

Submit as **Attachment 3** to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

- Year 1: 2021: .748
- Year 2: 2020: .787
b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
<th>Year</th>
<th>LWDIR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1: 2021</td>
<td>0</td>
</tr>
<tr>
<td>Year 2: 2020</td>
<td>0</td>
</tr>
<tr>
<td>Year 3: 2019</td>
<td>0</td>
</tr>
</tbody>
</table>

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>RIR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1: 2021</td>
<td>2.572</td>
</tr>
<tr>
<td>Year 2: 2020</td>
<td>0</td>
</tr>
<tr>
<td>Year 3: 2019</td>
<td>2.572</td>
</tr>
</tbody>
</table>

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

   Yes ___  No ___

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

   Yes ___  No ___

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

   Yes ___  No ___

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

   Yes ___  No ___

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
Yes ___  No √
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
   Yes ___  No √
3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
   Yes ___  No ___
3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
   Yes ___  No √
3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
   Yes ___  No √
   *Note: information regarding health and safety violations is addressed in a previous section.
3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
   Yes ___  No √
3.11 Has the firm been the subject to any bankruptcy proceeding?
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SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

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4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
"As our third project together draws to a close, I wanted to say thank you for another successful conclusion to another complicated piece of construction. The work at the sanctuary of the Swarthmore Presbyterian Church involved a great deal of skilled carpentry and detailed millwork, not to mention working with stone rubble foundations. The work required seamless integration of new woodwork with the existing arts and crafts work from the 1800’s. We repurposed existing paneling, reconfigured hand carved wooden screens, and the CHE&E carpenters and millwork shop delivered an exquisite installation.

Our previous project together at the Doylestown Presbyterian Church involved the addition and renovation of three buildings on a tight site in the historic borough. The signature element is an enclosed pedestrian bridge that connects our new addition to the circa 1860 stone church building across Mechanics Street. Through careful planning and coordination the campus stayed occupied through the entire process. We had numerous issues, unknown conditions, poor soil, and utility company interactions, and through this your team met the challenges. And somehow the project was completed on time and under budget.

So with congregation of the Swarthmore Presbyterian Church moving back into their renovated sanctuary this Sunday, I thank your team Mark Strunk, Josh Heisey, and Mike Heider for a job well done. I also want to thank you for running a construction company that values excellence and appreciates the joy of honest work. I look forward to the next one.”

-Thomas Daley, AIA
Daley and Jalboot Architects

**Project**

**Swarthmore Presbyterian Church**

Swarthmore, Pennsylvania

**Architect**

Daley and Jalboot Architects

Philadelphia, PA

(215) 564-5222

**Reference**

Tom Daley, Architect

(215) 564-5222

**Features**

- Renovations and alterations to the existing sanctuary building and chancel platform and associated improvements to the nave
- Construction of a new platform
- Installation of a new mechanical unit and new lighting for the chancel
- Removal, cleaning and replacement of existing pipe organ
Project
William Montgomery House
Lancaster, PA

Architect
Hammel Associates Architects
Lancaster, PA
(717)393-3713

Reference
Thomas Smithgall
Construction Manager
(717)468-6747

Features
- Interior restoration of a historic mansion, now re-purposed and integrated into the Lancaster County Convention Center
- Structural reinforcement and rebuilding
- Extensive millwork replicated to match existing
- Multiple conference and meeting rooms connected with a period-style walnut staircase
- New 5 stop elevator

The William Montgomery House was designed by the architect Stephen Hills, who also designed the first Pennsylvania State Capitol Building in 1803. The house was owned by William Montgomery, a prominent local attorney. The house was purchased in 1960 by the adjacent Watt & Shand department store, but has been vacant since the store closed in 1995.
**Project**
Private Residence
Shreiner Farm
Lancaster, PA

**Architect**
John Milner Architects
Lancaster, Pennsylvania
(610) 388-0111

**Features**
- Addition/Renovation to historic Lancaster County residence, summer kitchen, and bank barn.
- Extensive interior and exterior stonework
- CH&E manufactured and installed custom millwork and casework
- Structural shoring and underpinning of barrel-vault root cellar
- Walk-in fireplace complete with original squirrel tail bake oven
APPENDIX G
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET

Vail Hall Renovations - Lincoln University

DGS Project Name

DGS Project Number DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)
--- Exterior Metal Restoration
--- Masonry
--- Slate Roofing
--- Interior Millwork

HVAC Construction (.2 contract)
--- Testing, Adjusting and Balancing
--- Geothermal System
--- Geothermal Wellfield

Plumbing Construction (.3 contract)
--- NOT APPLICABLE

Electrical Construction (.4 contract)
--- Audio Visual

Name of Firm

Address

Principal Office

Owner or Authorized Representative
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?
Caldwell, Heckles & Egan Partnership
__________________________________________
__________________________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

<table>
<thead>
<tr>
<th>Date of Incorporation</th>
<th>State of Incorporation</th>
<th>President’s Name</th>
<th>Vice President’s Name</th>
<th>Secretary’s Name</th>
<th>Treasurer’s Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>November 1st, 1985</td>
<td>Pennsylvania</td>
<td>Peter Egan</td>
<td>Kurtis Egan</td>
<td>Peter Egan</td>
<td>Peter Egan</td>
</tr>
</tbody>
</table>

d) If the firm is a corporation, provide the following information:

<table>
<thead>
<tr>
<th>Date of Incorporation</th>
<th>State of Incorporation</th>
</tr>
</thead>
<tbody>
<tr>
<td>November 1st, 1985</td>
<td>Pennsylvania</td>
</tr>
</tbody>
</table>

e) If the firm is a partnership, provide the following information:

<table>
<thead>
<tr>
<th>Date of Formation</th>
<th>Type of Partnership</th>
<th>Names of Partners</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

f) If the firm is individually owned, provide the following information:

<table>
<thead>
<tr>
<th>Date of Formation</th>
<th>Name of Owner</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

g) If the form of the firm is other than those listed above, describe it and name the principals:

__________________________________________

__________________________________________

__________________________________________

__________________________________________

__________________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

Year 2021 $39,276,000
Year 2020 $33,900,000
Year 2019 $23,300,000

b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:
   ▪ 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location

ii. Description of the project and relevance of work to the Contract Work

iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.

iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.

v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.

vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record

Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

Year 1: 2021 .748
Year 2: 2020 .787
Year 3: 2019 .736

b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:
Year 1: 2021 0
Year 2: 2020 0
Year 3: 2019 0
*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:
Year 1: 2021 2.572
Year 2: 2020 0
Year 3: 2019 2.572
*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?
   Yes ___ No ___

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?
   Yes ___ No ___

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?
   Yes ___ No ___

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?
   Yes ___ No ___

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
Yes ___  No √

3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
  Yes ___  No √

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
  Yes ___  No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
  Yes ___  No ___

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
  Yes ___  No √

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
  Yes ___  No √

3.11 Has the firm been the subject to any bankruptcy proceeding?
  Yes ___  No √

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
“I compliment your firm on the quality of workmanship and professionalism in coordinating the many schedules and craftspersons involved in restoring the historic City Hall Belvedere. . . . It was a proud day when the Belvedere was restored to City Hall. Your firm deserves commendation for a top quality job which stayed on schedule and was accomplished without any major complications. Congratulations for a job well done!”

—Janice C. Stork, Mayor (1995)
Project

City Hall Belvedere (continued)
Lancaster, Pennsylvania
Project
Reading Hospital - Historic Clock Tower Restoration
West Reading, Pennsylvania

Architect
John Milner Architects
Chadds Ford, PA
(610)388-0111

Reference
Kenneth E. Jones / Reading Hospital
Reading, PA
(610)988-4345

Features
- Limestone cleaning and restoration
- Cement stucco repair and restoration
- Removal of all exterior millwork and replacement with matching profiles
- Recladding of copper dome with 24 carat exterior gold leaf
- Restoration of cast iron lantern
- Lightning protection and re-illumination
Project
First Presbyterian Church
West Chester, Pennsylvania

Architect
John Milner Architects
Chadds Ford, PA
(610) 388-0111

Reference
Christopher Miller, AIA
(610) 388-0111

Features
◆ New cupola designed to complete Thomas Walter’s original design intent from 1832.
◆ Nearly doubles the overall height of the 37-foot tall building to 73 feet
◆ Architectural Millwork
◆ Constructed in three sections: Carillon, Gold dome, Cross
"I would like to thank you for the work and effort that your team put into the Sacred Heart Oratory Tower. I know that this was a difficult project, but the end results were worth the hard work. I would also like to extend my appreciation to Mark Mohr, the way he handled this, his first project as a superintendent, is to be applauded. I know he was under a great deal of stress to put this structure together, but he succeeded. He made sure the quality of work was up to the standards we expect and CHE&E provides. I would be happy to work with him again in future projects.

I look forward to our next two projects, Nemours Chauffer’s Garage and Western Lodge and hope we can keep the relationship between JMI and CHE&E for years to come."

—John M Drew, RA
John Milner Architects, Inc.

**Project**
Sacred Heart Oratory Tower
Restoration and Renovation
Wilmington, DE

**Architect**
John Milner Architects
Chadds Ford, Pennsylvania
(610)388-0111

**Reference**
Brother Ronald, Sacred Heart Oratory
(302)428-3658

**Features**
- Removal of existing spire and replacement with an historic replication of the original design and architectural elements
- 183' of unique cantilevered scaffolding to access the existing stainless steel spire
- Fabrication and installation of a 10’ tall, 1/4” thick copper and gold leaf cross
- Extensive precast finials, fleur-de-lis, arches and misc. architectural elements
APPENDIX G
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET
Vail Hall Renovations - Lincoln University
DGS Project Name
DGS Project Number  DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one "Designated Critical Work Qualification Statement" for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

- [ ] General Construction (.1 contract)
- [x] Exterior Metal Restoration
- [ ] Masonry
- [ ] Slate Roofing
- [ ] Interior Millwork

- [ ] HVAC Construction (.2 contract)
  - [ ] Testing, Adjusting and Balancing
  - [ ] Geothermal System
  - [ ] Geothermal Wellfield

- [ ] Plumbing Construction (.3 contract)
  - [ ] NOT APPLICABLE

- [ ] Electrical Construction (.4 contract)
  - [ ] Audio Visual

Name of Firm
Address
Principal Office
Owner or Authorized Representative
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?
Caldwell, Heckles & Egan Partnership

______________________________

______________________________

______________________________

______________________________

______________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:
   Date of incorporation November 1st, 1985
   State of incorporation Pennsylvania
   President’s name Peter Egan
   Vice President’s name(s) Kurtis Egan
   Secretary’s name Peter Egan
   Treasurer’s name Peter Egan

e) If the firm is a partnership, provide the following information:
   Date of formation
   Type of partnership
   Names of partners

f) If the firm is individually owned, provide the following information:
   Date of formation
   Name of owner

g) If the firm of the firm is other than those listed above, describe it and name the principals:

_________________________________________________________________________________

_________________________________________________________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>Volume</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>$39,276,000</td>
</tr>
<tr>
<td>2020</td>
<td>$33,900,000</td>
</tr>
<tr>
<td>2019</td>
<td>$23,300,000</td>
</tr>
</tbody>
</table>

b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects.

Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:

- 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location

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vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

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Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
<th>Year</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>.748</td>
</tr>
<tr>
<td>2020</td>
<td>.787</td>
</tr>
</tbody>
</table>
b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
<th>Year</th>
<th>LWDIR Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>0</td>
</tr>
<tr>
<td>2020</td>
<td>0</td>
</tr>
<tr>
<td>2019</td>
<td>0</td>
</tr>
</tbody>
</table>

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>RIR Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>2.572</td>
</tr>
<tr>
<td>2020</td>
<td>0</td>
</tr>
<tr>
<td>2019</td>
<td>2.572</td>
</tr>
</tbody>
</table>

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes Yes No No

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes Yes No No

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes Yes No No

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes Yes No No

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?

Yes Yes No No
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
   Yes ___  No ✓

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
   Yes ___  No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
   Yes ___  No ✓

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
   Yes ___  No ✓

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
   Yes ___  No ✓

3.11 Has the firm been the subject to any bankruptcy proceeding?
   Yes ___  No ✓

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
Final Contract Value:
$2,673,424

Project
Lincoln University - Azikwe Nkrumah Hall
Lincoln University, PA

Architect
Kimmell Bogrette Architecture + Site Blue Bell, PA
Mike Pentz - (610) 834-7805

Reference
Keystone Preservation - J. Christopher Frey - (267) 261-8929

Features
- 100% masonry repoint and restoration using period correct lime mortar
- Restoration of all original window sash. Installation of custom sized storm windows
- Removal of existing asphalt shingles replaced with Vermont Slate and copper gutters and downspouts
- New building addition integrated into the original circa 1865 house
- Exterior millwork restoration and new shutters to match existing
“The project team of the Historic Preservation Trust wishes to express our sincere appreciation for the work done on the Stevens and Smith Historic Site project…. The project was handled by the CH&E team in an extremely professional and yet accommodating manner that expedited the work and brought the project to a successful and timely conclusion. All three of our primary contacts Mark Strunk, Frank Kreider and Mike Heider were exemplary in the performance of their respective scope of work.”

—Tony D’Alessandro
Tom Heberlein, Ron Funk & Clarke Hess
Historic Preservation Trust
Project Team

Project
Stevens & Smith Historic Site
Lancaster, Pennsylvania

Architect
Community Heritage Partners
Lancaster, Pennsylvania
(717) 393-1639

Reference
Nancy Bell, Historic Preservation Trust of Lancaster
Lancaster, Pennsylvania
(610)213-7958

Features
- Historic facade restoration of buildings originally constructed in the 1800s, including Kleiss Tavern and the homes of Thaddeus Stevens and Lydia Hamilton Smith (a cistern on the properties may have been a stop on the Underground Railroad)
- Recreation of historic exterior millwork, including dormers and windows
- Replacement of existing brick with historic, salvaged brick
- Original roof-line restored and replaced with reclaimed slate
Project
West King Street Rehabilitation
Lancaster, PA

Architect
Hammel Associates Architects
Lancaster, PA
(717)393-3713

Reference
Matt Metzler - Capital Improvement Program Manager
City of Lancaster
(717)291-4764

Features
- Repairs and restoration to existing historical façade elements, including brickwork
- Replacement of existing windows
- Roof, cornice, exterior trim work
- Interior renovations
APPENDIX G
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET
Vail Hall Renovations - Lincoln University

DGS Project Name

DGS Project Number DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

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- Exterior Metal Restoration
- Masonry
- Slate Roofing
- Interior Millwork

HVAC Construction (.2 contract)
- Testing, Adjusting and Balancing
- Geothermal System
- Geothermal Wellfield

Plumbing Construction (.3 contract)
- NOT APPLICABLE

Electrical Construction (.4 contract)
- Audio Visual

Name of Firm Caldwell, Heckles and Egan Construction Inc
Address 1270 Grofftown Road, Lancaster, PA 17602
Principal Office 1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative Peter Egan
1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? ______

Under what former names has the firm conducted business? 
Caldwell, Heckles & Egan Partnership
________________________________________
________________________________________
________________________________________

Acceptance of business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:
Date of incorporation November 1st, 1985
State of incorporation Pennsylvania
President’s name Peter Egan
Vice President’s name(s) Kurtis Egan
Secretary’s name Peter Egan
Treasurer’s name Peter Egan

Accept the business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

e) If the firm is a partnership, provide the following information:
Date of formation
Type of partnership
Names of partners

f) If the firm is individually owned, provide the following information:
Date of formation
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If the form of the firm is other than those listed above, describe it and name the principals: 

__________________________________________________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

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b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:
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Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

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c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

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<th>RIR Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>2.572</td>
</tr>
<tr>
<td>2020</td>
<td>0</td>
</tr>
<tr>
<td>2019</td>
<td>2.572</td>
</tr>
</tbody>
</table>

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No __

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes ___ No __

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ___ No __

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ___ No __

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
Yes ___  No ✓

3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
Yes ___  No ✓

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
Yes ___  No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
Yes ___  No ✓

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
Yes ___  No ✓

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
Yes ___  No ✓

3.11 Has the firm been the subject to any bankruptcy proceeding?
Yes ___  No ✓

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
Contract Value:
$1,887,000

Project
Cheyney University - Burleigh Hall / Hospitality Center
Cheyney, PA

Architect
Eric Trainer / George Yu & Associates, Philadelphia, PA
(215)561-7032

Reference
John Carroll - Cheyney University
(610)399-2502

Features
◆ Adaptive Reuse of an historic, 23,000 sf dormitory building into an administration facility
◆ Renovation of a circa-1780 building into a Hospitality Center
◆ New slate roof
◆ Replacement of all wood windows
◆ Mold, asbestos and lead abatement
Project
Private Residence
Lancaster, PA

Architect
Richard Levengood Architects
Lancaster, Pennsylvania
(717)393-1598

Features
♦ Restoration of a circa-1850 Lancaster city townhome
♦ Slate Roof: vented over foam and wall cladding
♦ Antique cast iron columns and fencing
♦ Antique brick and marble stairs
♦ Heart pine flooring
♦ Period hardware
♦ New carriage house with 2nd floor connecting walkway to main house

This project was the recipient of the 2007 Lancaster County Historic Preservation Trust “C. Emlen Urban” award for preservation.
RFP

Vail Hall Renovations
3.22.2022
Prepared for
Department of General Services:
DGS C-1101-0053
Phase 1

Preserving the Past | BUILDING THE FUTURE

Caldwell, Heckles and Egan Inc.
CALDWELL HECKLES & EGAN, INC.

is a full service firm based in Lancaster, Pennsylvania. With over thirty years of experience, CH&E provides general contracting, design/build and construction management services to ecclesiastical, commercial, institutional, housing, historical and residential clients.
Caldwell, Heckles & Egan has a tremendous amount of experience in historical renovations as well as construction on an active campus setting. In developing this RFP and looking at the drawings and specifications we believe that we have proposed a project team that will be successful in the renovation and addition to the historic Vail Hall.

Resumes for the key members of the project team have been provided, but we will expand on those roles in this section and in section T2-A.

Our company president Peter Egan will act as the pre-construction manager and project executive for the duration of the construction. Peter has been involved in a numerous historical projects during his career in construction and will lead the team with an emphasis on the pre-construction. Given the overall scope of this project and the age of the building the period before actual construction starts will be crucial. Given that this is a multi-prime project coordination with all contractors regarding schedule, understanding of milestones and lead times will be key to a successful project.

Alex Benedict will act as lead superintendent. Alex has established himself as a go to for both historical and intricate projects with a high level of detail. In addition to his skills at coordinating amongst multiple trades and moving construction schedules along Alex is also a highly skilled carpenter. In 2019 Alex received

Damian Plummer will act as a 2nd superintendent on this project. Damian has familiarity with the campus having completed the Azikwe-Nkrumah Hall Renovations in 2021. Damian is a skilled carpenter and is excellent at building working relationships with other key contractors.

Rodger Kemmer will fill the role of Project Manager. Rodger will handle maintaining construction schedules and coordination with prime and subcontractors throughout the project. This will also include running bi-weekly job meetings. Rodger will assess any issues that arise during the project and clearly communicate any concerns with DGS, Voith & Mactavish and Lincoln University.
Our proposed project team has excellent relationships with key subcontractors that will be utilized for the Vail Hall project. The masonry restoration will be one of the most important scopes of the project. CH&E plans on using Dave Horst as our masonry restoration lead. Dave is familiar with the historical properties and considerations of restoring late 19th century structures. Dave and his team performed 100% repoint of Azikwe-Nkrumah Hall. Dave and his team were able to match the mortar color and properties of Azikwe-Nkrumah Hall. Matching these qualities will be important in the restoration of the existing brick and stone exterior of Vail Hall and be equally as important when installing the face brick of the new addition.

Voith & Mactavish has provided great detail on the drawings for the interior elevations, specifically as it relates to the millwork. CH&E has its own dedicated millwork shop located next to our office. The shop is run by Mike Heider who has been specializing in historical reproduction, restoration and sophisticated finish carpentry for 30-years. Mike and proposed superintendent Alex Benedict have worked together on many projects with a similar level of detail as the Vail Hall project. Both were awarded Master Craftsmen Awards in 2018 by the Lancaster Preservation Trust for their work on the historic Shreiner Farm Residence in Lancaster (project sheet attached).

The contract duration for Vail is 560 days from the initial job conference. If awarded the project CH&E will take advantage of all of the time between award and initial job conference in order to maximize the on-site schedule. This will include sending letters of intent to subcontractors and gathering submittals with an emphasis on long lead items.

Over the past 10-years CH&E has acted as the lead contractor in multi-prime projects. These projects were both successful and finished on time. This experience will be paramount to a successful project.

This includes but not limited to:
- Azikwe-Nkrumah Hall - Lincoln Univeristy
- Lancaster City Fire Stations (East and West King St.) - Lancaster, PA
• Downingtown STEM Academy - Downingtown, PA
• Ganser Library - Millersville University - Millersville, PA
• Amtrak Station - Lancaster, PA
• Thaddeus Stevens College - Lancaster, PA

In recent years CH&E has completed numerous projects on active school campuses. These include, but not limited to:

• Lincoln University
• Church Farm School
• West Chester University
• Downingtown STEM Academy
• Millersville University
• Camphill School
• Delaware County Community College

CH&E has worked in active environments with multiple projects going on at Lincoln University in 2020. We also completed a historic façade stabilization of the former Watt and Shand Building in Lancaster City at the same time construction of the convention center was taking place behind the façade.

**CH&E Experience with metal roof and cornice work, slate roof construction, and masonry restoration of brick and stone included but not limited to:**

• Azikwe-Nkrumah Hall - Lincoln University
• Historic St. Mary’s Church - Lancaster, PA
• West King St. Rehabilitation - Lancaster, PA
• Sacred Heart Oratory Tower - Wilmington, DE
• Stevens & Smith House - Lancaster, PA
• Wrightsville Tavern - Wrightsville, PA
CH&E Experience with interior finishes such as custom millwork, plaster, tile and terrazzo:

- Saint Joseph Parish - Downingtown, PA
- Swarthmore Presbyterian Church - Swarthmore, PA
- First Presbyterian Church - West Chester, PA
- William Montgomery House - Lancaster, PA
- Nemours Water Tower Restoration - Wilmington, DE
- Juniata County Courthouse - Tower Restoration
- Lancaster Amtrak Station - Lancaster, PA
- Bishop House - Mount Penn, PA

CH&E Experience with acoustical treatments and separations:

- The Colonial Theatre - Phoenixville, PA
- Historic St. Mary's Church Phase II - Lancaster, PA
- Columbia University - Watson Library

CH&E Experience with selective building demolition within and adjacent to a historic building

- McCormick Riverfront Library - Harrisburg, PA
- Azikwe-Nkrumah Hall - Lincoln University
- Lancaster County Convention Center Facade Stabilization - Lancaster, PA
Organizational Chart

Project Executive
Peter Egan

CFO
John Renninger

Chief Estimator
Kurtis Egan

Project Manager
Rodger Kemmer

Superintendent
Alex Benedict

Project Engineer
Sam Fillman

Assistant Superintendent
Damian Plummer
This section includes:

- CH&E’ Qualification Statement
- OSHA 300 Log for the past 3-years
- Relevant project description sheets
  - Thaddeus Stevens - Multi-Prime $3,291,172
  - Millersville McNairy Library - Multi-Prime $8,346,784
  - Lancaster CCC Facade Stabilization - $3,164,000
  - Lancaster Amtrak Station - Multi-Prime $5,293,851
  - St. Joseph Parish - $7,479,000
APPENDIX F
PRIME CONTRACTOR
QUALIFICATION STATEMENT

COVER SHEET

DGS Project Name ____________________________
  Vail Hall Renovations - Lincoln University
DGS Project Number __________________________
  DGS C-1101-0053 Phase 1

Check One:
✓ Corporation,
  ___ Partnership,
  ___ Individual,
  ___ Joint Venture,
  ___ Other _______________________

Name of Firm ________________________________
  Caldwell, Heckles & Egan, Inc
Address _______________________________________
  1270 Grofftown Road, Lancaster, PA 17602
Principal Office ______________________________
  1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative ____________
  Peter Egan
SECTION 1 – INFORMATION ON FIRM

1.1 **Background Information**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a)</td>
<td>How many years has the firm been in business? ________________________</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>b)</td>
<td>How many years has the firm been doing business in proposed contract field? _______</td>
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<td></td>
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<tr>
<td>Under what former names has the firm conducted business?</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Caldwell, Heckles &amp; Egan Partnership</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>c)</td>
<td>Provide an <strong>Attachment 1</strong> to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>d)</td>
<td>If the firm is a corporation, provide the following information:</td>
</tr>
<tr>
<td></td>
<td>Date of incorporation November 1st, 1985</td>
</tr>
<tr>
<td></td>
<td>State of incorporation Pennsylvania</td>
</tr>
<tr>
<td></td>
<td>President’s name Peter Egan</td>
</tr>
<tr>
<td></td>
<td>Vice President’s name(s) Kurtis Egan</td>
</tr>
<tr>
<td></td>
<td>Secretary’s name Peter Egan</td>
</tr>
<tr>
<td></td>
<td>Treasurer’s name Peter Egan</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>e)</td>
<td>If the firm is a partnership, provide the following information:</td>
</tr>
<tr>
<td></td>
<td>Date of formation</td>
</tr>
<tr>
<td></td>
<td>Type of partnership</td>
</tr>
<tr>
<td></td>
<td>Names of partners</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>f)</td>
<td>If the firm is individually owned, provide the following information:</td>
</tr>
<tr>
<td></td>
<td>Date of formation</td>
</tr>
<tr>
<td></td>
<td>Name of owner</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>g)</td>
<td>If the form of the firm is other than those listed above, describe it and name the principals:</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
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</table>
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>2021</th>
<th>$39,276,000</th>
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<tbody>
<tr>
<td>Year</td>
<td>2020</td>
<td>$33,900,000</td>
</tr>
<tr>
<td>Year</td>
<td>2019</td>
<td>$23,300,000</td>
</tr>
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</table>

b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:

- 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location

ii. Description of the project and relevance of work to the Contract Work

iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.

iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.

v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.

vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record

Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
<th>Year 1:</th>
<th>2021</th>
<th>.748</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 2:</td>
<td>2020</td>
<td>.787</td>
</tr>
</tbody>
</table>
b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: 2021 0
Year 2: 2020 0
Year 3: 2019 0

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

Year 1: 2021 2.572
Year 2: 2020 0
Year 3: 2019 2.572

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No ___

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Yes ___ No ___

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ___ No ___

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ___ No ___

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
   Yes ___ No √

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
   Yes ___ No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
   Yes ___ No ___

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
   Yes ___ No √

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
   Yes ___ No √

3.11 Has the firm been the subject to any bankruptcy proceeding?
   Yes ___ No √

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

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4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
<table>
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<th>Column 6</th>
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<td>Item 4</td>
<td>Item 5</td>
<td>Item 6</td>
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<td>Value 1</td>
<td>Value 2</td>
<td>Value 3</td>
<td>Value 4</td>
<td>Value 5</td>
<td>Value 6</td>
</tr>
</tbody>
</table>

**Log of Work-Related Injuries and Illnesses**

OSHA's Form 300 (Rev. 1/2004)
<table>
<thead>
<tr>
<th>Injury and Illness Types</th>
<th>Number of Cases</th>
<th>Description</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) All other injuries</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(b) Nonsampling loss</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c) Reporting injury and illness cases</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(d) All injury and illness cases</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(e) Construction</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(f) Reason(s):</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Number of Days**

<table>
<thead>
<tr>
<th>Reason(s):</th>
<th>Number of Days</th>
<th>Description</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>(g) Non-constructive work</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(h) Other temporary work</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) Other cases</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(j) Days away from work</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(k) Death</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(l) Number of deaths</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Employment Information**

- Employer name: [Redacted for privacy]
- Address: [Redacted for privacy]
- City: [Redacted for privacy]
- State: [Redacted for privacy]
- Zip Code: [Redacted for privacy]
- Industry description: [Redacted for privacy]
- SIC Code: [Redacted for privacy]

**Year**

- Total hours worked by all employees last year: [Redacted for privacy]
- Average hours per employee: [Redacted for privacy]

**Sign Here**

- Signature: [Redacted for privacy]
- Date: [Redacted for privacy]
<table>
<thead>
<tr>
<th>Injury and Illness Types</th>
<th>Number of Days or Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Non-work related</td>
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<tr>
<td>(b) Work related</td>
<td>0</td>
</tr>
<tr>
<td>(c) Away from work</td>
<td>0</td>
</tr>
<tr>
<td>(d) Transferred to another employer</td>
<td>0</td>
</tr>
<tr>
<td>(e) Total number of cases with days away from work</td>
<td>0</td>
</tr>
<tr>
<td>(f) Total number of cases transferred to another employer</td>
<td>0</td>
</tr>
<tr>
<td>(g) Other recordable</td>
<td>0</td>
</tr>
<tr>
<td>(h) Total number of other recordable cases transferred to another employer</td>
<td>0</td>
</tr>
<tr>
<td>(i) Total number of recordable cases with days away from work</td>
<td>0</td>
</tr>
<tr>
<td>(j) Total number of recordable cases transferred to another employer</td>
<td>0</td>
</tr>
</tbody>
</table>

Summary of Work-Related Injuries and Illnesses

OSHA'S FORM 300A (REV. 01/2004)
After a two-year renovation project, Thaddeus Stevens College of Technology dedicated its newly upgraded Branch Campus on October 17, 2013. Governor Tom Corbett (pictured above) was the keynote speaker at the event, which was attended by many current students of the College. The 200,000 sq ft former home of Community Hospital of Lancaster, located at 1100 E. Orange St., houses dormitories and classrooms for Pennsylvania’s only state-owned two-year school of technology. The current renovations were very extensive, including the removal of hazardous materials, roof replacement, renovation of corridors and other public areas, New Graphics, Business Administration and Science Labs, HVAC, Plumbing and Electrical upgrades, and many other improvements. Renovations encompassed nearly 70% of the six-floor structure. CH&E Construction served as the General Contractor for the project.

CH&E completed the contracted work scope plus additional unforeseen conditions within the original scheduled timeline. They removed all road blocks, and were courteous and easy to work with. I would highly recommend them.

- Carl Kowalski
  Project Manager
  MM Architects

**Project**
Thaddeus Stevens
College of Technology
Lancaster, Pennsylvania

**Architect**
MM Architects - Lancaster, PA
(717)393-3211

**Reference**
Eugene Duncan - Facilities Manager
(717)299-7782
Project
Millersville University - McNairy Library Renovation
Millersville, Pennsylvania

Architect
Highland Associates
Clarks Summit, PA
(570) 586-4334

Reference
Jill Coleman
(717) 872-3730

Features
After nearly two years of renovations, the library on the campus of Millersville University was once again open for the start of the Fall, 2013 school year. Formerly named the Ganser Library, the facility was dedicated as the Francine G. McNairy Library and Learning Forum to honor the former Millersville president, who retired in Jan., 2013. The dedication ceremony was held Oct. 24, 2013, after a summer-full of staff and students moving all of the library’s 350,000 items back to the facility.

The $25 million project includes additional and renovated study rooms, bathrooms, elevators and computer labs, as well as new furniture and an improved heating and cooling system. There is also a new cafe and a 24-hour study room. Ganser Library opened in 1967 and had not been renovated since then. It was named for Helen Ganser, the school’s first librarian. CH&E served as General Contractor for this exciting new chapter in the history of Millersville University.
Project
Lancaster County Convention Center - Facade Stabilization
Lancaster, Pennsylvania

Architect
Lane Chapman / Cooper Carry
Atlanta, GA
(404)237-2000

Reference
Tom Smithgall / High Realty Group
Lancaster, PA
(717)293-4403

Features
◆ Construction of a concrete and steel support system to stabilize the century old façade during the demolition and construction phases of the Lancaster County Convention Center and hotel
◆ Drilled 52 caissons (36" round holes drilled down through the limestone below the city) through Queen and King Sts. without hitting a single utility
◆ Erected nearly 500 tons of steel while working overnight through the winter
◆ Continuous monitoring of the façade using the “Total Station” system to detect movement
Project
Lancaster Amtrak Station Restoration
Lancaster, Pennsylvania

Architect
Mike Myers / KCI Technologies
Sparks, MD
(410)316-7800

Reference
Wayne Pusey, Amtrak
Philadelphia, Pennsylvania
(215)349-2846

Features
♦ $12 million project multi prime
♦ Complete interior and exterior restoration of 1929 train station, which is listed on the National Register of Historic Places
♦ Extensive sitework included reorganization of parking and new designated areas for passenger pick-up and drop-off
♦ Train station remained open and completely operable during the 18-month restoration process
Project
Saint Joseph Parish
Downingtown, PA

Architect
Cassacio Architects
Havertown, PA
Ed Kane: (610)789-1604

Reference
Julie Wiant - Parish Business Manager
(610)269-8294

Features
- New worship facility, including a 1,200 seat worship space
- New Sanctuary dedicated in June, 2013 after 13 months of construction
- New parking, sanctuary demolition, chapel, meeting room & ancillary spaces
- CM at Risk Project with contract of $7,479,000
- Parking lot and site renovations

A challenging budget, a challenging project, completed in 13 months with uninterrupted operations.

-Pete Egan
This section features work that CH&E performed with select small contractors that we have used over the past few decades for these specific trades. CH&E will run these specialized tradespeople through our own company.

Included Project Description sheets for:

- Millwork
- Masonry Restoration
- Exterior Metal Restoration
- Slate Roofs

Contract Values:

- Swarthmore Presbyterian -
- William Montgomery House - $1,366,559
- Shreiner Farms (Private Residence) - $3,876,220
- City Hall Belvedere - $800,000
- Reading Hospital Clock Tower - $810,510
- First Presbyterian Church of West Chester
- Sacred Heart Oratory - $2,463,560
- Azikwe-Nkrumah Hall - $2,673,424
- Stevens & Smith House - $1,824,416
- West King St. Rehabilitation - $1,150,775
- Cheyney University Burleigh Hall - $1,877,000
- Private Residence (Lancaster City) - $1,200,000
APPENDIX G
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET

Vail Hall Renovations - Lincoln University

DGS Project Name

DGS Project Number DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one "Designated Critical Work Qualification Statement" for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)
   _____Exterior Metal Restoration
   _____Masonry
   _____Slate Roofing
   ___✓Interior Millwork

HVAC Construction (.2 contract)
   _____Testing, Adjusting and Balancing
   _____Geothermal System
   _____Geothermal Wellfield

Plumbing Construction (.3 contract)
   _____NOT APPLICABLE

Electrical Construction (.4 contract)
   _____Audio Visual

Name of Firm  Caldwell, Heckles and Egan Construction Inc - Millwork
Address  1270 Grofftown Road, Lancaster, PA 17602
Principal Office  1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative  Mike Heider
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?

Caldwell, Heckles & Egan Partnership

__________________________

__________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:

Date of incorporation November 1st, 1985
State of incorporation Pennsylvania
President’s name Peter Egan
Vice President’s name(s) Kurtis Egan
Secretary’s name Peter Egan
Treasurer’s name Peter Egan

e) If the firm is a partnership, provide the following information:

Date of formation ____________________________
Type of partnership ____________________________
Names of partners ____________________________

f) If the firm is individually owned, provide the following information:

Date of formation ____________________________
Name of owner ____________________________

__________________________

__________________________

g) If the form of the firm is other than those listed above, describe it and name the principals:

__________________________

__________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:

Year 2021 $39,276,000
Year 2020 $33,900,000
Year 2019 $23,300,000

b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects: Framing, Interior Finish Carpentry.

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:
   - 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location
ii. Description of the project and relevance of work to the Contract Work
iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.
iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record

Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:

Year 1: 2021 .748
Year 2: 2020 .787
### Section 3 - Required Disclosures

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

**3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?**

Yes [✓] No [ ]

**3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?**

Yes [✓] No [ ]

**3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?**

Yes [✓] No [ ]

**3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?**

Yes [✓] No [ ]

**3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?**

---

<table>
<thead>
<tr>
<th>Year 3:</th>
<th>2019</th>
<th>.736</th>
</tr>
</thead>
</table>

b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
<th>Year 1:</th>
<th>2021</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 2:</td>
<td>2020</td>
<td>0</td>
</tr>
<tr>
<td>Year 3:</td>
<td>2019</td>
<td>0</td>
</tr>
</tbody>
</table>

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked*

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

<table>
<thead>
<tr>
<th>Year 1:</th>
<th>2021</th>
<th>2.572</th>
</tr>
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<tr>
<td>Year 2:</td>
<td>2020</td>
<td>0</td>
</tr>
<tr>
<td>Year 3:</td>
<td>2019</td>
<td>2.572</td>
</tr>
</tbody>
</table>

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked*

d) Provide in an Attachment to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for each such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.
Yes ___  No ___

3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
Yes ___  No ___

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
Yes ___  No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
Yes ___  No ___

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
Yes ___  No ___

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
Yes ___  No ___

3.11 Has the firm been the subject to any bankruptcy proceeding?
Yes ___  No ___

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
“As our third project together draws to a close, I wanted to say thank you for another successful conclusion to another complicated piece of construction. The work at the sanctuary of the Swarthmore Presbyterian Church involved a great deal of skilled carpentry and detailed millwork, not to mention working with stone rubble foundations. The work required seamless integration of new woodwork with the existing arts and crafts work from the 1800’s. We repurposed existing paneling, reconfigured hand carved wooden screens, and the CHE carpenters and millwork shop delivered an exquisite installation.

Our previous project together at the Doylestown Presbyterian Church involved the addition and renovation of three buildings on a tight site in the historic borough. The signature element is an enclosed pedestrian bridge that connects our new addition to the circa 1860 stone church building across Mechanics Street. Through careful planning and coordination the campus stayed occupied through the entire process. We had numerous issues, unknown conditions, pour soil, and utility company interactions, and through this your team met the challenges. And somehow the project was completed on time and under budget.

So with congregation of the Swarthmore Presbyterian Church moving back into their renovated sanctuary this Sunday, I thank your team Mark Strunk, Josh Heisey, and Mike Heider for a job well done. I also want to thank you for running a construction company that values excellence and appreciates the joy of honest work. I look forward to the next one.”

-Thomas Daley, AIA
Daley and Jalboot Architects

---

Project
Swarthmore Presbyterian Church
Swarthmore, Pennsylvania

Architect
Daley and Jalboot Architects
Philadelphia, PA
(215) 564-5222

Reference
Tom Daley, Architect
(215) 564-5222

Features
◆ Renovations and alterations to the existing sanctuary building and chancel platform and associated improvements to the nave
◆ Construction of a new platform
◆ Installation of a new mechanical unit and new lighting for the chancel
◆ Removal, cleaning and replacement of existing pipe organ
**Project**
William Montgomery House  
Lancaster, PA

**Architect**
Hammel Associates Architects  
Lancaster, PA  
(717)393-3713

**Reference**
Thomas Smithgall  
Construction Manager  
(717)468-6747

**Features**
- Interior restoration of a historic mansion, now re-purposed and integrated into the Lancaster County Convention Center
- Structural reinforcement and rebuilding
- Extensive millwork replicated to match existing
- Multiple conference and meeting rooms connected with a period-style walnut staircase
- New 5 stop elevator

The William Montgomery House was designed by the architect Stephen Hills, who also designed the first Pennsylvania State Capitol Building in 1803. The house was owned by William Montgomery, a prominent local attorney. The house was purchased in 1960 by the adjacent Watt & Shand department store, but has been vacant since the store closed in 1995.
Project
Private Residence
Shreiner Farm
Lancaster, PA

Architect
John Milner Architects
Lancaster, Pennsylvania
(610) 388-0111

Features
◆ Addition/Renovation to historic Lancaster County residence, summer kitchen, and bank barn.
◆ Extensive interior and exterior stonework
◆ CH&E manufactured and installed custom millwork and casework
◆ Structural shoring and underpinning of barrel-vault root cellar
◆ Walk-in fireplace complete with original squirrel tail bake oven
APPENDIX G
DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT

COVER SHEET

Vail Hall Renovations - Lincoln University

DGS Project Name__________________________________________

DGS Project Number ______ DGS C-1101-0053 Phase 1__________

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)
  ___✓ Exterior Metal Restoration
  ___ Masonry
  ___ Slate Roofing
  ___ Interior Millwork

HVAC Construction (.2 contract)
  ___ Testing, Adjusting and Balancing
  ___ Geothermal System
  ___ Geothermal Wellfield

Plumbing Construction (.3 contract)
  ___ NOT APPLICABLE

Electrical Construction (.4 contract)
  ___ Audio Visual

Name of Firm ________________________________
Caldwell, Heckles and Egan Construction Inc

Address ________________________________
1270 Grofftown Road, Lancaster, PA 17602

Principal Office ____________________________
1270 Grofftown Road, Lancaster, PA 17602

Owner or Authorized Representative ______ Peter Egan

Vail Hall Renovations - Lincoln University
DGS C-1101-0053 Phase 1
Caldwell, Heckles and Egan Construction Inc
1270 Grofftown Road, Lancaster, PA 17602
Peter Egan
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?

Caldwell, Heckles & Egan Partnership

__________________________

__________________________

__________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in which the firm is licensed or otherwise qualified to do business. List and provide copies of any business or trade licenses, certificates or registrations (to the extent that they apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:

  Date of incorporation November 1st, 1985

  State of incorporation Pennsylvania

  President’s name Peter Egan

  Vice President’s name(s) Kurtis Egan

  Secretary’s name Peter Egan

  Treasurer’s name Peter Egan

e) If the firm is a partnership, provide the following information:

  Date of formation

  Type of partnership

  Names of partners

f) If the firm is individually owned, provide the following information:

  Date of formation

  Name of owner

g) If the form of the firm is other than those listed above, describe it and name the principals:

   __________________________________________

   __________________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General

a) Provide the annual construction volume in dollars completed by the firm in the past three years:
   Year 2021 $39,276,000
   Year 2020 $33,900,000
   Year 2019 $23,300,000

b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%

c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry

2.2 Project Experience and References

Submit as Attachment 2 to this Qualifications Statement:

a) Suggested number of Sheets/Pages:
   • 3 sheets/(6 pages)

Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:

i. Name of project, type of project and location

ii. Description of the project and relevance of work to the Contract Work

iii. Contact information for an owner representative familiar with the firm’s work performed on this project. Include name, address, telephone number(s) and e-mail address.

iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.

v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.

vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record

Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.

a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:
   Year 1: 2021 .748
   Year 2: 2020 .787
b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

<table>
<thead>
<tr>
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<th>LWDIR Rate</th>
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</thead>
<tbody>
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<tr>
<td>2020</td>
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*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

<table>
<thead>
<tr>
<th>Year</th>
<th>RIR</th>
</tr>
</thead>
<tbody>
<tr>
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<tr>
<td>2020</td>
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*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ☑️ No ☐

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes ☑️ No ☐

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ☑️ No ☐

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ☑️ No ☐

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
   Yes ___ No √

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
   Yes ___ No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
   Yes ___ No ___

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
   Yes ___ No √

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
   Yes ___ No √

3.11 Has the firm been the subject to any bankruptcy proceeding?
   Yes ___ No √

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
“I compliment your firm on the quality of workmanship and professionalism in coordinating the many schedules and craftspersons involved in restoring the historic City Hall Belvedere. . . . It was a proud day when the Belvedere was restored to City Hall. Your firm deserves commendation for a top quality job which stayed on schedule and was accomplished without any major complications. Congratulations for a job well done!”

—Janice C. Stork, Mayor (1995)

**Project**

City Hall Belvedere (an historic landmark struck by lightning)

Lancaster, Pennsylvania

**Architect**

Richard Levengood Lancaster, Pennsylvania

**Features**

- Work platform constructed at 67 feet, in lieu of scaffolding
- Ornate Indiana limestone moldings quarried to match original
- New waterproof building membrane
- Two component laminated timber structure fabricated off site
- Architectural copper cladding installed off site
- Pre-fabricated components joined and installed in one day
- Lightning protection
City Hall Belvedere (continued)
Lancaster, Pennsylvania
**Project**  
Reading Hospital - Historic Clock Tower Restoration  
West Reading, Pennsylvania

**Architect**  
John Milner Architects  
Chadds Ford, PA  
(610)388-0111

**Reference**  
Kenneth E. Jones / Reading Hospital  
Reading, PA  
(610)988-4345

**Features**  
- Limestone cleaning and restoration  
- Cement stucco repair and restoration  
- Removal of all exterior millwork and replacement with matching profiles  
- Recladding of copper dome with 24 carat exterior gold leaf  
- Restoration of cast iron lantern  
- Lightning protection and re-illumination
**Project**
First Presbyterian Church
West Chester, Pennsylvania

**Architect**
John Milner Architects
Chadds Ford, PA
(610) 388-0111

**Reference**
Christopher Miller, AIA
(610) 388-0111

**Features**
- New cupola designed to complete Thomas Walter's original design intent from 1832.
- Nearly doubles the overall height of the 37-foot tall building to 73 feet
- Architectural Millwork
- Constructed in three sections: Carillon, Gold dome, Cross
“I would like to thank you for the work and effort that your team put into the Sacred Heart Oratory Tower. I know that this was a difficult project, but the end results were worth the hard work. I would also like to extend my appreciation to Mark Mohr, the way he handled this, his first project as a superintendent, is to be applauded. I know he was under a great deal of stress to put this structure together, but he succeeded. He made sure the quality of work was up to the standards we expect and CH&E provides. I would be happy to work with him again in future projects.

I look forward to our next two projects, Nemours Chauffeur’s Garage and Western Lodge and hope we can keep the relationship between JMI and CH&E for years to come.”

—John M Drew, RA
John Milner Architects, Inc.
APPENDIX G  
DESIGNATED CRITICAL WORK QUALIFICATIONS STATEMENT

COVER SHEET

Vail Hall Renovations - Lincoln University

DGS Project Name ____________________________________________________________

DGS Project Number ________ DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C for the respective contract. NOTE: The selected Proposer shall enter subcontracts with each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

✓ Exterior Metal Restoration
✓ Masonry
✓ Slate Roofing
✓ Interior Millwork

HVAC Construction (.2 contract)
✓ Testing, Adjusting and Balancing
✓ Geothermal System
✓ Geothermal Wellfield

Plumbing Construction (.3 contract)
✓ NOT APPLICABLE

Electrical Construction (.4 contract)
✓ Audio Visual

Name of Firm: Caldwell, Heckles and Egan Construction Inc

Address: 1270 Grofftown Road Lancaster, PA

Principal Office: 1270 Grofftown Road, Lancaster, PA 17602

Owner or Authorized Representative: Peter Egan
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

b) How many years has the firm been doing business in proposed contract field? _______

Under what former names has the firm conducted business?

Caldwell, Heckles & Egan Partnership

________________________________

________________________________

c) Provide an Attachment 1 to this Qualifications Statement identifying all jurisdictions in
which the firm is licensed or otherwise qualified to do business. List and provide copies
of any business or trade licenses, certificates or registrations (to the extent that they
apply to the Contract Work) held by the firm.

d) If the firm is a corporation, provide the following information:
   Date of incorporation November 1st, 1985
   State of incorporation Pennsylvania
   President’s name Peter Egan
   Vice President’s name(s) Kurtis Egan
   Secretary’s name Peter Egan
   Treasurer’s name Peter Egan


e) If the firm is a partnership, provide the following information:
   Date of formation________________________________________
   Type of partnership_______________________________________
   Names of partners________________________________________

f) If the firm is individually owned, provide the following information:
   Date of formation________________________________________
   Name of owner___________________________________________


g) If the form of the firm is other than those listed above, describe it and name the principals:
   __________________________________________________________
   __________________________________________________________
SECTION 2 - EXPERIENCE AND PERFORMANCE

2.1 General
   a) Provide the annual construction volume in dollars completed by the firm in the past three years:
      Year 2021 $39,276,000
      Year 2020 $33,900,000
      Year 2019 $23,300,000
   b) Identify the percentage of work on similar projects the firm typically performs with its own work force 30%
   c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry.

2.2 Project Experience and References
   Submit as Attachment 2 to this Qualifications Statement:
   a) Suggested number of Sheets/Pages:
      • 3 sheets/(6 pages)
   Three (3) detailed project descriptions for relevant projects that are similar in size and scope to the Contract Work. The project descriptions shall include, at a minimum, the following information presented in the order listed below:
      i. Name of project, type of project and location
      ii. Description of the project and relevance of work to the Contract Work
      iii. Contact information for an owner representative familiar with the firm's work performed on this project. Include name, address, telephone number(s) and e-mail address.
      iv. The original bid/proposal price and the final contract price. If the project is ongoing, project the final price and relation to proposal price. Contract value for which the firm was/is responsible.
      v. The original date for project completion and the actual completion date. If the project is ongoing, project the completion date and relation to original schedule.
      vi. As available, performance ratings of the work evaluated by owner or owner’s representative.

2.3 Contractor Safety Record
   Submit as Attachment 3 to this Qualifications Statement the information specified herein and verify this information by providing copies of OSHA 300/200 Forms or appropriate documentation from insurance carriers, as applicable. The firm may submit written explanations to comment on or clarify its safety record.
   a) Provide the firm’s Workers Compensation Experience Modification Rating for the past three years, beginning with the most recent year available:
      Year 1: 2021 .748
      Year 2: 2020 .787
Year 3: 2019 .736

b) Provide the firm’s Total Lost Workday Incidence Rate (LWDIR) for the past three years, beginning with the most recent year available:

Year 1: 2021 0
Year 2: 2020 0
Year 3: 2019 0

*LWDIR Rate = Number of Lost Time Injuries & Illnesses x 200,000 ÷ Total Hours Worked

c) Provide the firm’s Recordable Incidence Rate (RIR) for the past three years:

Year 1: 2021 2.572
Year 2: 2020 0
Year 3: 2019 2.572

*RIR Rate = Number of Injuries x 200,000 ÷ Total Hours Worked

d) Provide in an Attachment 4 to this Qualifications Statement a list of any health or safety citations issued by federal or state agencies for serious or willful violations issued in the past 3 years. Include a separate statement for any such violations and include the citation number, a brief description of the violation and the amount of penalty, if any, for each violation and current status of violation.

N.A.

SECTION 3 - REQUIRED DISCLOSURES

The firm shall answer the following questions with regard to the past three (3) years. If any question is answered in the affirmative, the firm shall submit in an Attachment 5 to this Qualifications Statement, for each affirmative answer, a written explanation which shall provide details concerning the matter in question, including applicable dates, locations, names of projects/project owners and current status of any such matter.

3.1 Has the firm ever been debarred or suspended from doing business with any federal, state or local government agency or private entity?

Yes ___ No ___

3.2 Is the firm currently or has the firm been otherwise prohibited from doing business with any federal, state or local government agency or private entity?

Yes ___ No ___

3.3 Has the firm been denied prequalification (not including short listing), declared non-responsible, or otherwise declared ineligible to submit bids or proposals for work by any federal, state or local government agency or private entity?

Yes ___ No ___

3.4 Has the firm defaulted, been terminated for cause or otherwise failed to complete any project that it was awarded?

Yes ___ No ___

3.5 Has the firm been assessed or required to pay liquidated damages in connection with work performed on any project?
3.6 Has the firm had any business or professional license, registration, certificate or certification suspended or revoked?
Yes ___  No ✓

3.7 Have any liens been filed against the firm as a result of its failure to pay subcontractors, suppliers, or workers?
Yes ___  No ___

3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
Yes ___  No ✓

3.9 Has the firm been found in violation of any laws, including but not limited to contracting or antitrust laws, tax or licensing laws, labor or employment laws or environmental laws by a final decision of a court or government agency?
Yes ___  No ✓

*Note: information regarding health and safety violations is addressed in a previous section.

3.10 Has the firm or its owners, officers, directors or managers been the subject of any criminal indictment or criminal investigation concerning any aspect of the firm’s business?
Yes ___  No ✓

3.11 Has the firm been the subject to any bankruptcy proceeding?
Yes ___  No ✓

SECTION 4 - REQUIRED REPRESENTATIONS

In submitting this Qualifications Statement, along with the representations and authorizations listed on the Proposal Signature page and in the RFP, the firm also makes the following representations, which it understands are required as a condition of performing the Contract Work and receiving payment for same.

4.1 The firm will possess all applicable professional, business and trade licenses required for performing the Contract Work.

4.2 The firm satisfies all bonding and insurance requirements as stipulated in the solicitation for the Contract Work.

4.3 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with the Commonwealth’s requirements for workers’ compensation insurance according to all applicable laws, and unemployment insurance according to all applicable laws.

4.4 The firm and all subcontractors it employs in execution of the Contract Work shall be in full compliance with all requirements of the Commonwealth’s prevailing wage law and Public Works Employment Verification Act.

4.5 If awarded the Contract Work, the firm represents that it will not exceed its current bonding limitations when the Contract Work is combined with the total aggregate amount of all unfinished work for which the Contractor is responsible.
4.6 The firm represents that it has no conflicts of interests with the Commonwealth of Pennsylvania and, if awarded the Contract Work, any potential conflicts of interest that may arise in the future will be disclosed immediately to the Department of General Services.

4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
Project
Lincoln University - Azikwe Nkrumah Hall
Lincoln University, PA

Architect
Kimmell Bogrette Architecutre +
Site Blue Bell, PA
Mike Pentz - (610) 834-7805

Reference
Keystone Preservation - J. Christopher Frey - (267) 261-8929

Features
◆ 100% masonry repoint and restoration using period correct lime mortar
◆ Restoration of all original window sash. Installation of custom sized storm windows
◆ Removal of existing asphalt shingles replaced with Vermont Slate and copper gutters and downspouts
◆ New building addition integrated into the original circa 1865 house
◆ Exterior millwork restoration and new shutters to match existing
“The project team of the Historic Preservation Trust wishes to express our sincere appreciation for the work done on the Stevens and Smith Historic Site project. ... The project was handled by the CH&E team in an extremely professional and yet accommodating manner that expedited the work and brought the project to a successful and timely conclusion. All three of our primary contacts Mark Strunk, Frank Kreider and Mike Heider were exemplary in the performance of their respective scope of work.”

—Tony D’Alessandro
Tom Heberlein, Ron Funk, & Clarke Hess
Historic Preservation Trust
Project Team

**Project**

Stevens & Smith Historic Site
Lancaster, Pennsylvania

**Architect**

Community Heritage Partners
Lancaster, Pennsylvania
(717) 393-1639

**Reference**

Nancy Bell, Historic Preservation Trust of Lancaster
Lancaster, Pennsylvania
(610)213-7958

**Features**

- Historic facade restoration of buildings originally constructed in the 1800s, including Kleiss Tavern and the homes of Thaddeus Stevens and Lydia Hamilton Smith (a cistern on the properties may have been a stop on the Underground Railroad)
- Recreation of historic exterior millwork, including dormers and windows
- Replacement of existing brick with historic, salvaged brick
- Original roof-line restored and replaced with reclaimed slate
Project
West King Street Rehabilitation
Lancaster, PA

Architect
Hammel Associates Architects
Lancaster, PA
(717)393-3713

Reference
Matt Metzler - Capital Improvement Program Manager
City of Lancaster
(717)291-4764

Features
- Repairs and restoration to existing historical façade elements, including brickwork
- Replacement of existing windows
- Roof, cornice, exterior trim work
- Interior renovations
APPENDIX G
DESIGNATED CRITICAL WORK
QUALIFICATIONS STATEMENT

COVER SHEET
Vail Hall Renovations - Lincoln University

DGS Project Name

DGS Project Number DGS C-1101-0053 Phase 1

DESIGNATED CRITICAL WORK: For proper evaluation, the Proposer MUST submit at least
one “Designated Critical Work Qualification Statement” for each Work item listed in T-1C
for the respective contract. NOTE: The selected Proposer shall enter subcontracts with
each listed subcontractor in T-1C.

Check One Work item for which this Qualification Statement is being submitted:

General Construction (.1 contract)
___ Exterior Metal Restoration
___ Masonry
☑ Slate Roofing
___ Interior Millwork

HVAC Construction (.2 contract)
___ Testing, Adjusting and Balancing
___ Geothermal System
___ Geothermal Wellfield

Plumbing Construction (.3 contract)
___ NOT APPLICABLE

Electrical Construction (.4 contract)
___ Audio Visual

Name of Firm Caldwell, Heckles and Egan Construction Inc
Address 1270 Grofftown Road, Lancaster, PA 17602
Principal Office 1270 Grofftown Road, Lancaster, PA 17602
Owner or Authorized Representative Peter Egan
SECTION 1 – INFORMATION ON FIRM

1.1 Background Information

a) How many years has the firm been in business? ________________________

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Under what former names has the firm conducted business? 

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<td>$39,276,000</td>
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c) List the categories of work that the firm normally performs with its own forces on similar projects. Framing, Interior Finish Carpentry

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a) Suggested number of Sheets/Pages:
   - 3 sheets/(6 pages)

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<table>
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<tr>
<th>Year</th>
<th>LWDIR Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>0</td>
</tr>
<tr>
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<td>0</td>
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<tr>
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</table>

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<thead>
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<th>RIR Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>2.572</td>
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Yes ___ No √

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3.8 Has the firm been denied bonding or insurance coverage or been discontinued by a surety or insurance company?
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4.7 The firm represents the price offered in connection with its proposal for the Contract Work was arrived at independently without consultation, communication or agreement with any other Proposer or competitor.

4.8 The firm will ensure that employees and applicants for employment are not discriminated against because of their race, color, religion, sex or national origin.
Project
Cheyney University - Burleigh Hall / Hospitality Center
Cheyney, PA

Architect
Eric Trainer / George Yu & Associates, Philadelphia, PA
(215)561-7032

Reference
John Carroll - Cheyney University
(610)399-2502

Features
- Adaptive Reuse of an historic, 23,000 sf dormitory building into an administration facility
- Renovation of a circa-1780 building into a Hospitality Center
- New slate roof
- Replacement of all wood windows
- Mold, asbestos and lead abatement
Project
Private Residence
Lancaster, PA

Architect
Richard Levengood Architects
Lancaster, Pennsylvania
(717)393-1598

Features
♦ Restoration of a circa-1850 Lancaster city townhome
♦ Slate Roof: vented over foam and wall cladding
♦ Antique cast iron columns and fencing
♦ Antique brick and marble stairs
♦ Heart pine flooring
♦ Period hardware
♦ New carriage house with 2nd floor connecting walkway to main house

This project was the recipient of the 2007 Lancaster County Historic Preservation Trust “C. Emlen Urban” award for preservation
The Project Management Team as proposed will be:

1. Project Executive: Peter Egan
2. Project Manager: Rodger Kemmer
3. Superintendent: Alex Benedict
4. Assistant Superintendent: Damian Plummer

The reporting structure for the team will be as follows: Peter Egan will oversee the duration of the project as the executive, he will be responsible with large scale decision making and guiding Rodger Kemmer throughout. Pete has managed numerous large scale projects of similar scope over his 40-year career. He has keen understanding of historical preservation and knowledge of late 1800s architecture and building properties.

Rodger will handle the day to day operations of the project. He will perform weekly site visits handle communication between all of the major players in the project and will be responsible for maintaining the schedule, and tracking costs associated with the project. He will be in direct contact with the superintendents daily. Before joining CH&E Rodger worked as a structural engineer. At CH&E Rodger has managed several projects in occupied settings. He managed an addition and renovation at St. Andrew's Church under a strict deadline as to not disrupt church events. Rodger has also managed occupied renovations of multi-family housing properties. While not directly related to the Vail renovations in scope these projects demonstrate a strong ability to manage materials, lead times, and schedule in order to minimize disruption for the tenants.

A project engineer will assist the whole team throughout the project. They will be responsible for tracking RFI's and submittals. This person will keep organized files and shoulder some of the burden for Rodger, and Pete. The project engineer will also communicate with the superintendents with scheduling deliveries processing RFI's and providing them on-site copies of all necessary documents and drawings as the project moves forward.
Alex Benedict will be the project superintendent. He will manage the on-site work and provide detailed daily logs to the project manager. Alex will provide oversight of the construction as it progresses. He will be the person that is coordinating daily with all on-site contractors. Alex will be responsible for scheduling subcontractors, making sure proper safety and protection of work is happening. During the pre-construction period Alex will study the plans and specifications and work with the rest of the team to develop schedules and acknowledge any crucial tasks and sequencing that will need to be addressed.

Damian Plummer will assist Alex with all on-site activity. Damian is both equally skilled in carpentry and as superintendent. Damian worked closely with Rodger Kemmer on occupied renovations and has a strong knowledge sequence and scheduling. Damian has previously worked at Lincoln University as the superintendent for the Azikwe-Nkrumah Hall project. Damian understands that working in an active campus involves making sure that the construction project does not interfere with the schools daily operations. Having been on Lincoln's Campus for a year at Azikwe-Nkrumah Damian understands the traffic flow between students and faculty through the campus.
Selected Major Project Experience Includes:

**Lincoln University - New Science and Technology Center**
New 150,000 sf Science & General Classroom
High Technology Building

**Lampeter/Strasburg YMCA - Lampeter, PA**
New 40,000 sf YMCA Facility

**The National Centre for Padre Pio - Barto, PA**
21,000 sf museum facility

**Saint Helena Parish - Blue Bell, PA**
New 500 seat sanctuary and daily chapel

**Lancaster County Public Safety Training Facility - Lancaster, PA**
80,000 sf new multiple-building fire training facility

**Saint Stephen Episcopal Church - Harrisburg, PA**
LEED Silver Certification
(First Church certified in the nation)

**Villa Maria Academy - Malvern, PA**
Science classroom addition with multiple classroom renovations

**Saint Patrick Parish - Malvern, PA**
28,000 sf, 500 seat sanctuary addition and complete building restoration, with a 650 seat nave addition

---

**Project Executive & Preconstruction Manager**

**Founder 1981**

Pete Egan has a long resume of historical renovations and adaptive reuse of historical structures both locally and regionally

---

1270 Grofftown Road, Lancaster, PA 17602
PH: 717.394.0799   FX: 717.394.0288

www.cheinc.com

Preserving the Past | BUILDING THE FUTURE
Selected Major Project Experience Includes:
2021 - Washington Memorial Chapel
2020 - Private Residence
2018 - Lancaster Science Factory - Expanding a 4,300 s.f. adjacent warehouse space, addition of a “Maker Space” classroom, and developing a 3,360 s.f. outdoor exploration courtyard.
2017 - Private Residence (Historic Shriener Farm) - New construction of a 4,000 sf contemporary tobacco barn to serve as guest suite and carriage house. With 2,500 sf of historical restoration of an 1804 stone farmhouse.
2015 - Whitehall - New construction of a 50 unit, energy efficient veterans housing. This 4 story wood framed construction married in to a historic tavern built in 1805.
2014 - Facade Construction 26 E. King St. Lancaster PA - 16,000 sf Multi-use facility comprised of a bistro, event space, bar and lounge with a rooftop terrace

Project Superintendent

- Education and Training
- B.A. Messiah College
- Passive House Certification
- Fork Lift Training
- OSHA 30 hr Lead Certification

1270 Grofttown Road, Lancaster, PA 17602
PH: 717.394.0799    FX: 717.394.0288
www.cheinc.com
Selected Major Project Experience Includes:

2022 - Methodist Towers - Erie, PA
Occupied renovation of a 13-story housing building, 138 units and common all renovated

2021 - Awbury View Apartments - Philadelphia, PA
Occupied Renovation of 12 apartment buildings and a total of 127 units. Common area upgrades, ADA conversions and site improvements

2020 - St. Andrew's Lutheran Church - Eagleville, PA
Multiple addition to the existing church and interior renovations totaling roughly 22,000 sf.

Previous Work Experience
2017-2020 - Weber Smith Group - Lititz, PA
Structural Project Engineer

2009 - 2017 - Greenbaum Structures - Lancaster, PA
Structural Project Engineer

1996 - 2009 Shemro Engineering, Washington DC
Structural Project Engineer

Education -Graduated Miami University in 1996 with a BSCE and BSAE in Civil Engineering and Architectural Engineering

Project Manager
One of the primary roles of the General Construction Prime Contractor, is to manage the project schedule. This requires coordination and cooperation with the other Prime contractors during schedule development, project execution, and project closeout. It is the General Construction Prime Contractor who must develop the overall Critical Path Method (CPM) schedule, inclusive of the other Prime Contractor's schedules, while being relevant to their scopes of work, and specific durations for the project phasing. Getting all of the Prime Contractor's signing of the overall CPM Project Schedule is one of the most imperative milestones that is included in the task-list schedule included below. Without the buy-in from all of the Prime Contractors, the project harmony is very difficult to maintain. As aforementioned CH&E has extensive multi-prime experience, and is used to achieving this harmony and buy-in to the project schedule. During weekly or bi-weekly job conferences CH&E will ensure that the project schedule is updated, and that adherence to the contract milestones and sequences is being followed by all Prime Contractors. Working in an active campus with defined site restraints makes sequencing and scheduling even more important. Ensuring that subcontractors and Prime Contractors are receiving deliveries, and coordinating invasive work within the scheduled times to allow for proper traffic control, and notices to the active campus. CH&E often engages a third-party specialist to analyze building envelope details both before, and during, construction. An extra set of eyes and building envelope specialist can spot issues before they arise and can safe the contractors and the owners money and difficulties in the long run.

Any project schedule is subject to weather delays, but being a General Construction Prime Contractor involves having contingency plans in place to alleviate these weather delays. Experience with temporary winter protection, temporary roof protection, and extensive erosion and sediment controls are all valuable skills to employ on a large and lengthy project such as this. CH&E has allocated monies in the General Condition division of our bid to include weather protection measures in the event that they are needed to adhere to construction milestones. The below schedule includes the tasks and milestones provided in the project manual, with dates and durations preceived to CH&E as realistic and executable.
<table>
<thead>
<tr>
<th>ID</th>
<th>Mode</th>
<th>Task Name</th>
<th>Duration</th>
<th>Start</th>
<th>Finish</th>
<th>Predecessors</th>
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</thead>
<tbody>
<tr>
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<td>Sun 3/20/22</td>
<td>Thu 7/21/22</td>
<td></td>
</tr>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>3</td>
<td></td>
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<td>Wed 3/23/22</td>
<td>Wed 3/23/22</td>
<td></td>
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<td>DGS Proposal Review</td>
<td>67 days</td>
<td>Wed 3/23/22</td>
<td>Thu 6/23/22</td>
<td>3</td>
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<tr>
<td>5</td>
<td></td>
<td>Receipt of Intent to Award</td>
<td>0 days</td>
<td>Wed 7/27/22</td>
<td>Wed 7/27/22</td>
<td>4</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td>Construction Duration</td>
<td>365 days</td>
<td>Mon 8/1/22</td>
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<td>7</td>
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<td>Initial Job Conference</td>
<td>0 days</td>
<td>Mon 8/1/22</td>
<td>Mon 8/1/22</td>
<td>5FS+3 days</td>
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<td>0 days</td>
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<td>Mon 8/8/22</td>
<td>7FS+5 days</td>
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<td>10 days</td>
<td>Mon 8/1/22</td>
<td>Fri 8/12/22</td>
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<td></td>
<td>Erosion and Sediment Control</td>
<td>3 days</td>
<td>Mon 8/1/22</td>
<td>Wed 8/3/22</td>
<td>9SS</td>
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<td>Installation of Temporary Facilities</td>
<td>2 days</td>
<td>Thu 8/4/22</td>
<td>Fri 8/5/22</td>
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<td>Connection of Temporary Power to Job Trailer</td>
<td>1 day</td>
<td>Mon 8/8/22</td>
<td>Mon 8/8/22</td>
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<td>Install Site Safety Controls</td>
<td>2 days</td>
<td>Tue 8/9/22</td>
<td>Wed 8/10/22</td>
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<td>Initial Scheduling Conference by Lead Contractor</td>
<td>0 days</td>
<td>Wed 8/3/22</td>
<td>Wed 8/3/22</td>
<td>9SS+2 days</td>
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<td>15</td>
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<td>Critical Submittals in to Design Professionals</td>
<td>60 days</td>
<td>Mon 8/8/22</td>
<td>Fri 10/28/22</td>
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<td>Project Procurement</td>
<td>180 days</td>
<td>Mon 10/31/22</td>
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<td>Submission of Acceptable Project Schedule to DGS with Prime Contractor's Signoff</td>
<td>15 days</td>
<td>Wed 8/3/22</td>
<td>Tue 8/23/22</td>
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<td>Site Security Fencing</td>
<td>2 days</td>
<td>Thu 8/11/22</td>
<td>Fri 8/12/22</td>
<td>13</td>
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<td>19</td>
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<td>Install Temporary Protections in Vail Hall</td>
<td>5 days</td>
<td>Mon 8/15/22</td>
<td>Fri 8/19/22</td>
<td>9</td>
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<td>20</td>
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<td>Clearing and Rough Grading</td>
<td>25 days</td>
<td>Mon 8/15/22</td>
<td>Fri 9/16/22</td>
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<td>Hazardous Materials Abatement in Vail Hall</td>
<td>10 days</td>
<td>Mon 8/22/22</td>
<td>Fri 9/2/22</td>
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<td>Slavage of Historic Elements Designated for</td>
<td>5 days</td>
<td>Mon 9/5/22</td>
<td>Fri 9/9/22</td>
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<td>Structural Demolition of 1953 Addition</td>
<td>25 days</td>
<td>Mon 9/12/22</td>
<td>Fri 10/14/22</td>
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<td>Stabilization of Original Vail Hall Building at Demolished Connections</td>
<td>5 days</td>
<td>Mon 10/17/22</td>
<td>Fri 10/21/22</td>
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<td>Weatherization of Old Addition Connection Openings</td>
<td>5 days</td>
<td>Mon 10/24/22</td>
<td>Fri 10/28/22</td>
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<td>Raking of Existing Mortar Joints</td>
<td>40 days</td>
<td>Mon 9/12/22</td>
<td>Fri 11/4/22</td>
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<td>Sample Repointing</td>
<td>3 days</td>
<td>Mon 11/7/22</td>
<td>Wed 11/9/22</td>
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<tr>
<td>28</td>
<td>!</td>
<td>Excavation for New Foundations</td>
<td>15 days</td>
<td>Mon 9/19/22</td>
<td>Fri 10/7/22</td>
<td>20</td>
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<tr>
<td>29</td>
<td>!</td>
<td>Excavate at Existing Foundations</td>
<td>5 days</td>
<td>Mon 10/10/22</td>
<td>Fri 10/14/22</td>
<td>28</td>
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<tr>
<td>30</td>
<td>!</td>
<td>Temporary Shoring at Existing Foundations</td>
<td>3 days</td>
<td>Mon 10/17/22</td>
<td>Wed 10/19/22</td>
<td>29</td>
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<td>31</td>
<td>!</td>
<td>Below Grade Waterproofing at Existing Foundations</td>
<td>10 days</td>
<td>Thu 10/20/22</td>
<td>Wed 11/2/22</td>
<td>30</td>
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<td>32</td>
<td>!</td>
<td>Backfill at Existing Foundations</td>
<td>2 days</td>
<td>Thu 11/3/22</td>
<td>Fri 11/4/22</td>
<td>31</td>
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<tr>
<td>33</td>
<td>!</td>
<td>Foundation Prep (Stone In)</td>
<td>5 days</td>
<td>Mon 10/10/22</td>
<td>Fri 10/14/22</td>
<td>28</td>
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<tr>
<td>34</td>
<td>!</td>
<td>Under Slab Utility Coordination</td>
<td>15 days</td>
<td>Mon 10/10/22</td>
<td>Fri 10/28/22</td>
<td>33SS</td>
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<tr>
<td>35</td>
<td>!</td>
<td>Pour Concrete Footers</td>
<td>5 days</td>
<td>Mon 10/31/22</td>
<td>Fri 11/4/22</td>
<td>34</td>
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<tr>
<td>36</td>
<td>!</td>
<td>Pour Concrete Foundation Walls</td>
<td>15 days</td>
<td>Wed 11/16/22</td>
<td>Tue 12/6/22</td>
<td>35FS+7 days</td>
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<tr>
<td>37</td>
<td>!</td>
<td>Below Grade Waterproofing at New</td>
<td>5 days</td>
<td>Fri 12/16/22</td>
<td>Thu 12/22/22</td>
<td>36FS+7 days</td>
</tr>
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<td>38</td>
<td>!</td>
<td>Backfill at New Foundation</td>
<td>5 days</td>
<td>Fri 12/23/22</td>
<td>Thu 12/29/22</td>
<td>37</td>
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<td>39</td>
<td>!</td>
<td>Slab Prep</td>
<td>10 days</td>
<td>Wed 12/7/22</td>
<td>Tue 12/20/22</td>
<td>36</td>
</tr>
<tr>
<td>40</td>
<td>!</td>
<td>Pour Slab on Grade</td>
<td>1 day</td>
<td>Wed 12/21/22</td>
<td>Wed 12/21/22</td>
<td>39</td>
</tr>
<tr>
<td>41</td>
<td>!</td>
<td>Install Steel Baseplates</td>
<td>3 days</td>
<td>Mon 1/2/23</td>
<td>Wed 1/4/23</td>
<td>40FS+7 days</td>
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<tr>
<td>42</td>
<td>!</td>
<td>Steel Framing Erection</td>
<td>15 days</td>
<td>Thu 1/5/23</td>
<td>Wed 1/25/23</td>
<td>41</td>
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<td>43</td>
<td>!</td>
<td>Metal Decking Installation</td>
<td>15 days</td>
<td>Thu 1/26/23</td>
<td>Wed 2/15/23</td>
<td>42</td>
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<td>44</td>
<td>!</td>
<td>Installation of New Roof Sheathing</td>
<td>10 days</td>
<td>Thu 2/16/23</td>
<td>Wed 3/1/23</td>
<td>43</td>
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<tr>
<td>45</td>
<td>!</td>
<td>Removal of Existing Slate on Existing Building</td>
<td>10 days</td>
<td>Thu 2/16/23</td>
<td>Wed 3/1/23</td>
<td>43</td>
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<tr>
<td>46</td>
<td>!</td>
<td>Installation of Slate Roofing on Existing</td>
<td>30 days</td>
<td>Thu 3/2/23</td>
<td>Wed 4/12/23</td>
<td>44</td>
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<tr>
<td>47</td>
<td>!</td>
<td>Installation of Slate Roofing at Addition</td>
<td>50 days</td>
<td>Thu 3/2/23</td>
<td>Wed 5/10/23</td>
<td>44</td>
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<tr>
<td>48</td>
<td>!</td>
<td>Installation of PVC Roofing at Addition</td>
<td>15 days</td>
<td>Thu 4/13/23</td>
<td>Wed 5/3/23</td>
<td>47SS+30 days</td>
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<tr>
<td>49</td>
<td>!</td>
<td>MEP Rough-Ins Phase 1</td>
<td>45 days</td>
<td>Thu 2/16/23</td>
<td>Wed 4/19/23</td>
<td>43</td>
</tr>
<tr>
<td>50</td>
<td>!</td>
<td>Slab on Deck Pour</td>
<td>3 days</td>
<td>Thu 4/20/23</td>
<td>Mon 4/24/23</td>
<td>43FS+45 days</td>
</tr>
<tr>
<td>51</td>
<td>!</td>
<td>Exterior Wall Metal Stud Framing</td>
<td>10 days</td>
<td>Thu 5/4/23</td>
<td>Wed 5/17/23</td>
<td>50FS+7 days</td>
</tr>
<tr>
<td>52</td>
<td>!</td>
<td>Interior Wall Track Framing</td>
<td>10 days</td>
<td>Mon 5/15/23</td>
<td>Fri 5/26/23</td>
<td>51SS+7 days</td>
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<tr>
<td>53</td>
<td>!</td>
<td>Interior Wall and Ceiling Framing</td>
<td>15 days</td>
<td>Mon 5/29/23</td>
<td>Fri 6/16/23</td>
<td>52</td>
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<td>54</td>
<td>!</td>
<td>MEP Rough-Ins Phase 2</td>
<td>45 days</td>
<td>Mon 6/19/23</td>
<td>Fri 8/18/23</td>
<td>53</td>
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<tr>
<td>55</td>
<td>!</td>
<td>Coordination with Restroom Plumbing Installation</td>
<td>45 days</td>
<td>Mon 6/19/23</td>
<td>Fri 8/18/23</td>
<td>54SS</td>
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<td>56</td>
<td>!</td>
<td>Brick Repointing on Existing Building</td>
<td>40 days</td>
<td>Tue 4/25/23</td>
<td>Mon 6/19/23</td>
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<tr>
<td>57</td>
<td>☄️</td>
<td>General Site Layout</td>
<td>5 days</td>
<td>Mon 4/18/22</td>
<td>Fri 4/22/22</td>
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<td>58</td>
<td>☄️</td>
<td>Stormwater Conveyance</td>
<td>45 days</td>
<td>Mon 4/25/22</td>
<td>Fri 6/24/22</td>
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<td>59</td>
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<td>Exterior Stairs and Site Wall</td>
<td>20 days</td>
<td>Mon 6/27/22</td>
<td>Fri 7/22/22</td>
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<td>Exterior Wall Sheathing at Addition</td>
<td>10 days</td>
<td>Thu 5/18/23</td>
<td>Wed 5/31/23</td>
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<td>Exterior Weather Barrier + Waterproofing</td>
<td>7 days</td>
<td>Thu 6/1/23</td>
<td>Fri 6/9/23</td>
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<td>62</td>
<td>☄️</td>
<td>New Brickwork Sample</td>
<td>3 days</td>
<td>Thu 6/15/23</td>
<td>Mon 6/19/23</td>
<td>61FS+3 days</td>
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<td>63</td>
<td>☄️</td>
<td>Approval of New Brickwork</td>
<td>1 day</td>
<td>Tue 6/20/23</td>
<td>Tue 6/20/23</td>
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<td>64</td>
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<td>Exterior Masonry Cladding</td>
<td>40 days</td>
<td>Wed 6/21/23</td>
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<td>63</td>
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<td>65</td>
<td>☄️</td>
<td>Install Windows/Glazing</td>
<td>15 days</td>
<td>Wed 8/9/23</td>
<td>Tue 8/29/23</td>
<td>64SS+35 days</td>
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<td>☄️</td>
<td>Sheet Metal Flashing and Exterior Decorative Metal</td>
<td>20 days</td>
<td>Wed 8/16/23</td>
<td>Tue 9/12/23</td>
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<td>New Exterior Sealant at Addition Junction</td>
<td>3 days</td>
<td>Wed 8/16/23</td>
<td>Fri 8/18/23</td>
<td>64</td>
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<tr>
<td>68</td>
<td>☄️</td>
<td>Reinstallation of Roof Cupolas</td>
<td>7 days</td>
<td>Mon 8/21/23</td>
<td>Tue 8/29/23</td>
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<td>☄️</td>
<td>Reinstallation Central Dom</td>
<td>20 days</td>
<td>Wed 8/30/23</td>
<td>Tue 9/26/23</td>
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<td>☄️</td>
<td>Coordination of Installation of New Roof</td>
<td>5 days</td>
<td>Mon 8/21/23</td>
<td>Fri 8/25/23</td>
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<td>Exterior Painting</td>
<td>15 days</td>
<td>Wed 9/27/23</td>
<td>Tue 10/17/23</td>
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<td>Install Gypsum Board Assemblies</td>
<td>20 days</td>
<td>Mon 8/28/23</td>
<td>Fri 9/22/23</td>
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<td>73</td>
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<td>Interior Historic Finishes Preparation</td>
<td>5 days</td>
<td>Mon 8/28/23</td>
<td>Fri 9/1/23</td>
<td>72SS</td>
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<td>Finish Grading</td>
<td>20 days</td>
<td>Mon 8/28/23</td>
<td>Fri 9/22/23</td>
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<td>75</td>
<td>☄️</td>
<td>Landscape Preparation and Installation</td>
<td>15 days</td>
<td>Mon 9/25/23</td>
<td>Fri 10/13/23</td>
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<td>76</td>
<td>☄️</td>
<td>Final Paving</td>
<td>3 days</td>
<td>Mon 10/16/23</td>
<td>Wed 10/18/23</td>
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<td>77</td>
<td>☄️</td>
<td>Sod Installation</td>
<td>3 days</td>
<td>Mon 10/2/23</td>
<td>Wed 10/4/23</td>
<td>75SS+5 days</td>
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<td>Plaster Patching at Existing Building</td>
<td>10 days</td>
<td>Mon 9/25/23</td>
<td>Fri 10/6/23</td>
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<td>79</td>
<td>☄️</td>
<td>Interior ACT Ceiling Installation</td>
<td>10 days</td>
<td>Mon 9/25/23</td>
<td>Fri 10/6/23</td>
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<td>☄️</td>
<td>Interior Millwork Installation</td>
<td>10 days</td>
<td>Mon 10/9/23</td>
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<td>Casework Installation</td>
<td>15 days</td>
<td>Mon 10/9/23</td>
<td>Fri 10/27/23</td>
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<td>☄️</td>
<td>Interior Door Installation</td>
<td>10 days</td>
<td>Mon 10/30/23</td>
<td>Fri 11/10/23</td>
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<td>83</td>
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<td>Interior Finishes Installation (Flooring)</td>
<td>15 days</td>
<td>Mon 11/13/23</td>
<td>Fri 12/1/23</td>
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<td>0 days</td>
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<td>Mon 12/4/23</td>
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<td>Ready for Punch Listing</td>
<td>0 days</td>
<td>Mon 12/4/23</td>
<td>Mon 12/4/23</td>
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<td>10 days</td>
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<td>Cleaning/Repair of Finishes</td>
<td>5 days</td>
<td>Mon 12/18/23</td>
<td>Fri 12/22/23</td>
<td>86</td>
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<td>88</td>
<td>☄️</td>
<td>General Construction As-Builts</td>
<td>15 days</td>
<td>Mon 12/4/23</td>
<td>Fri 12/22/23</td>
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<td>Predecessors</td>
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<td>Mon 12/4/23</td>
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<td>0 days</td>
<td>Fri 12/22/23</td>
<td>Fri 12/22/23</td>
<td>87SS+4 days</td>
<td></td>
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It is the policy of Caldwell, Heckles, and Egan, inc to strive for the safest possible performance on all jobsites.

The attached overview of safety guidelines represent practical experience established in the safety conscious environment of past successful projects. Implementing these procedures will protect the well-being of our employees of any harm and financial loss. As a condition of employment by CH&E all employees are required to understand and abide by these procedures.

On each construction project jobsite, all employees will be accountable for the successful achievement of targeted company safety goals. Our objective is a safety program that will reduce the number of injuries to an absolute minimum, not merely in keeping with, but surpassing the best experience of operations similar to ours. Our goal is zero accidents and injuries and to comply with all OSHA standards and regulations.

CH&E will provide:
- Provide Job Superintendent job safety checklist, “Right to Know” information and OSHA publication “Construction Industry Digest”.
- Issue and/or post all relevant OSHA notices.
- Maintain an OSHA approved record of any jobsite accident/occurrence.
- Provide personal protective equipment.
- Provide a copy of “Construction Site Safety Handbook” to all employees.
- Provide first aid supplies.
- Provide a map to nearest medical facility.
- Train employees in safe working practice and safety regulations.
- Approve expenditures required to ensure a safe working environment and correction of safety hazards.

Safety Coordinator: Sean Kelly
- The Safety Coordinator will:
  - Coordinate safety program
  - Evaluate safety procedures to assure compliance with safety policy.
  - Conduct safety audits to ensure safe working conditions.
  - Monitor safety program and recommend appropriate changes.
Each Employee Will:
- Comply with all safety rules and regulations.
- Report all accidents and injuries immediately
- Obtain proper tools and PPE for the job at hand
- Report all unsafe work conditions to the safety coordinator or superintendent
- Know which emergency number to call
- Help maintain a safe and clean work environment
- Maintain a positive attitude toward safety and cooperate fully to ensure a safe environment for all

General Safety Rules
- If you are injured, you must report immediately to your superintendent and receive first-aid treatment.
- Approved eye protection must be worn by all employees when necessary.
- Work shoes must be worn by all employees working on construction sites, and safety shoes that conform to ANSI Z41-1991 standards are recommended.
- Hard hats must be worn by all field employees at all times.
- Never operate, repair, adjust or meddle in any way with machinery or equipment, unless you are authorized by your superintendent and properly trained to do so.
- Be absolutely sure no one is in a position to be injured and that all safeguards are in proper position before turning on electricity, gas, steam, air, water or setting any machinery or equipment in motion.
- Check tools and equipment before using them. If any are found to be defective, do not use them. Report them to your superintendent to be tagged “out of service”.
- Good housekeeping is the responsibility of each employee. Keep your work area neat and clean at all times.
- Stay clear of crane loads and be particularly careful in handling material. Always keep clear of the load and other material which the load may disturb.
- Fighting of any kind is not allowed.
- Drinking or possession of intoxicating liquor, or drug use on the job is prohibited, as is reporting to work under the influence of alcohol or drugs.
- Fire arms are prohibited on all job sites.
- All extension cords should be inspected for cuts, fraying, loose ends and missing ground plugs before being put into use. Extension cords shall not be run through holes in walls, doorways, walkways, or run across pinch points or near moving parts of machinery.
- Exit signs will be posted showing the quickest ways of exiting the job site.
• **General Safety Rules (continued)**
• Only trained and authorized drivers will be permitted to operate lifts.
• Machines and power tools will not be operated without the proper guards in place.
• Learn the safe way to do your job before you begin. If you are not sure you thoroughly understand the job, ask your Job Superintendent for further instructions.
• Obey warning flags and signs. They are posted to point out hazards.
• When lifting material, keep back straight, bend knees, and lift with your legs. Get help if the load is heavy.
• Work clear of suspended loads. If a load is moved above where you are working or walking, stand clear until it has passed by.
• Wear goggles, face shields, or respirators when the operation requires them. Your eyes and health are priceless. Exposing yourself to the loss of either is needless and foolish.
• Wear serviceable and suitable clothing and gloves. Finger rings should not be worn while working.
• Never leave an opening unprotected or uncovered. Provide a cover or guard rail.
• Remove, cut-off or hammer down protruding nails, staples, etc.
• Unless you have been taught how to operate a machine, stay away from it.
• When you find it necessary to leave the machine to which you are assigned, shut it down.
• Keep your fingers, hands and feet out of pinch points.
• Stop machines before fueling, oiling or cleaning. Never attempt to make repairs or adjustments while the machine is running.
• Use only tools that are in good condition. If a Company owned tool is in poor condition, turn it over to your superintendent. Keep your own tools in safe condition.
• Compressed air tools or hose nozzles shall never be pointed at persons.
• Loads on trucks, trailers, etc. should be safely secured before being moved.
• Learn where fire extinguishers are located and how to use them.
• Indiscriminate throwing of material from scaffolds or other high places will not be permitted.
• Unless it is a part of your regular work, do not attempt to repair or adjust any electrical equipment.
• Ground wires leading from electrical apparatus must not be disconnected or broken.
• Treat all electric wires as live wires. Do not touch exposed wires. Report them immediately to your foreman.
• Keep your shirt on – it may save you from sunburn, weld or burn splatter, cement burns or cuts.
• Do not park your automobile where it is exposed to danger or where it will interfere with operations.
SAFETY INSPECTIONS

Jobsite safety inspections will be conducted at all CH&E jobsites on a continual and unannounced basis. The reasons for conducting these inspections is to detect undesirable conditions and/or work practices before an accident or injury occurs, so that appropriate action can be taken to prevent an accident or injury; to maintain a safe working environment for employees to work; and to evaluate compliance with Company, State and Federal regulations.

Each inspection will be documented with copies given to the Job Superintendent, Project Manager and the Safety Coordinator.

PERSONAL PROTECTION EQUIPMENT (PPE)

PPE will be issued by the Company when needed and its use must be constantly enforced.

• PPE provided by CHE includes: hard hats, earplugs, safety glasses, gloves, face shields, goggles, fall protection, respiratory protections and special protective equipment, as required.
• CH&E recommends all employees wear ANSI Z41 approved steel toed work shoes and will reimburse each employee $50.00 annually with presentation of a purchase receipt.
• CH&E requires all employees to wear safety glasses. Two pair will be issued annually to each field employee. CH&E will reimburse a percentage of the cost of safety glasses with side shields for those employees requiring prescription glasses.
• CH&E requires all employees to wear ear protection. Two pair will be provided annually.

SUBCONTRACTORS’ RESPONSIBILITY

All subcontractors’ employees working on CH&E projects are subject to the same job safety rules and regulations set forth in this CH&E safety policy. Therefore, coordination between subcontractors & CH&E is mandatory to preserve our safety objectives.
Software Utilized By Project Team:

- CH&E utilizes Sage Project Management in order to track RFI’s, submittals, transmittals, change order requests and change orders.
- All documents are saved onto CH&E servers and are accessible to superintendents in the field.
- Sage allows project management and our CFO to track job costs and labor hours as the construction progresses.
- Microsoft project is used to develop and update schedules. The project engineer will print out a large scale schedule to be posted in the job trailer for all to reference on-site. This is updated once a month at a minimum.
- All shop drawings are logged onto the CH&E servers and printed out for project superintendent for on-site access.
- In addition to using Sage, the project engineer also keeps a submittal log and an RFI log using Microsoft Excel.

On-site Quality Control

- Superintendents will cross reference all material deliveries with the corresponding approved submittals.
- It is the job of the project engineer to provide the superintendent with physical copies of all submittals.
- Protection of stored materials will be provided by the project superintendent.
- Protection of new work will be provided by the superintendent. This includes protecting floor finishes, millwork, wall coverings, etc.
- It will be the responsibility of the superintendent to coordinate any penetrations made by other prime contractors and ensure that all necessary patching is performed.

Punchlist Development and Execution

- With direction from Voith & Mactavish and DGS CH&E will develop any punchlist items into an excel spreadsheet.
- CH&E will note scope of work of the item, photograph the issue, note the subcontractor responsible and date of repair.
Testing and Material Certificates

- CH&E will engage and approved testing agency for all necessary concrete, masonry, site/soils, and steel testing
- All testing results will be saved in a dedicated job folder on the CH&E server after being delivered to DGS an Voith & Mactavish.
- Material Certificates will be delivered to the project engineer from the superintendent and scanned into the CH&E server and delivered to the Architect and DGS for approval.

CH&E Accounting Team

- The CH&E accounts payable team is responsible for making sure on-time payments are made to subcontractors
- The AP team keeps track of all certified payroll documents, lien waivers and insurance certificates. All of these documents need to be accurate and up to date for release of payment to any subcontractor.

CH&E WAY Checklist Manual

- CH&E spent a two year period developing a manual for all project managers to use for all projects
- The manual provides a divisional breakdown with checklists to be completed and reviewed with the project executive BEFORE work in that specific divisional work begins.
- Example of Division Five is found on the next two pages
DIVISION 5 – METALS
QUALITY CONTROL CHECKLIST

Structural Steel Checklist

☐ Do you have an approved anchor bolt plan?

☐ Have you studied and do you thoroughly understand the anchor bolt plan?

☐ Are anchor bolts and leveling plates on site?

☐ Have you fabricated anchor bolt templates? Do you have the correct number of templates for your scheduled concrete pour(s)?

☐ Do you have the proper leveling plate grout on site—as well as equipment needed to mix and install?

☐ Have you had an on-site review with the crane operator to:
  • Coordinate lay-down yard location
  • Determine locations for truck and material staging areas
  • Review existing overhead line conditions and identify any other possible obstructions
  • Designate an area for crane pad—including making plans for pad leveling, if required
  • Plan for safety
  • Coordinate truck routing
  • Verify the appropriate size crane required for the job

☐ Have you established where your lay down yard will be? What precautions have you taken to make sure the steel stays out of the mud?

☐ Do you know exactly where the crane pad will be located? Is it level?

☐ Do you know if it will be necessary to have the crane move and set up in a secondary location during steel erection? If moving the crane is required, have you planned the route and considered any possible obstacles?
Have you reviewed the steel erection drawings?

Have you thoroughly scheduled all phases of the steel erection? Do you know when you’ll be pouring the first floor concrete?

Did you verify the locations for concrete pour stops?

Are you fully aware of all required inspections?

Have you planned steel erection with safety in mind? Have you consulted the company’s Safety Manager and/or your Project Manager to be sure the work will be performed in the safest possible manner? (Fall protection is imperative—make it happen!)

Railings and Guardrails Checklist

Have you met with L&I Inspector to review requirements before metal railing and guardrails are ordered?

Has corner reinforcement been installed?

Did you verify mounting heights and install wood blocking?

Is elevator sill is on site? □ Installed?

Have pipe bollards been ordered? □ delivered? □ installed?

Have ladders been ordered? □ delivered? □ installed?

If anodized or special finishes are used on railings, have you sequenced the work so that all masonry cleaning has been done before railing installations?

Miscellaneous Metals Checklist

Did you verify lintel heights with mason and wall panel fabricator?
Masonry Restoration - 6 person crew
Slate - 5 person crew
Finish Carpentry - 4 person crew
General Labor - 3
Copper work and Metal Restoration - 3

CH&E’s project team will meet with all key subcontractors before issuing agreements. During this period of descope the team will also discuss the necessary amount of workers for each company and trade. Our team plans to utilize subcontractors with whom we have a long working relationship with. This not only ensures that the work will be performed by people skilled in their trades but will also provide us with a properly staffed crew.

Below is a list of contractors that CH&E Regularly Engages

**Concrete:**
E.L. Masonry (717) 271-6020
Zimmerman Masonry (717) 271-1515

**Masonry Contractors:**
Rissler Masonry (717) 445-4817
Matt Hegner (717) 606 4982

**Metal Restoration:**
Heirloom Metals
Horst Sheet Metal

**Finish Carpentry**
Dave King Carpentry (717) 768-8001
Precise Carpentry (717) 847-3963
Jack Heckles - Master Journeyman Carpenter (610)468-0625

**Slate Roof:**
Seam M. Armstrong Exteriors (717) 548-2002
Weekly safety meetings will be held with all employees at each job site. Questions and discussions are to be encouraged.

Subjects covered should include, but not be limited to:
- Safety and work performed
- Nature of hazards being found and remedied
- Accidents that have occurred
- MSDS’s

**CH&E Workers Comp Policy:**
Each Accident - $100,000

All employees are required to read the CH&E safety manual before working in the field. A copy of the CH&E safety manual is provided in the job trailer.

**Training for Powered Industrial Truck (PIT) Operators** shall be conducted by an experienced operator, selected by Management. All operational training shall be conducted under close supervision. All training and evaluation must be completed before an operator is permitted to use a Powered Industrial Truck (forklift, etc) without continual & close supervision.

CH&E’s Safety Officer Sean Kelly is OSHA 40 Certified. Sean will provide any necessary training for workers in the field. Sean will also set up any outside training that needs to take place on a per job basis.

CH&E will conduct substance abuse testing if it is suspected or reported that an employee has been abusing substances.

Any employee who violates the provisions of this policy or engages in prohibited conduct as set forth herein may be subject to:

**First violation:** The employee will be suspended for one week and the employee must submit to a second drug/alcohol test within the next 30 days.

**Second violation:** The employee will be terminated.
THANK YOU

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www.cheinc.com